Please remember to sign application after printing.

ORIGINAL SIGNATURE REQUIRED

Print

Clear Form

Retirement Account Transfer/Rollover Form

Use this form to transfer or roll over retirement assets to an Invesco Traditional IRA, Invesco Rollover IRA, Invesco SEP IRA or Invesco SARSEP IRA. This form may also be used to transfer assets from an existing SIMPLE IRA to an Invesco SIMPLE IRA, to transfer an existing Roth IRA to an Invesco Roth IRA, or rollover assets from a designated Roth account of a retirement plan to an Invesco Roth IRA.

- Do not use when transferring to an Invesco 403(b)(7) account or qualified plan.
- Do not use when transferring a Coverdell Education Savings Account or when converting or recharacterizing IRA assets.
- Include a copy of your most recent account statement from the current trustee or custodian.
- Contact current trustee, custodian or employer to ensure all necessary forms are submitted.
- Sign and mail completed form along with any current trustee's, custodian's or employer's required forms and a new Invesco IRA application (if you do not already have an Invesco IRA) to the appropriate location as indicated in section 7.

PLEASE USE BLUE OR BLACK INK **PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS**

Start here >. Use "Tab" key to move to next field.

1 Depositor Information

Depositor's Full Name

Social Security Number

Date of Birth (mm/dd/yyyy)

Mailing Address*

City

State

ZIP

Daytime Phone Number

Evening Phone Number

Residential Address *(Required if different than your mailing address or if P.O. box was given.)**

City

State

ZIP

☐ Please also update the address on my account.

2 Assets Are Moving From This Account *(Please attach a copy of your most recent statement.)*

Name of Current Trustee/Custodian/Employer

Account Number of Current Trustee/Custodian/Employer

Street Address of Current Trustee/Custodian/Employer

City

State

ZIP

Attention

Trustee/Custodian/Employer Phone Number

— —

Note: Some trustees/custodians require completion of their own forms in addition to or in lieu of this form before they will transfer/roll over your assets to Invesco. To expedite the request, please contact your current trustee/custodian before submitting any paperwork to Invesco. Some trustees/custodians will require a fee paid before transferring the assets.

☐ Yes, I have. ☐ No, I have not filed the necessary completed forms with the current trustee/custodian.

3 Instructions to Delivering Trustee/Custodian *(Please complete options A, B and C.)*

A. TRANSFER/ROLLOVER ASSETS FROM MY:

☐ Traditional IRA ☐ Rollover IRA ☐ Roth IRA ☐ SEP IRA ☐ SARSEP IRA ☐ SIMPLE IRA ☐ Roth 401(k) ☐ 401(k) ☐ Other employer retirement plan

In accordance with my custodial agreement or plan document, I hereby authorize my current trustee/custodian to deduct from my account at the time of transfer any outstanding fees due.

B. DISTRIBUTION REASON FOR ROLLOVER FROM QUALIFIED PLAN

☐ Termination of employment ☐ Death ☐ Attainment of retirement age (typically 59½) ☐ Plan termination

C. DISTRIBUTIONS INSTRUCTIONS *(Please select option 1 or 2.)*

OPTION 1: Liquidate—Please liquidate the account(s) listed in section 2 and issue a check payable to INTC (Invesco National Trust Company)

Amount to liquidate: ☐ All ☐ Partial liquidation of $ ____

When to liquidate: ☐ Immediately ☐ At maturity (mm/dd/yyyy)* / /

*Please send completed paperwork to Invesco 30 days prior to maturity date.

OPTION 2: Transfer "in kind"—Please transfer "in kind" existing Invesco funds held in the account(s) listed in section 2. A transfer "in kind" is the movement of currently owned Invesco funds from one custodian to IIS without liquidating. If you do not currently own Invesco funds, this option is not available to you.

PLEASE NOTE: Rollovers of R shares from qualified plans are NOT eligible for an "in kind" rollover to an INTC IRA.

Amount to transfer/rollover "in kind" immediately:

☐ All OR

☐ Partial Transfer of $ ______________________________________ or ___________ shares of Invesco ____ Fund

4 Assets Are Moving To This Account

☐ Traditional ☐ Rollover ☐ Roth ☐ SEP ☐ SARSEP ☐ SIMPLE

☐ New Invesco Account OR ☐ Existing Invesco Account or Plan ID

INVESTMENT ALLOCATION

Please indicate fund(s) and investment percentage(s).

Fund Number	Fund Name	Class of Shares*	Whole Percent (total should equal 100%)
		TOTAL	1 0 0

*If no class of shares is selected, Class A shares will be purchased. If no allocation is provided, funds will be deposited in Invesco Cash Reserve Shares.
Please attach an extra sheet if further allocations are necessary.

5 Authorization and Signature *(Required)*

To the current trustee/custodian: I have established an Individual Retirement Account with Invesco Distributors, Inc. and have appointed Invesco National Trust Company as the custodian. Please accept this as your authorization and instruction to liquidate and/or transfer "in kind" the assets noted above, which your company holds for me.

To Invesco Investment Services, Inc.: If I am 70$^1/_2$ years of age or older and have begun taking my minimum required distributions from the account which is being transferred to Invesco, I understand and acknowledge that I am responsible for notifying Invesco of the existence and birth date of any spouse beneficiary which existed on my account as of my required beginning date, as that term is defined in Treasury Regulation 1.401(a)(9), as well as the method of calculation which I elected for determining the life expectancy over which required distributions are to be made from the account. Should I fail to provide this information, I understand that future calculations of my minimum required distribution amounts may result in underpayments, which would subject me to a 50% excess accumulations penalty tax.

Signature　　Title　　Date (mm/dd/yyyy) / /

Note: The current trustee/custodian may require signature to be guaranteed. Call that institution for their requirements.

Signature Guarantee: (Please place signature guarantee stamp here)	Each signature must be guaranteed by a bank, broker-dealer, savings and loan association, credit union, national securities exchange or other "eligible guarantor institution" as defined in rules adopted by the Securities and Exchange Commission. Signatures may also be guaranteed with a medallion stamp of the STAMP program or the NYSE Medallion Signature Program, provided that the amount of the transaction does not exceed the relevant surety coverage of the medallion. **A signature guarantee may NOT be obtained through a notary public.**

6 Custodian Acceptance *(This section to be completed by Invesco.)*

This is to advise you that Invesco National Trust Company will accept the account identified in section 2.

This transfer of assets/direct rollover is to be executed from fiduciary to fiduciary and will not place the participant in actual receipt of all or any of the plan assets. No federal income tax is to be withheld from this transfer of assets or direct rollover.

Authorized Signature Mary M. Sell

On behalf of Invesco National Trust Company

Date (mm/dd/yyyy) __ __ / __ __ / __ __ __ __

7 Mailing Instructions

Please make check payable to INTC (Invesco National Trust Company). Invesco does NOT accept the following types of payments: cash, credit card checks and third party checks. We also reserve the right to reject at our sole discretion payment by temporary/starter checks.

Please send completed form to the address below:

(Direct Mail)
Invesco Investment Services, Inc.
P.O. Box 4739
Houston, TX 77210-4739

(Overnight Mail)
Invesco Investment Services, Inc.
11 Greenway Plaza, Ste. 2500
Houston, TX 77046

For additional assistance please contact an Invesco Client Services Representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.