



Beneficiary Designation Form

Use this form to designate or modify the beneficiary(ies) on your Invesco IRA (including Traditional, Roth, SEP, SARSEP and SIMPLE), 403(b) or Optional Retirement Program (ORP) account or an account with transfer on death (TOD) registration.

- We recommend that you speak to a tax or financial advisor prior to designating or modifying beneficiary(ies) for your account.
- Remember to include your account number in section 1. If these designations apply to more than one account, list all applicable account numbers.
- If you are married, spousal consent may be required in section 3.
- Signature of account owner(s) must be notarized in section 4.

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

1 | Registration Information and Invesco Account Number

Account Registration (Please print name(s) as it appears on account.)

Social Security Number (Required)

Invesco Account Number or Plan ID

Invesco Account Number or Plan ID

Invesco Account Number or Plan ID

Primary Phone Number

Email Address

New Address (Complete if applicable.)

By providing the address below, I am directing Invesco Investment Services, Inc. (IIS) to update the address of record on the above referenced account(s).

Mailing Address (Account statements and confirmations will be mailed to this address.)

City

State

ZIP

Residential Address (Required if different than your mailing address or if a P.O. Box address was given above.)

City

State

ZIP



3 | Spousal Consent - Community Property States Only (Please sign and date, if applicable.)

Important information for married account owner: If you are married and live in a community or marital property state (including but not limited to AZ, CA, ID,LA, NM, NV, TX, WA and WI) and are not naming your spouse as primary beneficiary, spousal consent may be required. It is the account owner’s responsibility to determine if spousal consent is required. Please consult a tax or financial advisor. IIS and its affiliates are not responsible for determining whether an account owner is married and/or is a resident of a state in which community property rules apply and are not liable for any consequences resulting from failure to provide spousal consent.

Signature of Account Owner’s Spouse (If applicable)

By signing this form, I affirm that (i) I am the spouse of the account owner named in section 1 and (ii) I expressly consent to the designated beneficiary(ies) in section 2 or attached.

Name of Spouse (Please print)

Signature of Spouse

Date (mm/dd/yyyy)

4 | Authorization and Signature (Please sign and date below.)

I designate the beneficiary(ies) listed in section 2 to receive any assets remaining in my account upon my death, based on the percentage allocations provided.

- If no percentage allocation is provided for the primary beneficiary(ies) listed in section 2, any remaining assets in my account shall be distributed to the primary beneficiary(ies) in equal amounts.
- If no percentage allocation is provided for the contingent beneficiary(ies) listed in section 2 and no primary beneficiary(ies) survives me, any remaining assets in my account shall be distributed to the contingent beneficiary(ies) in equal amounts.
- **As a participant of a retirement account,** if no primary or contingent beneficiary designation is in effect at the time of my death, or if all primary or contingent beneficiary(ies) have pre-deceased me, then my beneficiary shall be my surviving spouse; however, if I am unmarried at the time of my death, my beneficiary shall be my estate.
- **As a shareholder of a TOD account,** if no primary or contingent beneficiary designation is in effect at the time of my death, or if all primary or contingent beneficiary(ies) have pre-deceased me, then the balance in my account will be distributed to the legal representative of my estate.
- This designation of beneficiary(ies) and any subsequent change in designation must be received by IIS prior to my death in order to be effective.

I have read, understand and agree to the information listed above. I also certify that, if I am married and have not named my spouse as primary beneficiary, I have consulted a tax advisor about the need for spousal consent. This document, upon receipt by IIS, supersedes and revokes in entirety any existing beneficiary designation on file with IIS.

Signature (Required)

Date (mm/dd/yyyy)

Certification of Acknowledgement of Notary Public:

State of _____, in the County of _____ Subscribed and sworn before me by the above-named individual who is personally known to me or who has produced (type of identification) _____ as identification, that the foregoing statements were true and accurate and made of his/her own free act and deed, on (Date - mm/dd/yyyy) _____ .

Notary Public

My Commission Expires: _____

Date (mm/dd/yyyy) _____

Notary Seal

Authorization and Signature section continues on the next page.

Signature of Joint Account Owner (Required for joint TOD accounts only.)

Date (mm/dd/yyyy)

X

Certification of Acknowledgement of Notary Public (for Joint Account Owner):

State of _____, in the County of _____ Subscribed and sworn before me by the above-named individual who is personally known to me or who has produced (type of identification) _____ as identification, that the foregoing statements were true and accurate and made of his/her own free act and deed, on (Date - mm/dd/yyyy) _____ .

Notary Public

My Commission Expires: _____

Date (mm/dd/yyyy) _____

Notary Seal

5 | Checklist and Mailing Instructions

Please review the checklist before submitting your beneficiary designations:

- A primary beneficiary designation has been provided in section 2A.
- The primary beneficiaries percentages add up to 100% in section 2A.
- The contingent beneficiaries percentages add up to 100% in section 2B.
- Spousal consent signature is included in section 3, if applicable.
- The beneficiaries provided are allowable designations. (see *Additional Information*)
- If a separate page is included with additional beneficiaries, all information requested in section 2 has been provided.
- All required signatures are included and notarized in section 4.

Please send completed and signed form to:

(Direct Mail)

Invesco Investment Services, Inc.
P.O. Box 219078
Kansas City, MO 64121-9078

(Overnight Mail)

Invesco Investment Services, Inc.
c/o DST Systems, Inc.
430 W. 7th Street
Kansas City, MO 64105-1407

For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.

Additional Information

IIS is unable to keep beneficiary instructions on file that would require certain conditional determinations to be made at the time of your death or that would require legal interpretation or research. We are only able to keep the name and relationship of the beneficiaries on file and are unable to maintain any additional instructions.

Beneficiary Designation Options

You may designate specific individuals, classes of people, trusts, schools, charitable organizations, churches, corporations or your estate as the beneficiary(ies) of your account. See below for specific examples of acceptable designations.

Note: Certain designations may require additional documentation at the time of transfer/distribution request.

- Individual: "John Smith"
- Class of people: "All my children equally" or "All my grandchildren equally"
- Trust: "John Smith Trust, dated 01/01/2000"
- School: "Stanford University"
- Charitable organization: "American Red Cross Association"
- Church or Religious Institution: "Memorial Methodist Church"
- Corporation: "ABC Corp."
- Estate: "Estate of John Smith"