



Coverdell Education Savings Account Transfer Form

Use this form to transfer assets from an existing Coverdell Education Savings Account (Coverdell ESA) to an Invesco Coverdell ESA.

Do not use this form to transfer or rollover assets into an Invesco Traditional or Roth IRA.

For more information, please see the Additional Information section on page 4 of this form.

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

1 | Account Registration

If the Designated Beneficiary has reached the age of majority by state law, the Designated Beneficiary may be the Responsible Individual.

A. Responsible Individual

The Responsible Individual named by the Depositor is the parent or legal guardian of the Designated Beneficiary. This individual will control all decisions regarding the account, (with the exception of directing the initial investment, unless the Responsible Individual is also the Depositor).

Full Name

Social Security Number (Required)

Date of Birth (Required) (mm/dd/yyyy)

Mailing Address (Including apartment or box number)

City

State

ZIP

Primary Phone Number

Alternate Phone Number

B. Designated Beneficiary

Check here if the Designated Beneficiary is the same as the Responsible Individual listed above.

The Designated Beneficiary is the individual on whose behalf the Coverdell ESA has been established. Except for a special needs Designated Beneficiary, no contribution can be made after the Designated Beneficiary's 18th birthday.

Full Name

Social Security Number (Required)

Date of Birth (Required) (mm/dd/yyyy)

2 | Assets Are Moving from This Coverdell ESA (Required.)

Name of Current Trustee/Custodian

Account Number at Current Trustee/Custodian

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

Mailing Address of Current Trustee/Custodian

City

State

ZIP

Attention

Trustee/Custodian Phone Number

Note: Some custodians may require pre-liquidation of assets, payment of fees, and/or completion of their own forms before transferring assets to Invesco. To expediate your request, please contact your current custodian to verify their requirements.

- Yes, I have contacted the current custodian. I have filed the necessary paperwork and have met their requirements for transferring assets.
- No, I have not.

3 | Instructions to Delivering Trustee/Custodian (Complete option 1 or 2.)

In accordance with my custodial agreement or plan document, I hereby authorize my current trustee/custodian to deduct from my account at the time to transfer any outstanding fees due.

OPTION 1: Transfer "in kind" - A transfer "in kind" is the movement of currently owned Invesco funds from one custodian to Invesco Investment Services, Inc (IIS) without liquidating. If you do not currently own Invesco funds, then this option is not available to you.

Please transfer "in kind" existing Invesco funds held in the account(s) listed in section 2.

- Transfer "in kind" ALL
- Transfer "in kind" partial shares: _____ shares of Invesco _____ Fund.

OPTION 2: Liquidate - Please liquidate the account(s) listed in section 2 and issue a check payable to INTC.

- Liquidate All Partial liquidation of \$ _____
- Liquidate immediately Liquidate at maturity (mm/dd/yyyy)

4 | Assets Are Moving to The Following Invesco Coverdell ESA

New Invesco Coverdell ESA (Completed Invesco Coverdell ESA Application required.)

OR

Existing Invesco Coverdell Education ESA Number

Investment Allocation:

Please indicate fund(s) and investment percentages, rounded to whole percentages. Total percentage MUST equal 100%.

Please Note: If transfer "in kind" was selected above, your fund selection will remain the same. You may request an exchange separately. If no fund is selected, Cash Reserve Shares of Invesco Money Market Fund will be purchased. If no class of shares is selected, Class A shares will be purchased.

| Fund Number | Fund Name | Class of Shares | Whole Percentage |
|----------------------|----------------------|----------------------|--|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> |
| TOTAL | | | 1 0 0 |

Please attach a separate page for additional allocations, if necessary.

5 | Authorization and Signature *(Please sign and date below.)*

To the current trustee/custodian: A Coverdell Education Savings Account has been established with Invesco Distributors, Inc., with Invesco National Trust Company (INTC) as custodian. Please accept this as your authorization and instruction to liquidate and/or transfer "in kind" the assets noted above, which your company holds for the named Designated Beneficiary.

Signature of Responsible Individual *(Required)*

Date (mm/dd/yyyy)

X

Note: The current trustee/custodian may require your signature to be guaranteed. Call that institution for their requirements.

| | |
|---|---|
| Signature Guarantee: (Please place signature guarantee stamp below.) | Each signature must be guaranteed by a bank, broker-dealer, savings and loan association, credit union, national securities exchange or any other "eligible guarantor institution" as defined in rules adopted by the Securities and Exchange Commission. Signatures may also be guaranteed with a medallion stamp of the STAMP program or the NYSE Medallion Signature Program, provided that the amount of the transaction does not exceed the relevant surety coverage of the medallion. A signature guarantee may NOT be obtained through a notary public. |
|---|---|

6 | Custodian Acceptance *(This section to be completed by Invesco.)*

This is to advise you that INTC, as Custodian, will accept the account identified in section 2.

This transfer of assets is to be executed on a trustee to trustee basis and will not place the Designated Beneficiary in actual receipt of any portion of the plan assets. No federal income tax is to be withheld from this transfer of assets.

Authorized Signature of Officer 

On behalf of Invesco National Trust Company

7 | Mailing Instructions

Invesco does not accept the following types of payment: Cash, Credit Card Checks, Temporary/Starter Checks, and Third Party Checks.

Please send completed and signed form to:

| | |
|-----------------------------------|-----------------------------------|
| (Direct Mail) | (Overnight Mail) |
| Invesco Investment Services, Inc. | Invesco Investment Services, Inc. |
| P.O. Box 219078 | c/o DST Systems, Inc. |
| Kansas City, MO 64121-9078 | 430 W. 7th Street |
| | Kansas City, MO 64105-1407 |

For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.

Visit our website at invesco.com/us to:

- Check your account balance
- Confirm transaction history
- View account statements and tax forms
- Sign up for eDelivery of statements, daily transaction statements, tax forms, prospectuses, and reports
- Check the current fund price, yield and total return on any fund
- Process transactions
- Retrieve account forms and investor education materials

Call the 24-Hour Automated Investor Line 800 246 5463 to:

- Obtain fund prices
- Confirm your last three transactions
- Order a recent account statement(s)
- Check your account balance
- Process transactions

To use the system, please have your account numbers and Social Security number available.

Additional Information

- Remember to include a copy of your most recent account statement and any required forms from the current trustee or custodian.
- When transferring CD's a maturity date is required. The request must be received by IIS 30 days prior to maturity date. Transfer "in kind" is not available for CD's.
- Sign and mail this completed form along with the Invesco Coverdell Education Savings Account application (if you do not have an Invesco Coverdell ESA established) to the appropriate mailing address listed in section 7.

Important Information

Important notice regarding Invesco-Sponsored Coverdell ESA Custodial Agreement

Invesco National Trust Company (“INTC”), who serves as custodian for your Invesco-sponsored Coverdell ESA, has changed its name to Invesco Trust Company (“ITC”). ITC has converted its national bank charter to a Texas bank charter. Due to this change, references to applicable law in your custodial agreement will change from Georgia to Texas, and all references to INTC in your current custodial agreement shall mean ITC. This transition is part of an internal corporate reorganization and will not affect your account. No action on your part is required in connection with this change. If you have questions, please contact an Invesco Client Services representative at 800 959 4246 from 7 a.m. to 6 p.m. Central Time.

