

Invesco Credit Partners Opportunities 2023 Fund Application Booklet

Issued by: Invesco Australia Limited

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This booklet contains an Application Booklet for the Invesco Credit Partners Opportunities 2023 Fund (ARSN 667 020 304, APIR GTU1669AU) ('the Fund').

Invesco Australia Limited is the responsible entity of the Fund (**Invesco, Responsible Entity or Trustee**). This booklet is in respect of, and accompanies the Information Memorandum ('IM') for the Fund, which offers units in the Fund.

An application to invest in the Fund can only be made using this form if you have also received a copy of the current IM for the Fund. For a copy of the current IM for the Fund please contact our Client Services team on Freecall 1800 813 500, or email us at clientservices.au@invesco.com.

Please ensure you read and understand the IM before applying.

It is also essential that you receive the Application Booklet and IM in Australia. We will not accept an application from a person who we believe received the documents outside of Australia or who is not a 'wholesale client' or 'sophisticated investor' under Australian law. The offer made in the IM cannot be offered or sold within the US, sold to, or for the account of or benefit of 'US persons' (as defined in the Regulation S of the US Securities Act 1933).

Contact Details

Telephone: 1800 813 500 (Monday to Friday)

Fax: 02 9287 0323

Email: clientservices.au@invesco.com

Postal: C/- Link Market Services, Locked Bag 5038, Parramatta NSW 2124

Web: www.invesco.com/au

How to complete this form:

There are two ways to complete this form.

1. Print out the blank form, complete it as directed with black pen, sign and return by post.
If you make an error, do not use correction fluid. Cross out the mistake and initial your change.
2. Download the blank form and complete it electronically. Print out your completed form, sign and return by post.

Online application

Most investor types* can complete this application form online. Please contact us for a link to the online application.

* Custodian, Government Body, Registered Co-operative or Partnership investor types cannot complete this application form online

Contact us

Freecall 1800 813 500
clientservices.au@invesco.com

What type of investor are you?

Please complete the following relevant sections:

Investor type (please select one):	1	2	3	4	5	6	7	8	9	10	11	12
Individual and Joint investor A natural person or persons.												
Sole trader A natural person operating a business under their own name with a registered business name.												
Company A company registered as an Australian public company, an Australian proprietary company, or a foreign company.												
Custodian of an investment platform This is directed act custodians opening an account on behalf of a superannuation fund, managed investment scheme, investor directed portfolio service (IDPS), IDPS-like scheme, or managed discretionay account service.												
Trust Types of trusts include self-managed superannuation funds, registered managed investment schemes, unregistered wholesale managed investment schemes, government superannuation funds or other trusts (such as family trusts and charitable trusts).												
Partnership A partnership created under a partnership agreement.												
Association Incorporated associations are associations registered under State or Territory based incorporated association statutes. Unincorporated associations are those of persons who are not registered under an incorporated associations statute and thus do not have the legal capacity to enter into agreements. The member(s) of the association seeking to open the account will be deemed to be the legal owner of the account.												

Please refer to Page 31 of the application form to provide Adviser Details if applicable.

Section 1: Individual and joint investor details

I am completing this section as a(n):

Individual investor

Partner

Joint investor

Association/Registered Co-operative member

Sole trader

Beneficial owner

Individual Trustee

Note:

< See 'What type of investor are you?' on page 2 to check which sections of this form you need to complete.

Section 1(a): Individual details

Individual 1

Investor Number (if existing Fund Investor):

Mr Mrs Miss Ms Other (e.g. Dr)

Given name(s):

Surname:

Date of birth:

/ /
d d m m y y y y

Country of birth:

Nationality:

Individual 2

Investor Number (if existing Fund Investor):

Mr Mrs Miss Ms Other (e.g. Dr)

Given name(s):

Surname:

Date of birth:

/ /
d d m m y y y y

Country of birth:

Nationality:

Residency details

Individual 1

Are you an Australian resident for taxation purposes?:

Yes No

Residential street address:

State: Postcode:

Country:

Tax File Number or Exemption Number:

If previous Australian resident, what date did you become a non-resident?:

/ /
d d m m y y y y

Individual 2

Are you an Australian resident for taxation purposes?:

Yes No

Residential street address:

State: Postcode:

Country:

Tax File Number or Exemption Number:

If previous Australian resident, what date did you become a non-resident?:

/ /
d d m m y y y y

Investment details**Individual 1**

What is the source of funds for this investment?

Savings Inheritance

Superannuation Investments

Proceeds from the sale of assets

Other (*please specify*)Investment purpose (*e.g. retirement funding*):**Individual 2**

What is the source of funds for this investment?

Savings Inheritance

Superannuation Investments

Proceeds from the sale of assets

Other (*please specify*)Investment purpose (*e.g. retirement funding*):**Are you a sole trader?****Yes** - please proceed to *Section 1(b): Sole traders***No** - Please proceed to *Section 6: Contact details***Section 1(b): Sole traders**

Business name:

Principal place of business:

ABN/ACN:

State:

Postcode:

Country:

> Please proceed to Section 6: Contact details

Section 2: Companies and custodians of an investment platform

Section 2(a): Company details (including foreign companies)

Full Name of Company/Corporate Trustee as registered by ASIC (if applicable):

Tax File Number or Exemption Number:

ABN/ACN:

Other identification number:

Section 2(b): Company listing and regulation information

Are you an Australian listed company?:

Yes

Name of market/exchange:

No

please proceed to next question

Are you a majority owned subsidiary of an Australian listed company?:

Yes

Australian listed company name:

Name of market/exchange:

No

please proceed to next question

Are you a regulated company?

Yes

Regulator name:

Licence details:

No

please proceed to next question

Registered address:

State:

Postcode:

Country:

Mailing address:

PO Boxes and/or c/- addresses cannot be accepted.

State:

Postcode:

Country:

Principal place of business in Australia (if applicable)

as above

Or; please provide details below:

State: Postcode:

Country:

The Company is (as registered with registration body):

A private company - *Please complete Section 2(c): Private Companies below*A public company - *Please proceed to Section 6: Contact details*

Other type of company (please state):

*Please proceed to Section 6: Contact details.***Section 2(c): Private Companies****Directors**

How many Directors are there?:

Please provide the full name of each Director below (attach additional page(s) if necessary):

Director 1:

Director 2:

Director 3:

Director 4:

Beneficial owners**To be completed by unlisted public companies, companies not majority owned by an Australian Public Listed company and proprietary companies that are not regulated companies (i.e. if you have selected 'No' to all of the questions in Section 2(b): Company listing and regulation information).**

Please provide details of each individual who ultimately owns 25% or more of the issued capital of the company through direct or indirect shareholdings OR any individual who is entitled (directly or indirectly) to exercise 25% or more of the voting rights. If no one satisfies either of these categories, it applies to the most senior managing official(s) (or equivalent) of the company (such as the managing director or directors who are authorised to sign on behalf of the company):

Beneficial owner 1:

Beneficial owner 2:

Beneficial owner 3:

Please complete *Section 1: Individual and joint investor details* for all of the relevant beneficial owners listed above.**Is the company a Foreign Company?****Yes** - please proceed to *Section 2(d): Foreign Companies***No** - Please proceed to *Section 6: Contact details*

Section 2(d): Foreign Companies

Full name of foreign company:

Country of formation/incorporation/registration:

Name of foreign body registered by *(if applicable)*:

Foreign body registration/identification number *(if applicable)*:

Is the foreign company registered with ASIC?

Yes

Please provide ARBN below:

If no principal place of business in Australia:

Please provide the name of the local agent in the country of formation/incorporation/registration:

And; please provide the address details of the local agent below:
PO Boxes and/or c/- addresses cannot be accepted.

State: Postcode:

Country:

No

Please provide the principal place of business in the company's country of formation or incorporation:

PO Boxes and/or c/- addresses cannot be accepted.

State: Postcode:

Country:

And; please provide the registered address in the country of formation/incorporation or registration (if applicable):
PO Boxes and/or c/- addresses cannot be accepted.

State: Postcode:

Country:

Section 2(e): Custodian of an investment vehicle or platform

Please provide details of the operator/issuer of the relevant managed investment scheme, superannuation fund, IDPS, or IDPS-like scheme for which you are the custodian.

Full company name:

ABN/ACN:

Licence number (e.g. AFSL or RSE):

Name of investment platform:

Type of investment platform:

Registered address:

State:

Postcode:

Country:

Please provide a copy of the document which evidences the custodian's appointment on behalf of the investment platform (e.g. custody agreement) and a signed copy of the custodian's authorised signatory list.

> Please proceed to Section 6: Contact details

Section 3: Trust details

Full name of Trust:

Full business name of Trustee (if any):

Country where Trust is established:

Tax File Number or Exemption Number:

3(a): Type of Trust

Please select just one from the following list:

Registered managed investment scheme

Provide Australian Registered Scheme no. (ARSN):

Please proceed to Section 3(b): Trustee details

Regulated trust (e.g. SMSF)

Provide name of regulator (e.g. ASIC, APRA, ATO):

Provide Trust's ABN:

or registration/licensing details:

Please proceed to Section 3(b): Trustee details

Government Superannuation Fund

Provide the name of the legislation establishing the Fund:

Please proceed to Section 3(b): Trustee details

Unregistered managed investment scheme that has only wholesale clients and does not make small scale offerings to which section 1012E applies

Please proceed to Section 3(b): Trustee details

Other Trust type

Trust description (e.g. Family unit, charitable, estate):

Provide the full name of any living Settlor(s)*

* a Settlor is anyone who settles the initial sum or assets to create the Trust.

Beneficiaries

Please provide the names and/or class(es); of the Trust's beneficiaries (where applicable):

Full name (or entity name):	Class(es) of beneficiary: (e.g. unit holders, charitable organisation, family members of named person)

(Please provide additional names/classes on a separate sheet if required)

Please ensure you have completed Section 1(a): Individual details for each of these individuals.

Beneficial Ownership

Please provide the names of individual who are in direct or indirect control of the Trust *(Please provide additional names on a separate sheet if necessary):

Full name (or entity name):	Role (e.g. Trustee or Appointer)

** To be classified as being in control of the Trust, they can be acting as Trustee; or by means of trusts, agreements, arrangements, understandings and practices; or exercising control through the capacity to direct the Trustees; or they have the ability to appoint or remove the Trustees.*

Please ensure you have completed Section 1(a): Individual details for each of these individuals.

Section 3(b): Trustee details

What is the type of Trustee?

Corporate Trustee

If the Trustee is a Company, please complete
Section 2: Companies and custodians of an investment platform.

Individual Trustee

If the Trustee(s) is/are an individual(s), please complete
Section 1: Individual and joint investor details.

> **Please proceed to Section 6: Contact details**

Section 4: Partnership details

Full name of Partnership:

Full registered business name (if any):

Country where Partnership established:

Please complete the full names and details of the relevant partners in *Section 1: Individual and joint investor details*
(please attach additional page(s) if necessary).

Are the beneficial owners* different to the partners already entered in Section 1: Individual and joint investor details?

**Beneficial owners are those who ultimately own 25% or more of the partnership or are entitled, either indirectly or directly, to exercise 25% or more of the voting rights of the partnership, including power of veto. If no one satisfies either of these categories, it applies to each individual who directly or indirectly control the partnership through the capacity to determine decisions about financial or operating policies or by other means. If none of the above, then it applies to each of the most senior managing official(s) of the partnership.*

Yes

No

Please ensure you select 'Beneficial owner' in *Section 1: Individual and joint Investor details*. And please ensure you have provided the name, address and date of birth of the beneficial owners in *Section 1(a): Individual details*.

> **Please proceed to Section 6: Contact details**

Section 5: Association/Registered Co-operative/ Government Body details

Name of Association/Registered Co-operative/Government Body:

Type (please select one option from the list below):

Association

Incorporated Association

Unincorporated Association

Registered Co-operative

Government Body

Tax File Number or Exemption Number: ABN/ACN:

Other identification number:

Registered address:

State: Postcode:
Country:

Principal place of business/operations address:
(if same as registered address please leave blank)

State: Postcode:
Country:

Section 5(a): Full names of Association or Registered Co-operative's key individuals:

President
(or equivalent)
Secretary
(or equivalent)
Treasurer
(or equivalent)

Please complete the full names and details of the relevant Association members in *Section 1: Individual and joint investor details* (please attach additional page(s) if necessary).

Section 5(b): Government body details

The Government Body is an entity established under the legislation of:

The Commonwealth of Australia

State/Territory/Foreign country (please specify):

Beneficial owners

Section 5(c): Associations and registered co-operatives

Please provide the names of individuals who directly or indirectly control the co-operative or association excluding the Chairman; President; Treasurer; or Secretary (if applicable):

Full name:	Role:

Please complete the full names and details of the relevant members in *Section 1: Individual and joint investor details* (please attach additional page(s) if necessary).

> Please proceed to Section 6: Contact details

Section 6: Contact details

Section 6(a): Investor communications

Primary contact person

Please note: only one person can be the primary contact for investor communication. This person will receive all investor communications by email.

Please complete *Section 14: Adviser details* if you would also like your Financial Adviser to receive information on your investments.

Section 6(b): Contact details

Primary Contact Person

Full name(s) and/or Company name*:

Email 1*

Mobile*

Second email address for Joint Investors ONLY

Email 2

Mailing address:

State:

Postcode:

Country:

Please specify the dialling code below if any of the below numbers are in alternative countries.

Country

prefix: Phone number:

Work

Home

Fax

Section 6(c): Authorised representative (optional)

If you wish to appoint a representative who can transact on your behalf, you must read the terms and conditions in the IM and instruct that person to provide their details here.

Mr Mrs Miss Ms Other (e.g. Dr)

Signature of Authorised representative:

Given name(s):

Surname:

Residential or street address:

PO Boxes and/or c/- addresses cannot be accepted.

State:

Postcode:

Country:

Section 7: Investment details

Investment amount

Please advise us of the investment amount that you would like to apply for:

(\$20,000 minimum)	APIR code	Amount (\$)
Invesco Credit Partners Opportunities 2023 Fund	GTU1669AU	

Payment information

Your payment must be from an account held in your name. All payments must be made in Australian dollars. Cash will not be accepted. Please make your payment at the time you submit your completed application form.

Direct Credit		
Bank Name:	JP Morgan	
Account name:	Invesco Australia Limited Apps Acc	
BSB:	212-200	
Account number:	16046759	
Reference:	Investor no. or Account name	

Section 8: Nominated bank account

Section 8(a): Distributions

Your distributions will be credited to your nominated account with an Australian financial institution. Please provide the account details below:

Institution name:

Branch:

Account name:

Country of bank account:

BSB Number:

Account Number:

-

Section 9: Tax certification - FATCA (US) and CRS (other jurisdictions)

What are FATCA and CRS? Some background

The US Foreign Account Tax Compliance Act (FATCA) and the Common Reporting Standard (CRS) are two ways in which a large number of governments are seeking the same thing – to improve global tax compliance. Both require financial institutions to capture relevant information on foreign taxpayers, as follows:

- FATCA promotes cross border tax compliance by US taxpayers, by implementing an international standard for the automatic exchange of information related to those taxpayers. Australia has entered into an inter-governmental agreement with the US to implement FATCA in Australia, to be administered through the ATO. The AUS-USA FATCA IGA requires the ATO to obtain detailed account information for US citizens and/or taxpayers on an annual basis. The effect of this is that, to satisfy their FATCA obligations, relevant Australian financial institutions must identify any US taxpayers and report those taxpayers' financial account data to the ATO.
- CRS is a global reporting standard, developed by the OECD, for the automatic exchange of information (AEOI). Its goal is to allow tax authorities to obtain a clearer understanding of financial assets held abroad by their residents, for tax purposes. Over 96 countries have agreed to share information on residents' assets and incomes in accordance with defined reporting standards. Once again, this means that financial institutions around the globe must provide tax authorities with taxpayer financial account data, and the financial institutions must therefore collect this information from their customers and pass it on.

FATCA/CRS certification

- If you are an individual, joint individual, a sole trader, or you will hold the account on behalf of another individual or a deceased estate, then please complete section 9(a).
- If your account is held on behalf of an entity, eg a company, trust, partnership etc, please go to the section 9(b) 'FATCA and CRS certification of an entity'. If you do not provide this information, we will not be able to accept your application.
- We recommend you seek your own independent tax advice prior to completing Section 9.

Section 9(a): FATCA and CRS certification of Individual, Joint investor and sole trader

Is the following statement correct? (Tick if applicable)

Your account is held by an individual or an entity acting in the capacity of executor or administrator of a deceased estate, where EITHER certified copies of the death certificate or Grant of Probate/Letters of Administration have already been provided to us, OR certified copies of these documents are attached to this application.

If you selected the above, your FATCA/CRS certification is complete and **no further information is required.**

Is/are all of the Individual applicants (including the person/s for whom the account will be held e.g. a children's account) a resident for tax purposes in a country other than Australia?

Yes - please provide the **Foreign Individual Investors and Individual Beneficial Owner** details below.

Note: If an individual is both an Australian and a foreign tax resident, or a tax resident of more than one foreign country, you must also provide this information.

No - please provide names of the Australian Tax residents below.

Only some of the individuals are Australian tax residents:

- For those Individuals who are Australian tax residents only (including the person/s for whom the account is held), please provide their full names in the Australian tax resident information below.
- For the remaining individuals (ie who are NOT solely Australian tax residents), please provide the Foreign Individual Investors and Individual Beneficial Owner details below.

Additional information on tax residency can be found at www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency. We recommend you seek independent tax advice.

Australian tax resident information

Name of Australian tax resident 1

Name of Australian tax resident 2

Name of Australian tax resident 3

Foreign Individual Investor(s) and Individual Beneficial Owner(s) details

Please provide ALL information, including your foreign TIN (Taxpayer Identification Number), or exclusions, as relevant.

Further information regarding TINs can be found at www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers.

Full name of Individual 1

Date of birth

/ /
 d d m m y y y y

Street address (PO Box is NOT acceptable)

Country 1 of tax residency

Country 2 of tax residency

Country 3 of tax residency

TIN 1	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs
TIN 2	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs
TIN 3	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs

Full name of Individual 2

Date of birth

/ /

d d m m y y y y

Street address (PO Box is NOT acceptable)

Country 1 of tax residency

Country 2 of tax residency

Country 3 of tax residency

TIN 1	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs
TIN 2	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs
TIN 3	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs

Full name of Individual 3

Date of birth

/ /

d d m m y y y y

Street address (PO Box is NOT acceptable)

Country 1 of tax residency

Country 2 of tax residency

Country 3 of tax residency

TIN 1	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs
TIN 2	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs
TIN 3	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs

Section 9(b): FATCA and CRS certification of an entity

If you are a:

- Company, or other incorporated body, or
- Another type of entity e.g. a trust, partnership, cooperative or association, or
- An individual/s that will hold the Account on behalf of another entity that is a superannuation fund, trust, partnership, government body, co-operative, association or other type of entity,

then please select the best option below and answer the associated questions. If you do not provide this information, we will not be able to accept your application. Tax information must be collected from an authorised representative of the Company/Trust/Other Investor type.

Is the account holder Australian registered for tax purposes?

Yes No

If you answered No, please go to the next question.

If you answered Yes, please provide your ACN, ABN, ARBN or ARSN

Is this account holder a resident for tax purposes in a country other than Australia?

Yes No

If you answered Yes, please complete the Entity Foreign Tax Residency information section.

The following best describes your organisation (tick one)

An Australian regulated superannuation fund (including a complying SMSF), retirement or pension fund. Please provide a printout from the ATO's ABN Lookup or APRA that displays the fund's status. If you select this option, your certification is complete and no further information is required.

An account held by an entity acting in the capacity of executor or administrator of a deceased estate. EITHER certified copies of the death certificate or Grant of Probate/Letters of Administration have already been provided to us, OR certified copies of these documents are attached to this application. If you select this option, your certification is complete and no further information is required.

Not a Financial Account. Certain accounts are NOT considered to be 'Financial Accounts' for the purposes of CRS and FATCA. These include:

- An Employee Share Scheme or Trust as defined in the Income Tax Assessment Act 1997
- An Escrow Account established in connection with a court order or judgment, or a sale, exchange, or lease of real or personal property where certain requirements have been met.

If you select this option, your certification is complete and no further information is required.

Exempt Beneficial Owner. Under CRS and FATCA, an Exempt Beneficial Owner includes, but not limited to:

- Australian government organisation or agency
- Reserve Bank of Australia
- International (including intergovernmental) organisation

If you select this option, your certification is complete and no further FATCA/CRS information is required.

Financial institution. Includes:

- Depository institution
- Investment entity
- Specified insurance company
- Custodian institution

Are you an Investment Entity (financial institution) located in a non-CRS participating jurisdiction and professionally managed by another financial institution?

Yes No

If you answered YES, please answer the following GIIN questions about the financial institution and then provide the **Beneficial Owners or **Controlling Persons** information (on the next page).

Please note that an Entity that selects financial institution that is not an Investment Entity in a non-CRS participating jurisdiction should also provide their GIIN. The list of CRS participating jurisdictions can be found at www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction.

If you are a Financial Institution that is a trustee-documented trust or a sponsored Investment Entity, please provide the GIIN of the trustee or sponsor.

What is your GIIN?

If you do not have a GIIN, what is your financial institution status? (Tick one):

- Deemed Compliant FFI (foreign financial institution)
- Excepted FFI
- Non-participating FFI
- Other (please specify)

Is the registered holder of this account also a financial institution?

- Yes No

If you answered **Yes**, please provide the GIIN (below) for the registered holder.

What is your GIIN?

If you do not have a GIIN, what is your financial institution status? (Tick one):

- Deemed Compliant FFI
- Excepted FFI
- Non-participating FFI
- Other (please specify)

Non-Financial Entity (NFE) or (NFFE). This includes the following entity types if they are NOT a financial institution:

- Private or proprietary company
- Public unlisted company
- Listed company or subsidiary
- Partnership
- Trust
- Co-operative
- Association or club
- Registered or non-registered charitable organisation

If you selected this option, go to NFE/NFFE entity details below.

NFE/NFFE entities

An entity is Active if it derives more than 50% of its income from the sale of goods or services AND it uses more than 50% of its assets to generate income from the sale of goods or services. An NFE is Passive if it does not fit the description of an Active entity, OR is a professionally-managed investment entity located in a non-participating CRS (Common Reporting Standard) jurisdiction.

Is the NFE/NFFE Active or Passive?

- Active Passive

If the NFE/NFFE is:

An Australian Active NFE/NFFE - your certification is complete.

A foreign NFE/NFFE, Active or Passive - please provide information below about **Foreign tax residency information.**

An Australian or foreign Passive NFE/NFFE - please provide information below about any **Beneficial Owners or Controlling Persons** (including those with direct or indirect ownership of 25% or more) who are a resident for tax purposes in a country other than Australia.

Entity foreign tax residency information (tick and/or provide details as indicated)

Please provide ALL information, including your Foreign TIN (Taxpayer Identification Number) or exclusions, as relevant.

Country of tax residency 1		Address
TIN 1	OR	Country does not require collection of TINs OR Country does not issue TINs TIN is available but, has not obtained or has not been issued a TIN
Country of tax residency 2		Address
TIN 2	OR	Country does not require collection of TINs OR Country does not issue TINs TIN is available but, has not obtained or has not been issued a TIN
Country of tax residency 3		Address
TIN 3	OR	Country does not require collection of TINs OR Country does not issue TINs TIN is available but, has not obtained or has not been issued a TIN

Entity foreign beneficial owner(s) or **Controlling Persons details (NB only foreign, Passive NFEs/NFFE's need to complete this)
Please provide ALL information, including your Foreign TIN (Taxpayer Identification Number) or exclusions, as relevant

Full name of Individual 1

Date of birth

/ /
d d m m y y y y

Street address (PO Box is NOT acceptable)

Country 1 of tax residency

Country 2 of tax residency

Country 3 of tax residency

TIN 1 OR Country does not require collection of TINs OR Country does not issue TINs
TIN is available but, has not obtained or has not been issued a TIN

TIN 2 OR Country does not require collection of TINs OR Country does not issue TINs
TIN is available but, has not obtained or has not been issued a TIN

TIN 3 OR Country does not require collection of TINs OR Country does not issue TINs
TIN is available but, has not obtained or has not been issued a TIN

Full name of Individual 2

Date of birth

/ /
d d m m y y y y

Street address (PO Box is NOT acceptable)

Country 1 of tax residency

Country 2 of tax residency

Country 3 of tax residency

TIN 1 OR Country does not require collection of TINs OR Country does not issue TINs
TIN is available but, has not obtained or has not been issued a TIN

TIN 2 OR Country does not require collection of TINs OR Country does not issue TINs
TIN is available but, has not obtained or has not been issued a TIN

TIN 3 OR Country does not require collection of TINs OR Country does not issue TINs
TIN is available but, has not obtained or has not been issued a TIN

Full name of Individual 3		Date of birth	
		/ / d d m m y y y y	
Street address (PO Box is NOT acceptable)			
Country 1 of tax residency			
Country 2 of tax residency			
Country 3 of tax residency			
TIN 1	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs
TIN 2	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs
TIN 3	OR	Country does not require collection of TINs OR TIN is available but, has not obtained or has not been issued a TIN	Country does not issue TINs

* Capitalised terms used throughout Section 9 have the meanings defined in the AUS-USA FATCA IGA and the CRS.

** For entities, a Controlling Person means any individual who ultimately beneficially owns 25% or more of an entity or controls the entity, including control through a chain of ownership or by means of control other than direct control. For Partnerships, a Controlling Person is any individual who directly or indirectly owns or controls the Partnership and includes all Partners or Senior Managing Officials. For Trusts, a Controlling Person is any individual who directly or indirectly exercises control over the Trust. For a Trust, this includes all Trustees, Settlers, Protectors or Beneficiaries or classes of Beneficiaries. For a Trustee company this includes any beneficial owners controlling more than 25% of the shares in the company or Senior Managing Officials.

Section 10: Investor identification documents

The following client identification requirements apply. Please check what type of documents are required below.

There are two ways to meet your client identification requirements. Please choose one from the options below.

<p>Option 1</p> <p>Provide certified copies of required identification documents by post.</p> <p>Please refer to <i>Section 13: Submitting your Application</i> for a list of professionals who are able to certify document copies for you. Please do not provide original documents as Invesco will not return documents provided by you for the purposes of client identification.</p> <p>Note: Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.</p>	<p>Option 2</p> <p>Visit your financial adviser and have them verify either the certified or original copies of the required identification documents.</p> <p>Please ensure that your financial adviser has completed both Section 14: Adviser details and Section 10(i): Record of Verification Procedure.</p>
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Section 10(a): Individuals

I am completing this section as a(n):

Individual	Partner
Sole trader	Individual governing member of an Association or Registered Co-operative
Individual Trustee	

Please provide certified copies of documents from either Option 1 or Option 2 below:

Option 1

One primary photographic document from the list below:

Driver's licence or permit under a State/Territory Government or an equivalent authority of a foreign country

Australian passport (that is current, or expired within the last two years)

Foreign passport or other international travel document that has a photograph and signature of the individual.

Proof of age card issued by a State/Territory government.

National identity card issued by a foreign government that has a photograph and the signature of the individual.

Option 2

One primary non-photographic document:

Australian birth certificate

Australian citizenship certificate

Foreign citizenship certificate

Foreign birth certificate

A Centrelink Pension card or a Centrelink Healthcare card.

AND

One secondary non-photographic document:

A financial benefit notice issued by the Commonwealth, State or Territory within the last 12 months that contains the name and address of the individual.

An income tax assessment notice issued within the last 12 months that contains the name and address of the individual

A local government notice (e.g. council rates) or utilities notice (e.g. gas, electricity) issued within the last 3 months

If under 18 years - a notice that was issued to the individual by a school principal within the preceding 3 months and contains the name and residential address and records the period of time that the individual attended that school.

Section 10(b): Companies (including corporate trustees)

Please attach at least one document from the list below:

A certified copy of a certificate of registration issued by ASIC or other regulator

A certified copy of a licence or other records issued by a domestic or foreign regulator

Another document which verifies the identity of the company (please specify)

Section 10(c): Trusts

Trust identification documents

Please attach at least one identification document from the list below which verifies the identity of the trust.

Regulated Trust identification documents

A print-out of a search of the ASIC, ATO, or relevant regulator's website (e.g. 'Super Fund Lookup' at www.superfundlookup.gov.au)

A copy of an offer document of the managed investments scheme (e.g. a copy of the PDS)

A copy or relevant extract of the legislation establishing the government superannuation fund sourced from a government website.

Unregulated Trust identification documents

A certified copy or extract of the Trust deed

A letter from a solicitor or qualified accountant that confirms the name of the Trust

A certified copy of a notice issued by the Australian Taxation Office within the last 12 months (e.g. a Notice of Assessment).

Trustee identification documentation

Please provide the relevant identification documents for one of the individual trustees, or the corporate trustee.

Individual Trustee - please attach documentation as listed in *Section 10(a): Individuals*.

This information is only required for one Trustee, even if the Trust has a number of Trustees.

Corporate Trustee - please complete *Section 10(b): Companies (including corporate trustees)*.

Section 10(d): Partnerships

Partnership identification documents

A certified copy or certified extract of a current partnership agreement or minutes of a partnership meeting. Either copy must include the full name of the partnership; the registered business name; the country in which the partnership was established; and the full name and residential address of each partner.

Partner identification documentation

Please complete *Section 10(a): Individuals* for all partners.

Section 10(e): Associations and Registered Co-operatives

Please attach identification document(s) from one of the lists below which verifies the Association or Registered Co-operative.

Incorporated Association or Registered Co-operative ID Documents

A certified copy of the Constitution or rules of the association or co-operative.

A certified copy of a certificate issued to the association or co-operative by ASIC or other regulator

A certified copy of the minutes of an association or co-operative meeting

Unincorporated Association ID Documents

A certified copy or certified extract of the Constitution or rules of the Association

AND

Documentation listed in *Section 10(a): Individuals* for all the governing members.

Section 10(f): Government body

Please attach at least one identification document from the list below which verifies the identity of the government body:

A print-out of a search from the relevant Commonwealth, State, Territory or Foreign government website which proves the body's existence.

A print-out of a search of the relevant Commonwealth, State, Territory or Foreign Country register of government bodies which proves the body's existence.

A copy or extract of the legislation establishing the body obtained from a reliable and independent source, such as a government website.

Section 10(g): Custodians

If you are a custodian of a managed investment scheme, Superannuation Fund, Investor Directed Portfolio Service (IDPS), IDPS-like scheme or managed discretionary account service, please provide one of the documents below to confirm your appointment as custodian:

A certified copy of an extract of the custody agreement

A letter from the operator/issuer of the relevant fund/scheme

Section 10(h): Power of Attorney

Please complete this section if one or more attorneys are completing and signing this form under power of attorney and attach the applicable documents:

A certified copy of the Power of Attorney's driver's licence, passport or other photographic identification which confirms the full name and the residential address and contains their signature;

AND

A certified copy of the Power of Attorney which confirms that any attorney completing and signing this form is authorised to do so under the power of attorney. If the power of attorney does not contain a sample of each attorney's signature, please also provide a list containing the name of each attorney and a sample of each attorney's signature.

Section 10(i): Record of Verification Procedure

This section should be completed by your Financial Adviser. All relevant identification documents selected in Section 10 need to be sighted by your Financial Adviser and noted in the boxes below. For more than four documents please duplicate this page as required.

Document 1

Document type:

Verified from: Original
 Certified copy
 Performed a search

Document Issuer/
 website:

Issue date/
 search date: / /
 d d m m y y y y y

Expiry date
 (if applicable): / /
 d d m m y y y y y

Document number
 (if applicable):

Accredited English translation: N/A
 Sighted

Document 2

Document type:

Verified from: Original
 Certified copy
 Performed a search

Document Issuer/
 website:

Issue date/
 search date: / /
 d d m m y y y y y

Expiry date
 (if applicable): / /
 d d m m y y y y y

Document number
 (if applicable):

Accredited English translation: N/A
 Sighted

Document 3

Document type:

Verified from: Original
 Certified copy
 Performed a search

Document Issuer/
 website:

Issue date/
 search date: / /
 d d m m y y y y y

Expiry date
 (if applicable): / /
 d d m m y y y y y

Document number
 (if applicable):

Accredited English translation: N/A
 Sighted

Document 4

Document type:

Verified from: Original
 Certified copy
 Performed a search

Document Issuer/
 website:

Issue date/
 search date: / /
 d d m m y y y y y

Expiry date
 (if applicable): / /
 d d m m y y y y y

Document number
 (if applicable):

Accredited English translation: N/A
 Sighted

Financial Adviser details

By completing and signing this Record of Verification Procedure I declare that I have verified the identity of the customer as required by AML/CTF Rules and the identification procedure has been performed by an AFSL holder or an authorised representative of an AFSL holder.

AFSL Number:

AFSL Licensee Name:

Full name(s) of Adviser or representative:

Email:

Telephone Number:

Date:

/ /

d d m m y y y y

Adviser Stamp:

Adviser Signature:

Section 11: Declaration

Section 11(a): Declaration Details

Please read this declaration carefully. If you do not agree with these declarations, you should not make an investment in the Fund.

General

- I/We acknowledge that I/we have read and understood the accompanying IM to which this application form relates and agree to be bound by the terms of the offer set out in the IM for the Fund, including its annexures, and the terms of the relevant Invesco Fund constitution in which I/we are invested (in each case, as amended from time to time).
- I/We confirm that I/we have received and accepted this offer in Australia.
- I/We declare that I/we have the legal capacity and power to make an investment in the Invesco Funds in accordance with this Application Form.
- I am/we are not in the United States or a 'US Person' (as defined in Regulation S under the US Securities Act 1933, as amended) ('US Person'), nor am I/are we acting for the account or benefit of a US Person, and I/We agree to notify Invesco immediately if I/we become a US Person.
- I/We acknowledge that Invesco retains the right not to provide services or issue products to any Applicant that Invesco decides, in its sole discretion, that it does not wish to supply or if the Application Form and supporting information are incomplete.
- I/We acknowledge that a paper copy of the IM is available free of charge from Invesco. Where I have appointed an Authorised Representative in this Application Form, then they will be given online access to my/our account.
- I/We acknowledge that any investment in the Fund is subject to investment risk including possible delays in repayment and loss of income and capital invested.
- If you choose not to quote your TFN/ABN or claim an exemption, we are required to deduct tax at the highest marginal rate plus the Medicare levy from any income payable to you. If provided, your TFN/ABN will apply automatically to any future investment in the fund(s) unless you indicate otherwise. You can choose not to quote your TFN/ABN or claim an exemption. Deciding not to quote a TFN is not an offence. We are authorised to receive TFN information under tax law. For more information about TFNs/ABNs please contact the Australian Taxation Office.
- I/We declare that all the details given in this Application Form are true and correct.
- I/We agree to provide any additional information and/or documentation requested by Invesco, and to inform Invesco if a change in circumstances means that any of the information or documentation provided is no longer correct.
- I/we have considered the features of the Invesco Fund to which this application relates (including its investment objectives, minimum suggested investment timeframe, risk level, withdrawal arrangements and investor suitability) and, after obtaining any financial and/or tax advice that I/ we deemed appropriate, am/are satisfied that my/our proposed investment in the Invesco Fund is consistent with my/our investment objectives, financial circumstances and needs.
- I/We acknowledge and agree that the Responsible Entity may also determine to accept the investment amount in part or full and may in its discretion scale back the investment amount, in which case the amount will be the scaled back amount notified to the applicant.

Wholesale client

- I/We represent that I/we am/are a 'wholesale client' or a 'sophisticated investor' under Section 761G or Section 761GA of the Corporations Act at all times during the term of the Fund.

Anti Money-Laundering (AML) and Counter Terrorism-Financing (CTF) Laws

- I/We will not knowingly do anything to put Invesco in breach of the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Laws). I/We agree to promptly notify Invesco if I/we am/are aware of anything that would put Invesco in breach of AML/CTF Laws.
- I/We acknowledge that I/we have no reason to suspect that the money used to fund my/our investments is derived from or will be used in relation to money laundering, terrorism financing or similar activities.

Privacy

- By signing this Application form or any other forms you give to us, you agree to Invesco, Link Market Services and other third party service providers we may engage to provide fund related services, and their respective related bodies corporate and associates (who may be outside Australia), collecting, holding and using your personal information to process your application, deal with transactions in relation to your investments and manage the products and services provided and to comply with our legal obligations. This includes monitoring, auditing, evaluating and modelling data, dealing with complaints and answering queries.
- Under the Privacy Act 1988 (Cth), you may request access to your personal information held by us or our related bodies corporate and associates. You can obtain a copy of our privacy policy electronically at www.invesco.com/au. You can also contact us to make such a request or to arrange for a copy of the relevant entity's privacy policy to be sent to you (free of charge) by calling our Client Services team on 1800 813 500 or by emailing us at clientservices.au@invesco.com.

Section 11(b): Investor Eligibility Declaration

The offer of units in the Fund is restricted to applicants that qualify as 'wholesale clients' under Section 761G(7) of the Corporations Act or as 'sophisticated investors' under Section 761GA of the Corporations Act.

Please tick at least one applicable option from the list below:

Wholesale Client

1. a person that invests a minimum of \$500,000
2. a person that can demonstrate, by way of an accountant's certificate, that the person has net assets of at least \$2.5 million or a gross income for each of the last 2 financial years of at least \$250,000.

In determining if you meet the gross income or net assets requirements, the gross income or net assets of a company or trust controlled (as defined by s.50AA) by you may be included.

If you qualify under this option, please complete Section 11(c): Investor certificate below.

3. an Australian Financial Services licensee
4. a person who is regulated by APRA, other than a trustee of a superannuation fund, an approved deposit fund, a pooled superannuation trust or a public sector superannuation scheme
5. a person registered as a body under the Financial Corporations Act 1974
6. a person who is the trustee of a superannuation fund, an approved deposit fund, a pooled superannuation trust or a public sector superannuation scheme and the fund, trust or scheme has net assets of at least \$10 million
7. a person that has or controls gross assets of at least \$10 million (including any assets held by an associate or under a trust that the person manages)
8. a person who is a listed entity, or a related body corporate of a listed entity
9. a person who is an exempt public authority
10. a person who is a body corporate or an unincorporated body that carries on a business of investment in financial products, interests in land or other investments, and for those purposes invests funds received following an offer or invitation to the public where the terms of the offer provided for the funds subscribed to be invested for those purposes

Sophisticated investor

11. a person that an Australian Financial Services Licensee is satisfied has previous experience in using financial services and investing in financial products that allows the person to assess the merits, value and risks of the investment (amongst other things), the licensee gives the person a statement of its reasons for being satisfied as to those matters and the person signs an acknowledgement.

Section 11(c): Investor Certificate

Please complete this section if you have selected Option 2 in Section 11(b): *Investor Eligibility Declaration*. Otherwise, please proceed to Section 11(d) *Declaration Statement*.

To: Invesco Australia Limited (ABN 48001693232)

Investor details:

Mr Mrs Miss Ms Other (e.g. Dr)

Given name(s):

Surname:

Residential or street address:

PO Boxes and/or c/- addresses cannot be accepted.

State:

Postcode:

Country:

Details of qualified accountant*:

Mr Mrs Miss Ms Other (e.g. Dr)

Given name(s):

Surname:

Company name of the accountant firm:

I certify that the following is true and correct in every particular:

- I am a qualified accountant* within the meaning of section 9 of the Corporations Act 2001;
- This certificate is given at the request of the investor described in this certificate ('Investor');
- This certificate is given for the purpose of section 761G(7)(c) of the Corporations Act 2001; and
- The Investor has:
 - Net assets of at least \$2,500,000 (including the net assets of any company or trust controlled by the Investor); or
 - A gross income for each of the last 2 financial years of at least \$250,000 a year (including the gross income of any company or trust controlled by the Investor).

Full name(s) and title:

Signature:

Date:

/ /
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* 'Qualified accountant' means a member of a professional body that is approved by ASIC in writing for the purpose of the definition. ASIC has indicated that it will approve any member of:

1. CPA Australia ('CPA'), who is entitled to use the post-nominals 'CPA' or 'FCPA', and is subject to and complies with the CPA's continuing professional development requirements;
2. The Institute of Chartered Accountants in Australia ('the ICAA'), who is entitled to use the post-nominals of 'CA', 'ACA' or 'FCA', and is subject to and complies with the ICAA's continuing professional education requirements; or
3. The Institute of Public Accountants ('the IPA'), who is entitled to use the post-nominals 'AIPA', 'MIPA' or 'FIPA', and it subject to and complies with IPA's continuing professional education requirements.

Section 11(d): Declaration Statement

I/We have read and understood the *Declaration* set out in *Section 11(a)* above.

I/We hereby certify that I/We am/are either a Wholesale Client or a Sophisticated Investor, as indicated in *Section 11(b): Investor Eligibility Declaration* above.

I/We have read and understood the *Investor Certificate* and that the information set out in the certificate is true and correct.

Full name(s):

Signature:

Date:

/ /
d d m m y y y y

> Please proceed to *Section 12: Signatures*

Section 12: Signatures

Section 12(a): Who should sign?

Non-individual investor

Corporate investors/corporate trustees must sign under seal; or the application must be signed by two directors; or a director and the company secretary; or by a sole director/sole secretary (if applicable). For superannuation entities and trusts, each trustee must sign. If the application is being signed under Power of Attorney, please attach a certified copy of the Power of Attorney with this application.

Individual investor

The individual(s) in whose name the investment is held should sign.

Section 12(b): Account signing authority

Please nominate the signatory required when written authorisation is required on the account. If you do not nominate one individual we will assume all must sign.

Sole Director and Secretary or Sole Trustee

Either can sign

All must sign

Section 12(c): Signatures

Executed as a deed poll in favour of the Responsible Entity

Investor 1

Full name(s) of investor/Director/Secretary/Trustee:

Signature:

Date:

/ /

Investor 2

Full name(s) of investor/Director/Trustee:

Signature:

Date:

/ /

Company Seal (if required)

> Please proceed to Section 13: Submitting your Application

Section 13: Submitting your Application

Section 13(a): Tax File Numbers and Australian Business Numbers

Unitholders may quote their Tax File Number ('TFN') or Australian Business Number ('ABN') (if applicable) in relation to their investment. It is not an offence to fail to quote a TFN or ABN, but if we are not notified of a TFN, ABN or exemption, tax must be withheld from distributions at the highest marginal rate plus the Medicare Levy.

Section 13(b): Submission via post

You will need to send original or certified copies of the requested identification documents with your completed Application Booklet and any supporting documentation.

Please see to the right for a list of professionals who are able to certify those documents for you.

Please send the completed Application Booklet and any relevant supporting documentation to:

**C/- Link Market Services
Locked Bag 5038
Parramatta NSW 2124**

Section 13(c): Submission via Financial Adviser

You do not need to include certified copies of the identification documentation - if your Financial Adviser is processing your application they can verify your identity for you.

Please ensure your adviser completes Section 10(i): Record of Verification Procedure. Submission via Financial Adviser can be sent by post (address above) or by email to clientservices.au@invesco.com.

Section 13(d): Application turnaround time

We will endeavour to open your account as soon as possible. In some circumstances, where questions are not completed, we will not be able to open your portfolio until this information is provided/completed. If we do not receive completed documentation within 30 days, money will be returned. If your Application Booklet is incomplete and does not allow us to fulfil our AML/CTF legal obligations, the processing of your application may not proceed until it has been completed in full.

Section 13(e): Submission checklist

Please confirm that you have provided the following:

Completed Application Booklet

Relevant supporting client identification documents
(see Section 10: Investor identification documents)

Who can certify documents?

A certified true copy is a copy of a document that has been certified as a true copy of the original, by a person authorised to certify documents. It may include a certified true copy of a print out from an electronic source such as a website. The authorised person should also print their name and position and if possible affix an official stamp.

Persons authorised to certify documents are:

- An officer with, or authorised representative of, a holder of an Australian Financial Services Licence (AFSL), having 2 or more continuous years of service with one or more licensees;
- Finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993);
- An officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993);
- A permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
- A Justice of the Peace;
- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described);
- A judge of a court;
- A magistrate;
- A chief executive officer of a Commonwealth court;
- A registrar or deputy registrar of a court;
- An Australian police officer;
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955);
- A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership; or
- A notary public officer (for the purposes of the Statutory Declaration Regulations 1993).

The person certifying the document must see the original and the copy they are to certify.

Need help?

For assistance in completing this form please contact us:

- Freecall 1800 813 500
- clientservices.au@invesco.com

Section 14: Adviser details

Financial Adviser details:

AFSL Number:

Dealer Group name:

Full name(s):

Company name:

Email:

Telephone Number:

Adviser declaration

I declare that I am lawfully authorised to advise on, and deal in, the financial product offered in the IM under an AFSL.

I acknowledge and agree that Invesco is entitled to rely on the customer identification procedures conducted by advisers who complete *Section 10: Investor identification documents* on behalf of the investor.

If I am an Adviser who has identified and verified the investor, by signing this Application Form and in consideration of Invesco accepting this Application, I further agree to:

- Retain a copy of the completed forms and all identification documents received from the investor in the investor's file for 7 years after the end of my relationship with the investor; and
- Advise Invesco in writing when my relationship with the investor is terminated and agree to promptly provide Invesco with all identification documents and/or the record of identification received from the investor at this time, or as otherwise requested from Invesco, from time to time.

Adviser Stamp

By stamping this application you are confirming that you hold a current AFS licence and are authorised to deal in and advise on these managed investment products.

Adviser Signature:

Date:

/ /
d d m m y y y y