



Unless an exemption is applicable under the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission: 除非獲得《證券及期貨事務監察委員會持牌人或註冊人操守準則》適用的豁免：

Invesco will conduct a Suitability Assessment comparing the product risk rating against your risk tolerance level (which is derived from the latest Risk Profile Questionnaire you submitted and your answers to Question 1 to Questions 5 above). If there is a match, we will process the order and subject to the terms and conditions of the latest Offering Document. **However, if there is a mis-match, Invesco will not process the order when a mismatch of risks occurs unless we are able to reach you to understand your investment rationale and receive confirmation.** 景順會透過比較產品風險評級與閣下的風險承受程度（根據閣下所遞交最近的「風險取向問卷」及閣下於以上問題 1 至問題 5 之答案）來進行適合性評估。如結果是相符，我們會繼續執行有關交易指示及受制於有關基金銷售文件的條款。然而，**如結果是不相符的話，景順將不會執行產品風險評級與閣下的風險承受程度不相符的交易指示，除非我們能夠在交易前與閣下聯絡並了解閣下的投資意向及確認指示。**

If Invesco Hong Kong Limited and/or Invesco Asset Management Asia Limited solicit the sale of or recommend any financial product to you, the financial product must be reasonably suitable for you having regard to your financial situation, investment experience and investment objectives. No other provision of this form or any other document we may ask you to sign and no statement we may ask you to make derogates from this clause. 假如景順投資管理有限公司及/或景順投資管理亞洲有限公司向閣下招攬銷售或建議任何金融產品，該金融產品必須是我們經考慮閣下的財政狀況、投資經驗及投資目標後而認為合理地適合閣下的。本表格的其他條文或任何其他我們可能要求閣下簽署的文件及我們可能要求閣下作出的聲明概不會減損本條款的效力。

Note 註：

"Financial product" means any securities, futures contracts or leveraged foreign exchange contracts as defined under the Securities and Futures Ordinance. Regarding "leveraged foreign exchange contracts", it is only applicable to those traded by persons licensed for Type 3 regulated activity. "金融產品"指《證券及期貨條例》所界定的任何證券、期貨合約或槓桿式外匯交易合約。就“槓桿式外匯交易合約”而言，其只適用於由獲得發牌經營第 3 類受規管活動的人所買賣的該等槓桿式外匯交易合約。

## Section 4 第四部份 – Risk Disclosure Statement 風險聲明

The prices of shares/units fluctuate, sometimes dramatically. The prices of shares/units may move up or down, and may become valueless. It is as likely that losses will be incurred rather than profit made as a result of buying and selling securities. Funds may invest in emerging markets or single countries or industry sectors. The investment focus of such Funds might give rise to increased risk over more diversified funds. Also, there are special risks involved with investment in hedge funds/absolute return funds. Before making an investment decision, the applicant should read and fully understand the risks set out in the Offering Document, and obtain professional advice. 基金價格有時可能會非常波動。基金價格可升可跌，甚至變成毫無價值。買賣證券未必一定能夠賺取利潤，反而可能會招致損失。若干基金可投資於新興市場或單一國家或工業行業。該等基金的投資焦點可引致該等基金相對於較為分散投資的基金之風險較大。而投資對沖基金/絕對回報基金存在特殊風險。於作出投資決定前，申請人需閱讀並完全明白銷售文件所載之風險及聽取專業意見。

## Section 5 第五部份 – Declarations and Signature(s) 聲明及簽署

- I/We acknowledge that I/we have received, read and understood the most up to date version of the Offering Document relating to the funds subscribed to and the Terms and Conditions of the Application Form. I/We undertake to be bound by these documents. 本人/吾等聲明已收到、閱讀和理解所認購基金的銷售文件之最新版本和申請表格的細則和條款，並同意受到該等文件的約束。
- Investors are recommended to evaluate his/her investment needs and risk profile before making investment decisions. 投資者在作出投資決定前，應先了解閣下的投資需要及風險承受能力。
- I/We acknowledge that I/we have understood the risks involved in the fund investment and have read the Offering Document for details of the risk factors. I/We understand that Invesco will not evaluate the appropriateness of my/our dealing orders. 本人/吾等明白基金投資涉及的風險，並已閱讀有關銷售文件。本人/吾等明白景順不會就本人/吾等所作交易指令的適當性作任何評價。
- I/We agree that my/our personal details, identity proof, address proof and /or relevant documents may be transferred to the registrar/administrator or Invesco delegates which may be a third party organization and is not part of Invesco Ltd Group for anti-money laundering checking, processing data and/or my/our instructions. Please refer to Section 7 for details. 本人/吾等同意景順可提供本人/吾等之個人資料、身份證明、住址證明及/或有關文件給予有關基金的過戶登記處/行政管理人或有關景順代表（該代表可能是第三方機構而非 Invesco Ltd 集團之公司），以作打擊洗黑錢的查證、處理資料及本人/吾等之指示。詳情請參閱第七部份。
- I/We agree that, the personal data to be supplied in this form are to be used for the purpose(s) as described in Section 7. 本人/吾等同意在表格提供的個人資料，將被用作本表格內第七部份敘述的目的。

<p>1. Signature 簽署</p> <hr/> <p>Print name 姓名</p> <hr/> <p>Contact phone number 聯絡電話</p> <hr/> <p>Discount (if applicable) 折扣（如適用）</p> <hr/> <p>Date 日期</p> <hr/>	<p>2. Signature 簽署</p> <hr/> <p>Print name 姓名</p> <hr/> <p>Financial Advisor's Stamp &amp; Authorized Signature(s) 財務顧問印章及授權簽署</p> <hr/>
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## Invesco Asset Management Asia Limited 景順投資管理亞洲有限公司

Enquiry: Invesco Funds Hotline: +852 3191 8282  
E-mail: investorservices@invesco.com

查詢: 景順基金熱線: +852 3191 8282  
電郵: investorservices@invesco.com

### Subscription & Forms:

Mail to: Hong Kong Fund Services  
Invesco Asset Management Asia Limited  
(SFC CE#: AAG916)  
41/F, Champion Tower  
Three Garden Road, Central,  
Hong Kong  
Fax to: +852 3128 6512

### 認購及遞交表格:

郵寄: 香港基金服務  
景順投資管理亞洲有限公司  
(SFC CE#: AAG916)  
香港中環花園道三號  
冠君大廈四十一樓  
傳真: +852 3128 6512

For Invesco use only 只供景順使用	RPQ received on	Suitability Assessment YES / NO	Handled by	Sign
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## Section 6 第六部份 – How to Make Payment 怎樣付款

Only accept electronic fund transfer for subscription payment. 祇接受電匯形式支付認購款項。

Payments will not be accepted from third parties. 由第三方支付之款項概不接受。

Subscription payments should be made to the designated accounts of State Street Trust (HK) Limited as below:

認購款項應轉賬往 State Street Trust (HK) Limited 以下所指定之賬戶:

Account Name 賬戶名稱	SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION
Address 地址	68th Floor, Two International Finance Centre, 8 Finance Street, Central, Hong Kong

<b>USD 美元</b>	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Correspondent Bank 代理銀行 Correspondent SWIFT 代理銀行代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	Bank of America N.A. Hong Kong BOFAHKHX Bank of America N.A. New York BOFAUS3N SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION 71188-028 <i>(please quote your account number)</i>
<b>HKD 港元</b>	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	Bank of America N.A. Hong Kong BOFAHKHX SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION 71188-094 <i>(please quote your account number)</i>
<b>EUR 歐元</b>	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Correspondent Bank 代理銀行 Correspondent SWIFT 代理銀行代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	Bank of America N.A. Hong Kong BOFAHKHX Bank of America N.A. London BOFAGB22 SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION 71188-086 <i>(please quote your account number)</i>
<b>GBP 英鎊</b>	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Correspondent Bank 代理銀行 Correspondent SWIFT 代理銀行代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	Bank of America N.A. Hong Kong BOFAHKHX Bank of America N.A. London BOFAGB22 SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION 71188-078 <i>(please quote your account number)</i>
<b>JPY 日圓</b>	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Correspondent Bank 代理銀行 Correspondent SWIFT 代理銀行代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	Bank of America N.A. Hong Kong BOFAHKHX Bank of America N.A. Tokyo BOFAJPJX SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION 71188-101 <i>(please quote your account number)</i>
<b>AUD 澳元</b>	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Correspondent Bank 代理銀行 Correspondent SWIFT 代理銀行代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	Bank of America N.A. Hong Kong BOFAHKHX Bank of America N.A. Sydney BOFAAUSX SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION 71188-044 <i>(please quote your account number)</i>
<b>NZD 紐元</b>	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Correspondent Bank 代理銀行 Correspondent SWIFT 代理銀行代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	Bank of America N.A. Hong Kong BOFAHKHX Bank of New Zealand, Wellington BKNZLN22985 SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION 71188-052 <i>(please quote your account number)</i>
<b>CAD 加元</b>	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Correspondent Bank 代理銀行 Correspondent SWIFT 代理銀行代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	Bank of America N.A. Hong Kong BOFAHKHX Bank of America Canada Toronto BOFACATT SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION 71188-036 <i>(please quote your account number)</i>
<b>SGD 新加坡元</b>	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Correspondent Bank 代理銀行 Correspondent SWIFT 代理銀行代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	Bank of America N.A. Hong Kong BOFAHKHX Bank of America N.A. Singapore BOFASG2X SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION 71188-060 <i>(please quote your account number)</i>
<b>RMB 人民幣</b> (RMB represents RMB traded in offshore markets. RMB 代表人民幣交易於離岸市場)	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	Bank of America N.A. Hong Kong BOFAHKHX SST (HK) LTD ATF INVESCO TRUST - SUBSCRIPTION 71188-010 <i>(please quote your account number)</i>

## Section 7 第七部份 – Personal Information Collection Statement 收集個人資料聲明

I/We, by signing herein, hereby expressly consent that my/our personal data may be held by the Trustee and/or the Manager and will be used for the purposes of processing and administering my/our subscription in the Fund, and may also be used for the purpose of carrying out my/our instructions or responding to any enquiry purporting to be given by me/us or on my/our behalf, dealing with any other matters relating to my/our subscription in the Fund (including, where applicable, the mailing of reports or notices), forming part of the records of the recipient as to the business carried on by it, observing any legal, governmental or regulatory requirements of any relevant jurisdiction (including any disclosure or notification requirements to which any recipient of the data is subject). 本人/吾等，透過簽署本文件，謹此明確同意受託人及/或基金經理可保留本人/吾等的個人資料以作為處理及管理本人/吾等認購本基金之用；同時亦可用作執行本人/吾等的指示或答覆本人/吾等或以本人/吾等名義作出的查詢；或其他有關認購本基金之事項(包括，如適用，郵寄報告或通告)；此等資料將構成收款人業務之部份記錄；以遵行任何有關適用司法管轄區的法律、政府或監管規定(包括任何所屬收款人的資料披露及通知的規定)。

I/we also acknowledge and by signing herein expressly consent that the Trustee and/or the Manager may disclose and transfer such information to the auditors of the Fund and the Manager, including any of their employees, officers, directors and agents and/or to the ultimate holding company of the Manager and the Trustee and/or their subsidiaries and/or affiliates or to any third party employed to provide administrative, computer or other services or facilities to any person to whom data is provided or may be transferred as aforesaid and/or to any regulatory authority entitled thereto by law or regulation (whether statutory or not), which persons may be persons outside Hong Kong. Your information shall be disclosed to third parties where necessary for legitimate business interests only. This may include disclosure to third parties such as auditors, regulators, tax authorities or agents of the Manager, Trustee, Distributor, Administrator and/or Registrar who process the data inter alia for anti-money laundering and counter-terrorist financing purposes, for Foreign Account Tax and Compliance Act ( "FATCA" ) purposes or for compliance with foreign regulatory requirements. 本人/吾等亦確認及透過簽署本文件，謹此明確同意受託人及/或基金經理可披露或轉交有關資料予本基金的核數師及基金經理，及其僱員、主任、董事及代理人；及/或基金經理及信託人的最終控股公司；及/或附屬公司及/或聯營機構；或其僱用之第三者以提供行政、電腦或其他服務或設備；及/或受法律或監管規定授權之任何監管機構(無論是否法定機構)及/或僱主或其有關聯公司，而此等人士可以是非居港人士。閣下的資料僅在必要時，為合法的商業利益而向第三方披露，當中可能包括向核數師及監管當局、稅務當局或基金經理、受託人、經銷商、行政管理人及過戶登記處的代理人等第三方披露，而該等第三者處理資料的目的是在於防止洗黑錢及反恐怖份子集資活動的目的、就美國《海外賬戶稅收合規法案》(“《合規法案》”)目的，或為了遵從外國法規要求。

Under the Personal Data (Privacy) Ordinance of Hong Kong, you have the right to obtain a copy of the information held about you, for which you may be charged a fee. Such request should be directed to the Data Protection Officer c/o Head of Compliance, Greater China at the address of Invesco Hong Kong Limited. The Manager, or any company within the Invesco Ltd. Group, or companies of the group of the Trustee / Administrator / Registrar and Custodian, and agents or delegates of such companies, intend to use your name, telephone number, fax number, email address, correspondence address, investment records for direct marketing purposes in connection with investments in other investment fund(s) managed or administered by the Invesco Ltd Group which may include the provision of offers, promotions and benefits by companies within the Invesco Ltd. Group. However, we may not do so unless we have received your consent. 根據香港的個人資料(私隱)條例，閣下有權在可能須支付費用的情況下，索取一份有關閣下的個人資料複本。請把有關要求郵寄至景順投資管理有限公司的地址，向資料保障主任轉交大中華區監察總監提出。基金經理，或景順集團旗下任何公司，或受託人/行政管理人/過戶登記處/保管人之集團公司，以及該等公司的代理人或受委託人，擬把閣下的姓名、電話號碼、傳真號碼、電郵地址、郵寄地址、投資紀錄用作與投資於由景順集團管理或進行行政管理之其他投資基金相關之直接市場推廣用途，可能包括由景順集團旗下公司提供的優惠、宣傳及利益。然而，除非得到閣下的同意，否則我們不得作出上述行動。

Please sign on Section 5 of this form to indicate your agreement to such use. Should you find such use of your personal data not acceptable, please indicate your objection before signing by ticking the box below. 請於本表格第五部份簽署，以表示閣下同意我們作出上述用途。若閣下不接受把其個人資料作上述用途，請於簽署前在以下方格加上剔號，以表示閣下提出反對。

By ticking herein, I/we do not agree to the use of my/our personal data for direct marketing purposes as mentioned in the above paragraph. 本人/吾等謹在此處註明，不同意本人/吾等的個人資料以作上文所述的直接市場推廣用途。

You may request at any time without charge request us to cease using your personal data for direct marketing purposes as mentioned in the above paragraph. Such request should be directed to the Data Protection Officer c/o Head of Compliance, Greater China at the address of Invesco Hong Kong Limited. 閣下可隨時要求我們停止使用其個人資料以作前段所述的直接市場推廣用途，而無需繳付任何費用。請把有關要求郵寄至景順投資管理有限公司的地址，向資料保障主任轉交大中華區監察總監提出。