

## INVESCO SELECT RETIREMENT FUND – SAVINGS CLASS

### 景順特選退休基金 – 儲蓄類別

#### APPLICATION & SUBSCRIPTION FORM 申請及認購表格

#### For Rollover from Retirement Scheme 轉移自退休計劃專用

- It is recommended that you read the latest offering document (“Offering Document”) for Invesco Select Retirement Fund (“Fund”) before completing this Application & Subscription Form (the “Form”). 建議閣下在填寫此申請及認購表格（「表格」）前應先閱讀景順特選退休基金（「基金」）最新的銷售文件（「銷售文件」）。
- You should note that investment markets could fluctuate significantly. Fund prices may go down as well as up. There is no guarantee that, given the time required to implement such application, such instructions will achieve your desired results. Please carefully consider your own risk tolerance level and financial circumstances (as well as your own retirement plan) before making any investment choices. If in doubt, please contact your independent financial advisor for further details. 閣下必須注意投資市場可能出現顯著的波動，基金單位價格可跌可升。由於處理有關申請需要一定的時間，因此未必能夠保證達到您預期的結果。在作出投資選擇前，您必須小心衡量個人可承受風險的程度及財政狀況（包括您的退休計劃）。如有任何疑問，請諮詢您的獨立財務顧問了解更多詳情。
- Please use blue or black ball pen and complete this Form in BLOCK LETTERS. 請以藍色或黑色原子筆及正楷填寫此表格。
- All amendments should be signed. 如有任何刪改，必須在旁加簽。

#### Section 1 – Details of Subscriber 第 1 部份 – 認購人詳情

	Surname* 姓*	First Name* 名*	HKID Card / Passport No. 香港身份證/護照號碼	Nationality 國籍
<b>Individual Account Holder</b> 個人帳戶持有人 (Mr.先生/Ms.女士/Mrs.太太)				

\* Must be identical to HKID Card / Passport 必須與香港身份證/護照相同

Residential Address 住址	
Contact Number 聯絡電話號碼	Mobile Phone Number 手提電話號碼
Email Address 電郵地址	Fax Number 傳真號碼

If you are an existing unitholder of Invesco Select Retirement Fund – Savings Class\*, please quote your account number in the box below.  
閣下如屬景順特選退休基金 - 儲蓄類別的現有單位持有人\*，請於下列空格填上閣下的帳戶號碼。

Existing account number 現有帳戶號碼

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\*Not including employees who invest through ORSO schemes. 並不包括透過職業退休計劃投資的僱員。

#### Section 2 – Details of Transfer from ORSO Scheme 第 2 部份 – 轉移自職業退休計劃詳情

(Only Applicable to ORSO Scheme 只適用於職業退休計劃)

<b>ORSO Scheme Information 職業退休計劃資料</b>
Name of Employer 僱主名稱

I elect to have **ALL proceeds from ORSO scheme** (except proceeds required to be reserved under “Minimum MPF Benefits”<sup>#</sup> and/or encash long service payment arrangements) to be transferred to Savings Class of the **same** sub-fund(s). 本人選擇將**自上述計劃的所得款項**（須保留的「最低強積金利益」<sup>#</sup>及/或可兌現的「長期服務金」除外）轉移至**相同**附屬基金的儲蓄類別。

<sup>#</sup> Only applicable to employees who joined an MPF-exempted ORSO scheme after 1 Dec 2000. According to the MPF regulations, these employees are required to preserve “Minimum MPF Benefits” in a MPF scheme after termination of employment. 只適用於2000年12月1日後參加獲強積金豁免職業退休計劃的僱員。根據強積金條例，這些僱員在離職後必須將「最低強積金利益」保留於強積金計劃內。

#### Section 3 – Method of Payment 第 3 部份 – 付款辦法

Please inform your scheme trustee about your rollover before your last employment day. The scheme trustee will transfer your retirement scheme benefits to Invesco directly. If you have already received your retirement scheme benefit from your scheme trustee, payment for rollover subscription should be made by cheque, bank draft or telegraphic transfer (TT). Please also submit a copy of your “Termination Benefit Statement” as proof for scheme membership and benefit amount. **Payments will not be accepted from third parties.** 請於離職前通知閣下的計劃信託人有關退休計劃利益的轉移，計劃信託人會直接轉移款項至景順。如閣下已從計劃信託人收到退休計劃的利益，請以支票，銀行匯票或電匯交付有關轉移申請的款項，**並請附上計劃成員離職權益報表，以便核實成員身份及計劃權益。由第三方支付的款項概不接受。**

1.  Cheque 支票  Bank draft 銀行本票  Intl. Money Order 國際郵政匯票

All cheques, bank drafts and money orders should be made payable to “**Bank Consortium Trust Company Limited as Trustee of Invesco Select Retirement Fund**” and crossed “**A/C Payee Only, not negotiable**” and sent to Hong Kong Fund Services, Invesco Hong Kong Limited at 45/F, Jardine House, 1 Connaught Place, Central, Hong Kong. Please quote your full name and HKID Card / passport number as reference.

所有支票、銀行本票及郵政匯票均應以「**Bank Consortium Trust Company Limited as Trustee of Invesco Select Retirement Fund**」為收款人，以「**只准入收款人帳戶，不得轉讓**」劃線方式開出，並寄往 景順投資管理有限公司 香港基金服務，地址為香港中環康樂廣場一號怡和大廈四十五樓。請列明閣下的姓名及香港身份證/護照號碼作參考。

2.  Telegraphic Transfer (“TT”) 電匯

Please transfer the payments to 請電匯致:

**In Hong Kong Dollar (HKD) 港元**

Bank Name 銀行名稱 : Citibank, N.A. Hong Kong  
SWIFT Code 代碼 : CITIHKHX  
A/C Name 帳戶名稱 : Bank Consortium Trust Company Limited as Trustee of Invesco Select Retirement Fund  
A/C No. 帳戶號碼 : 006-391-61086576  
Ref 參考編號 : \_\_\_\_\_ \*

\* Please quote your full name and HKID Card / passport number as reference.  
請列明閣下的姓名及香港身份證/護照號碼作參考。

Note: Subscription of HK\$ Money Market Fund will be made after subscription monies have been cleared.  
附註: 港元貨幣市場基金之認購申請, 將會在認購款項結算後才進行。

## Section 4 – Documentation Required 第 4 部份 – 所需文件

Please ensure that you include all anti-money laundering and counter-terrorist financing documentation applicable to each applicant. Failure to correctly complete all relevant sections of this Form and/or failure to provide the correct anti-money laundering and counter-terrorist financing documentation may result in the rejection of or delay in processing your application and/or the suspension of holdings/payments until the requisite identity documentation is received and is to the satisfaction of the Manager and/or the Trustee. 請附上適用於每位申請人的全部防止洗黑錢及反恐怖份子集資活動文件。倘閣下未能正確填寫本表格所有相關部份及/或未能提供正確的防止洗黑錢及反恐怖份子集資活動文件, 或會導致申請被拒或延遲處理及/或持股/付款被凍結, 直至閣下遞交所需之證明文件, 並符合基金經理及/或受託人的規定為止。

All Applicants must provide the original or certified true copies<sup>1</sup> of the relevant documentation below.  
所有申請人必須提供以下相關文件的正本或核證副本<sup>1</sup>。

### For Individual 個人客戶:

1. Hong Kong Permanent Identity Card or Hong Kong Identity Card with valid Passport.  
香港永久性居民身份證或香港居民身份證及其有效護照。
2. Proof of Residential Address (e.g. utility bills or bank statements, bearing full name and residential address, no older than 3 months) of each Holder.  
每位客戶之居住地址證明 (例如最近三個月附有全名及居住地址之電話費、水費、電費單或銀行月結單等)。

<sup>1</sup> Certified true copies of the original documents should be independently certified by a practising Solicitor/Attorney, Notary Public, or Regulated Financial Institution. Copies must be marked “true copy of the original document” and must be stamped, signed and dated by the certifying party. 正本文件的核證副本應由執業律師/法定代理人、公證人或受監管金融機構獨立核證。副本必須附有「此為正本文件的真實副本」字樣, 並由核證者蓋印、簽署及寫上日期。

### Other Forms Required 其他所需表格:

1. Application & Subscription Form 申請及認購表格
2. Individual Self-Certification for FATCA and CRS 《外國賬戶稅收遵從法》及《共同匯報標準》個人自行證明
3. Investor Profile Questionnaire 投資者取向問卷

### Important Note 重要資料:

The Trustees and/or the Manager reserves the right to request additional documentation and to apply such Client Due Diligence measures as may be reasonably warranted in its sole opinion, from time to time, for the purpose adherence to Anti-Money Laundering and Counter-Terrorist Financing laws and regulations, in any relevant jurisdiction. Information required pursuant to tax legislation which might be applicable because the country of domicile, residence or citizenship may also be required. 為遵守任何有關適用司法管轄區的防止洗黑錢及反恐怖份子集資活動法律及法規, 信託人及/或基金經理有權要求閣下遞交額外的文件, 並會基於其單獨意見, 不時向客戶進行合理的盡職查證措施。或須提供基於戶籍、居留權或公民權所屬國家而可能適用的稅務法例所需的資料。

## Section 5 – Other Important Information 第 5 部份 – 其他重要資料

IMPORTANT: The information set out below must be read in conjunction with the Form and the Offering Document of the Fund. 重要事項: 以下資料務必與本表格以及本基金之銷售文件一併閱讀。

### Risk Disclosure Statement 風險披露聲明

The prices of Fund units fluctuate, sometimes dramatically. The prices of Fund units may move up or down, and may become valueless. It is as likely that losses will be incurred rather than profit made as a result of buying and selling Fund units. Furthermore, investment in the Fund involves significant risks including, among others, investment risk, risk associated with specific nature of a fund of funds, equities risk, risk with international investing, credit risk, interest rate risk. In the worst case scenario, investors may incur substantial loss of their investment in the Fund. 基金單位價格有時可能會非常波動。基金單位價格可升可跌, 甚至變成毫無價值。買賣基金單位未必一定能夠賺取利潤, 反而可能會招致損失。此外基金投資涉及重大風險, 其中包括投資風險、與基金中基金的特定本質相關的風險、股票風險、國際性投資的風險、信用風險、利率風險。在最壞的情況下, 投資者投資於本基金可能蒙受重大損失。

Before making an investment decision, the applicant should read and fully understand the risks set out in the Offering Document, and obtain independent professional advice. 於作出投資決定前, 申請人需閱讀並完全明白銷售文件所載之風險及聽取獨立專業意見。

## **Fees, Charges and Risk 收費、費用及風險**

The fees, charges and risks applicable to each sub-fund (the “Sub-Fund”) of the Fund are set out in the Offering Document of the relevant Sub-Fund. Please refer to these documents for further information on the fees, charges and risks applicable. 本基金各附屬基金（「附屬基金」）的收費、費用及風險均載於有關附屬基金之銷售文件。如欲進一步了解適用的收費、費用及風險，請參閱上述文件。

## **Manager 基金經理**

Invesco Hong Kong Limited (CE Ref AAJ770) (“Invesco”) is licensed with the Securities and Futures Commission of Hong Kong to carry out dealing in securities, advising on securities, advising on futures contracts and asset management (i.e. Types 1, 2, 4, 5 and 9 regulated activities). 景順投資管理有限公司（中央編號 AAJ770）（「景順」）獲香港證券及期貨事務監察委員會發牌進行證券交易、就證券提供意見、就期貨合約提供意見及提供資產管理（即第 1、2、4、5 及 9 類受規管活動）。

If Invesco solicits the sale of or recommends any financial product to me/us, the financial product must be reasonably suitable for me/us having regard to my/our financial situation, investment experience and investment objectives. No other provision of this Form or any other document Invesco may ask me/us to sign and no statement Invesco may ask me/us to make derogates from this clause. For the purpose of this clause, “financial product” means any securities, futures contracts or leveraged foreign exchange contracts as defined under the Securities and Futures Ordinance. Regarding “leveraged foreign exchange contracts”, it is only applicable to those traded by persons licensed for Type 3 regulated activity. 若景順向本人/吾等招攬銷售或建議任何金融產品，該金融產品必須是景順經考慮本人/吾等的財政狀況、投資經驗及投資目標後認為合理地適合本人/吾等的產品。本表格的其他條文、景順可能要求本人/吾等簽署的任何其他文件及景順可能要求本人/吾作出的任何聲明概不會減損此條款的效力。就此條款而言，「金融產品」指《證券及期貨條例》所界定的任何證券、期貨合約或槓桿式外匯交易合約。就「槓桿式外匯交易合約」而言，其只適用於由獲得發牌經營第 3 類受規管活動的人所買賣的該等槓桿式外匯交易合約。

## **Section 6 – Authorization and Declaration 第 6 部分 – 授權及聲明**

I/We declare that: 本人/吾等聲明：

1. I/We understand that this application is made on the basis of, and subject to, the current prospectus (and addendum thereto, if any) (the “Offering Document”) and the constitutive documents of the Fund, and I/we agree to be bound by and subject to the provisions of the Offering Document and constitutive documents of the Fund as may be amended from time to time. I/we confirm that I/we have received and read the current Offering Document, this Form and the financial reports of the Fund prior to making this application. I/We have also been invited to ask questions and take independent advice in respect of any questions I/we may have. 本人/吾等明白此項申請乃根據本基金的現行基金章程（及其附錄，如有）（「銷售文件」）及組成文件而作出，並受其規束。本人/吾等同意受本基金之銷售文件及組成文件（經不時修訂）之條文規限及受其約束。本人/吾等確認本人/吾等在提出申請前已收到並閱讀本基金現行銷售文件、本表格及財務及報告。本人/吾等亦已獲邀請提出問題，以及就本人/吾等可能存有的任何問題尋求獨立意見。
2. I/We confirm and acknowledge that all terms, declarations and notes contained in this Form will continue to apply in respect of subsequent orders and in particular all subsequent orders will be made on the basis of then current Offering Document and constitutive documents of the Fund and the most recent financial reports published hereafter. 本人/吾等確認及承認，本表格所載之所有條款、聲明及註釋將繼續適用於其後交易，特別是所有後續交易將根據本基金當時之銷售文件及組成文件及此後刊發之最新財務報告所作出。
3. I/We agree and acknowledge that Invesco may amend the terms as set out in this Form from time to time, and may re-issue such terms, in whole or in part, at any time in the event of a material change in the information provided herein. The amended terms will become effective from the date of such re-issue or the effective date as specified therein, whichever is later. In addition, I/we may at any time request for a copy of the most recent terms free of charge. 本人/吾等同意並確認景順可不時修訂本表格中之條款，並可在本表格所提供之資料發生重大變化時隨時發佈全部或部分該等條款。經修訂後之條款將由發佈當日或其中指定之生效日期起生效，以較遲者為準。此外，本人/吾等可隨時索取最新條款的副本，費用全免。
4. I/We agree to accept units of the number or to the value applied for or such smaller number or value as may be issued and request that such units be issued in the name(s) referred to in Section 1. 本人/吾等同意接納所申請數目或價值或所獲發行而數目或價值較少的單位，並要求以第 1 部分所述名義發行該等單位。
5. I/We declare that I am/we are over 18. 本人/吾等聲明本人/吾等已超過 18 歲。
6. I/We undertake that if payment is being made by cheque, the cheque will be met on first presentation. 本人/吾等承諾如以支票付款，支票將於首次提交過戶時兌現。
7. I/We declare that I am/we are not a US Person as defined in the current Offering Document and that I am/we are not applying as the nominee or agent of a US Person or for the benefit or account of a US Person. 本人/吾等聲明本人/吾等並非美國人（定義見現行銷售文件），而本人/吾等亦非作為美國人之代名人或代理人或為美國人的利益或賬戶之身份而提出申請。
8. I/We declare, represent and warrant that I/we have the legal capacity and authority to execute this Form and to subscribe for, redeem, transfer and/or switch units of the Fund. The person(s), if any, signing on behalf of an investor represent(s) and warrant(s) that they are duly authorized to sign this Form and to subscribe for units of this Fund. 本人/吾等聲明、表示及保證本人/吾等擁有簽署本表格及認購、贖回、轉讓及/或轉換本基金單位的法定資格及權力。代表投資者簽署之人士（如有）表示及保證其獲正式授權簽署本表格及認購本基金的單位。
9. I/We acknowledge that (a) this Form is a written contract and is irrevocable once dispatched; (b) application for subscribing, redeeming, transferring and/or switching units in the Fund, together with this Form or otherwise, shall not constitute acceptance by the Manager and/or Trustee who reserves the right to reject any such application in its absolute discretion; and (c) any application monies received for a rejected application will be returned to the applicant without interest and at the applicant's risk. 本人/吾等確認 (a) 本表格為書面合約，一經發送即不得撤回；(b) 申請認購、贖回、轉讓及/或轉換本基金單位，連同本表格或其他方面，並不構成投資經理及/或信託人的接受，而投資經理及/

或信託人保留絕對酌情權拒絕任何該申請的權利；及（c）任何已收取的申請款項因申請被拒絕將退還給申請人，不計利息，並由申請人承擔風險。

10. I/We understand that units in the Fund will only be issued in un-certificated form. 本人/吾等明白基金之基金單位僅會以無證書方式發行。
11. I/We understand that all income and gains of each Sub-Fund will be accumulated and no dividends or distributions will be made out of the assets of any Sub-Fund. 本人/吾等明白每項附屬基金的一切收入及溢利將予累積，任何附屬基金均不會自其資產中撥付股息或分派。
12. I/We agree that the Manager, Trustee and/or their respective agents are hereby authorized to accept and act in accordance with facsimile instructions regarding the subscription, redemption, transfer and/or switching of holdings in my/our or the relevant account (on which behalf I am / we are acting). I/We understand that any such instructions are given at my/our (or the relevant account holder's) own risk; and I/we are fully aware of the risks of omissions, errors, mis-statement, fraud and/or unauthorized interventions by third parties as a result of the Manager, Trustee and/or their respective agents acting upon such instructions. I/we understand that the Manager, Trustee and/or their respective agents are entitled to treat any such instructions as fully authorized by me/us (or the relevant account holder) and shall be under no obligation to verify the authenticity of any such instructions given whether given by fax or in writing. In consideration for the Manager, Trustee and/or their respective agents acting in accordance with the terms of this authorization, I/we hereby irrevocably indemnify the Fund, its investment funds, Manager, Trustee and/or their respective agents on my/our own behalf and on behalf of the relevant account and will keep them indemnified against all losses, claims, actions, proceedings, demands, damages, costs or expenses incurred or sustained by the Fund, its investment funds, Manager, Trustee and/or their respective agents of whatever nature and howsoever arising out of or in connection with the Fund, its investment funds, Manager, Trustee and/or their respective agents acting in accordance with such instructions. 本人/吾等同意投資經理、信託人及/或其各自的代理人謹此獲授權接納傳真指示，並據此就本人/吾等的賬戶或本人/吾等代為行事的相關賬戶的單位認購、贖回、轉讓及/或轉換行事。本人/吾等明白發出任何該等指示的風險均由本人/吾等（或相關賬戶持有人）負責；及本人/吾等完全明白，投資經理、信託人及/或其各自的代理人根據該等指示行事時，因疏漏、錯誤、陳述失誤、欺詐及/或第三方未經授權而作出干預所涉及之風險。本人/吾等明白投資經理、信託人及/或其各自的代理人有權將任何該等指示視為本人/吾等（或相關賬戶持有人）完全授權而作出，且不論該等指示是透過傳真或書面發出，投資經理、信託人及/或其各自的代理人並無責任核實任何該等指示的真確性。為使投資經理、信託人及/或其各自的代理人能按照本授權書的條款行事，本人/吾等謹此不可撤銷地代表本人/吾等及代表相關賬戶持有人彌償基金、其投資基金、投資經理、信託人及/或其各算的代理人，並會對基金、其投資基金、投資經理、信託人及/或其各自的代理人因按照該等指示行事而產生或蒙受的所有損失、申索、訴訟、法律程序、要求、損害、成本或開支作出彌償，不論其性質如何以及不論如何引起或任何與之相關的情況。

The terms of the above authorization and indemnity shall remain in full force and effect unless and until the Manager, Trustee and/or their respective agents receives, and has a reasonable time to act upon, notice of termination from me/us in writing, save that such termination will not release me/us or the relevant account holder from liability under this authorization and indemnity in respect of any act performed in accordance with this authorization and indemnity prior to expiry of such notice. 除非及直至投資經理、信託人及/或其各自的代理人接獲本人/吾等的書面終止通知，並擁有合理的行事時間，以上授權及彌償保障的條款將一直有效及生效，但此等終止不會免卻本人/吾等或相關賬戶持有人根據本授權及彌償保障所承擔的責任，故仍須就該等通知屆滿前按照本授權及彌償保障所作出的任何行為負責。

13. I/we declare that the money to be invested by me/us does not constitute proceeds of any criminal misconduct of whatsoever nature, and in particular proceeds of money laundering and terrorist financing. I/We agree to provide evidence as may be requested from time to time to prove my/our identity for compliance with the relevant anti-money laundering regulations, which includes but not limited to, a certified true copy of passport or national ID card (for individual)/a certified true copy of Certificate of Incorporation (for corporation and institution); and in case the Manager or the Trustee, in its absolute discretion, determine that evidence to its satisfaction has not been received, further documentation or information may be requested and this may result in rejection of or delay in processing my/our application and/or suspension of holdings/payments until the requisite documentation is received to the satisfaction of the Manager and/or the Trustee. I/We understand that the transactions shall not proceed until such documentation or information is received. 本人/吾等聲明，本人/吾等投資之款項並不構成任何性質的刑事不當行為的收益，特別是洗黑錢及恐怖分子資金籌集的收益。本人/吾等同意提供不時被要求的證據，以證明本人/吾等身份符合有關反洗黑錢規例，包括但不限於護照或國民身份證（如屬個人）的核證副本/公司註冊證書（如屬法團及機構）的核證副本；如投資經理或受託人根據其絕對酌情權確定尚未能接獲令其滿意的證據，則可能會要求提供進一步的文件或資料，這可能導致本人/吾等的申請被拒絕或延遲處理和/或暫停持有/支付，直至投資經理和/或信託人收到令其滿意的必要文件為止。本人/吾等明白，在收到該等文件或資料之前，交易將不會進行。
14. I/We hereby hold harmless, the Manager, Trustee, Custodian, Registrar and Sale and Redemption agents of the Fund, in relation to any losses or expenses incurred as a result of any of them acting pursuant to the above considerations. 本人/吾等茲保證本基金的基金經理、信託人、保管人、過戶登記處及銷售與贖回代理人均毋須因彼等任何一方依據上述考慮因素所招致的任何損失或費用而蒙受損失。
15. I/We confirm that the information provided in this Form is accurate and complete. I/We authorize the Manager and/or the Trustee to confirm this from any source the Manager and/or the Trustee may choose. 本人/吾等確定在此表格上填寫的資料均屬正確無訛，並無缺漏。本人/吾等授權投資經理及/或信託人可用任何渠道確實資料的真確。
16. I/We understand that the Manager and/or the Trustee may not be able to process this application if I/we fail to provide any information requested in this Form. 本人/吾等明白倘若本人/吾等未能提供本表格所需的資料，投資經理及/或信託人將可能無法處理有關申請。
17. I/We also confirm that the information provided in the Self-Certification for FATCA and CRS along with this Form is accurate and complete. I/we agree to provide information as may be requested from time to time pursuant to tax legislation which may be applicable because of the country of domicile, residence or citizenship. 本人/吾等並確定在隨附《外國賬戶稅收遵從法》及《共同匯報標準》自行證明上填寫的資料，均屬正確無訛，並無缺漏。本人/吾等同意不時就被要求可能根據所屬國家，居住地或公民身份而適用之稅務條例而提供資料。
18. I/We hereby acknowledge that the Trustee and/or the Manager may disclose information in connection with my participation in the Fund to any person to whom information is required or requested to be disclosed by any tax authority or other governmental agency, to enable the Trustee and/or the Manager to comply with any applicable law or regulation. 本人/吾等謹此確認，信託人及/或基金經理可按任何稅務當局或其他政府機構規定或要求向任何人士披露有關本人參與本基金的資料，使信託人及/或基金經理能遵守任何適用法律或規例。
19. I/We will notify the Trustee and/or the Manager in the event of any material change to the information provided by me/us in this Form, and that the Manager will notify me/us in the event of any material change to the information provided by the Manager in this Form. 若本表格內由本人/吾等所提供之資料有任何重大變動，本人/吾等有責任通知信託人及/或基金經理。另外，本人/吾等明白基金經理亦將會就本表格內由基金經理所提供之資料的任何重大變動，通知本人/吾等。

20. I/We agree and undertake to notify the Manager and/or the Trustee as soon as possible of any changes to the information contained in this Form and the supporting documents provided to you. 本人/吾等同意及承諾於本表格所載之資料及提供予閣下的證明文件如有任何更改，會儘快通知投資經理及/或信託人。
21. I/We agree that any written notices, statements or other documents in relation to this account may be served to me/us by electronic means as the Manager and/or the Trustee deems appropriate. 本人/吾等同意，有關本帳戶的任何書面通知、結單或其他文件，可由投資經理及/或信託人認為適當時，以電子方式送達本人/吾等。
22. I/We confirm that the above authorizations will remain in effect until revoked in writing, with such revocations being received by the Manager and/or the Trustee. 本人/吾等確認上述授權將一直有效，直至投資經理及/或信託人接獲通知書面撤回為止。

23. Personal Information Collection Statement 收集個人資料聲明

I/We hereby expressly consent and acknowledge that 本人/吾等特此明確同意並確認：

- (i) Information supplied on the Form and otherwise in connection with my/our subscription in the Fund may be held by the Trustee and/or the Manager and will be used for the purposes of processing and administering my/our subscription in the Fund, and may also be used for the purpose of carrying out my/our instructions or responding to any enquiry purporting to be given by me/us or on my/our behalf, dealing with any other matters relating to my/our subscription in the Fund (including, where applicable, the mailing of reports or notices), forming part of the records of the recipient as to the business carried on by it, observing any legal, governmental or regulatory requirements of any relevant jurisdiction (including any disclosure or notification requirements to which any recipient of the data is subject). The Manager intends to use my/our personal data (name, telephone number, fax number, email address, correspondence address, investment records) for direct marketing of retirement scheme related products or services but the Manager cannot so use my/our personal data without my/our consent<sup>1</sup>. All such information may be retained after I/we have ceased to subscribe/hold the Fund. Under the Personal Data (Privacy) Ordinance<sup>2</sup>, I/we have the right to obtain a copy of information held about myself/ourselves and for which I/we may be charged a fee. 信託人及/或投資經理可保留本表格所載資料及其他有關認購本基金的資料，以作為處理及管理本人/吾等認購本基金之用；同時亦可用作執行本人/吾等的指示或答覆本人/吾等的查詢；或其他有關認購本基金之事項(包括，如適用，郵寄報告或通告)；此等資料將構成資料接收人業務之部份記錄；以遵行任何有關適用司法管轄區的法律、政府或監管規定(包括任何資料接收人需遵守的披露或通知的規定)。投資經理有意使用本人/吾等之個人資料(姓名，電話號碼，傳真號碼，電郵地址，通訊地址，投資紀錄)用作直接推廣有關退休計劃產品或服務；然而投資經理除非得到本人/吾等同意不能如此使用本人/吾等的個人資料<sup>1</sup>。在本人/吾等停止認購/繼續持有本基金後，信託人及/或投資經理仍可保留上述所有資料。根據個人資料(私隱)條例<sup>2</sup>，本人/吾等有權在支付費用的情況下，索取一份有關本人/吾等個人資料的副本。

<sup>1</sup> Please note that by signing this Form, you expressly agree to the use of your personal data for direct marketing purposes as mentioned herein. The Manager will cease using the personal data for such purpose upon your written or verbal request. 一經簽署本表格，閣下即明確表示同意營辦人為直接市場推廣目的而使用閣下的個人資料。倘接獲閣下之書面或口頭要求，投資經理將會停止為該等目的而使用閣下的個人資料。

If you do not wish your information to be made available for the dispatch of information on retirement scheme related products or services to you from the Manager, please ✓ the box.  如閣下不欲將資料提供給投資經理，以用作向閣下發放有關退休計劃產品或服務資料，請在方格內加上✓號。

<sup>2</sup> Please note that you are entitled under the Personal Data (Privacy) Ordinance to be informed by Invesco whether it holds Personal Data about you and to request access to and/or correction of any such Personal Data. Any such request may be made to Data Protection Officer, Invesco Hong Kong Limited, 45/F, Jardine House, 1 Connaught Place, Central, Hong Kong. 請注意按個人資料(私隱)條例，閣下有權要求景順提供是否持有閣下的個人資料，或要求接觸和/或更改任何個人資料。此類要求，可向資料保障主任作出書面查詢。請郵寄至香港中環康樂廣場一號怡和大廈四十五樓，景順投資管理有限公司收。

- (ii) The Trustee and/or the Manager may disclose and transfer such information to the auditors of the Fund, and the Manager or the Trustee (as the case may be), including any of their employees, officers, directors and agents and/or to the ultimate holding company of the Manager and the Trustee and/or their subsidiaries and/or affiliates or to any third party employed to provide administrative, computer or other services or facilities to any person to whom data is provided or may be transferred as aforesaid and/or to any regulatory authority entitled thereto by law or regulation (whether statutory or not), which persons may be persons outside Hong Kong. My/our information shall be disclosed to third parties where necessary for legitimate business interests only. This may include disclosure to third parties such as auditors, regulators, tax authorities or agents of the Manager, Trustee, Distributor, Administrator and/or Registrar who process the data inter alia for anti-money laundering and counter-terrorist financing purposes, for Foreign Account Tax and Compliance Act ("FATCA") purposes or for compliance with foreign regulatory requirements. 信託人及/或投資經理可披露或轉交有關認購本基金的資料予本基金的核數師，和投資經理或信託人(視情況而定)，包括任何其僱員、主任、董事及代理人；及/或投資經理及信託人的最終控股公司；及/或附屬公司及/或聯營機構；或其僱用以提供行政、電腦或其他服務或設備之第三者；及/或受法律或監管規定授權之任何監管機構(無論是否法定機構)，而此等人士可以是非居港人士。本人/吾等的資料僅應在必要時出於合法之商業利益下披露予第三方，如審計師，監管機構，稅務機關或投資經理之代理人，受託人，分銷商，行政人及/或登記處，數據用於處理，除其他事項外用於反洗黑錢和反恐融資為目的，用於外國賬戶稅收和合規法案("FATCA")為目的或遵守外國監管要求。
- (iii) And I/We consent to the transfer of my/our personal information to recipients located in countries which do not offer a level of protection for those data as high as the level of protection as described in the Cayman Islands. I/We consent to the processing of my/our personal information as described herein this form and the "Privacy Notice" as set out in the Appendix. 並本人/吾等贊同將本人/吾等的個人資料轉交給位於未等同開曼群島所規定的保護資料水平之國家的收件人。本人/吾等亦贊同本人/吾等的個人資料按本表格所述和按附件上的《私隱聲明》處理。

24. An authorized signatory list with signatures specimen, if applicable, should be provided to Invesco Hong Kong Limited. For any amendments, please advise Invesco Hong Kong Limited in writing. 申請人應向景順投資管理有限公司提供授權簽署人名單連同簽署式樣(如適用)。如有任何修訂，請以書面方式通知景順投資管理有限公司。

\_\_\_\_\_  
Signature 簽署

\_\_\_\_\_  
Name 姓名

\_\_\_\_\_  
Date 日期

**Notes to Employees – 僱員須知**

- Upon confirmation of your vested benefits, proceeds from retirement scheme will be transferred to your account under Invesco Select Retirement Fund - Savings Class with no additional charges. 在確定成員的歸屬利益後，退休計劃的款項將轉移投資於閣下於景順特選退休基金- 儲蓄類別的戶口，並豁免首次認購費。
- Subscription contract notes will be issued to you upon completion of processing. 投資完成後，閣下將會收到認購合約。

**Declaration by staff of Invesco Hong Kong Limited (applicable only to in-person Hong Kong Applicants)**

I hereby certify that the signing of this Form is/are made by the account holder(s) in this Form. I have provided the applicant(s) with a copy of the Offering Document and the Risk Disclosure Statement contained in this Form, and have invited the applicant(s) to read the risk factors contained in the Offering Document, the Risk Disclosure Statement contained in this Form as well as to ask questions and to seek independent advice in respect of any questions the applicant(s) may have.

Certified by:

\_\_\_\_\_  
Full Name (in block letters)      Signature      CE No.      Date DD\_\_\_\_MM\_\_\_\_YYYY

**Please return your Application in original for new account opening and relevant documents to:**

Investor Services  
Invesco Hong Kong Limited  
45/F, Jardine House,  
1 Connaught Place, Central, Hong Kong  
Tel: (852) 3191 8282

**如欲申請開立新賬戶，請將表格正本及相關文件交回：**

景順投資管理有限公司  
投資者服務  
香港中環康樂廣場一號  
怡和大廈四十五樓  
電話: (852) 3191 8282

**For ongoing subscription, please submit the form to:**

Invesco Hong Kong Limited  
c/o Bank Consortium Trust Company Limited  
18/F, Cosco Tower  
183 Queen's Road Central, Hong Kong  
Attn: Fund Services – Investor Services  
Tel.: (852) 2298 9800  
Fax: (852) 3743 5705

**如欲持續認購，請將表格提交至：**

景順投資管理有限公司  
轉交 銀聯信託有限公司  
香港皇后大道中 183 號中遠大廈 18 樓  
基金服務 - 投資者服務收  
電話: (852) 2298 9800  
傳真: (852) 3743 5705

<b>BCT use only</b> 銀聯信託專用:	Document Received Date:	Inputted By:	Checked By:	Remarks:
		Date Inputted:	Date Checked:	

## Privacy Notice

### INVESCO SELECT RETIREMENT FUND (the "Fund")

*(updated as of December 2019)*

The Cayman Islands *Data Protection Law, 2017* ("**DPL**"), effective 30 September 2019, governs the use of Personal Data by Cayman Islands entities. We recognize the importance of protecting the privacy of subscribers and investors in the Fund ("**Investors**") and hereby set out our privacy policy in relation to Personal Data in this Notice in accordance with the DPL. Any terminology and capitalised terms used in this Notice bear the meanings as prescribed in the DPL and the offering documents of the Fund unless the context requires otherwise.

#### **DATA CONTROLLER**

The Fund is the Data Controller for the purposes of your application to subscribe for an interest in the Fund. Hence, the Fund is responsible for ensuring that it uses your Personal Data in compliance with the DPL. The Fund has also appointed the Trustee, the Manager, the Registrar, the administrator of the Fund and other service providers / agents / delegates (collectively "**Data Processors**") as Data Processors. The Fund may be contacted by contacting the Trustee or the Manager.

#### **TYPES OF PERSONAL DATA**

The Fund and the Data Processors may process the following types of Personal Data:

- (a) Personal Data provided by you (including those of natural persons connected with you (if you are a corporate investor)) to the Fund including without limitation: name, address, contact information, date of birth, gender, nationality, passport or other national identifier details, signature, beneficiaries, employment history, job title, income, assets, source of funds, other financial information, bank details, investment history, risk tolerance, tax residency, and tax identification information.
- (b) Personal Data collected or generated by the Fund and/or Data Processors including without limitation information related to your emails (and related data), correspondence, call recordings, and website usage data.
- (c) Personal Data obtained by the Fund and/or Data Processors from other sources, including without limitation: know your client ("**KYC**") / client due diligence procedures (anti-money laundering ("**AML**"), counter-terrorist financing ("**CTF**"), proliferation financing, sanctions, politically exposed persons), tax purposes (US Foreign Account Tax Compliance Act ("**FATCA**"), OECD Common Reporting Standard ("**CRS**")), other information obtained as per current or future legal, regulatory or tax requirements, information from public sources, and information received from your advisors or intermediaries.

## LAWFUL PURPOSES AND PROCESSING PRINCIPLES

### Lawful Purposes

Personal Data provided by you will be held, disclosed, and processed by the Fund and the Data Processors for the following purposes:

- (a) **Performance of a contract** (such as under the enrolment / application / subscription form and/or constitutive documents of the Fund) including:
  - (i) Where you submit an application to subscribe for interests in the Fund ("**Application**"), your Personal Data will be processed to assess the acceptability of your Application. Such processing may be undertaken prior to entering into a contract;
  - (ii) Where the Fund accepts your Application, the Fund shall issue to you interests in the Fund and enter your Personal Data into the books of the Fund upon completion of relevant procedures; Personal Data will be processed in this regard;
  - (iii) Where you are a subscriber in the Fund, processing your Personal Data is necessary for managing and administering your holdings in the Fund and any related account as well as for redemption, withdrawal, conversion, switching or transfer requests, settlement, distribution payments, and future subscriptions;
  - (iv) Where sending you notices and information or otherwise corresponding with you in connection with your investment in the Fund;
  - (v) Where circulating periodic reports in relation to the Fund is required by relevant regulations or otherwise.
- (b) **Compliance with a legal obligation** including where the Fund and/or any Data Processor, prior to your investment in the Fund, during your investment in the Fund, or after you have exited any or part of your investment in the Fund, will process your Personal Data in accordance with any applicable current or future legal, regulatory or tax requirements such as KYC / AML / CTF or Ultimate Beneficial Ownership legislation and regulations, FATCA / CRS requirements, fraud and crime detection and prevention.
- (c) **Legitimate interests**, including:
  - (i) Carrying out the ordinary or reasonable business activities of the Fund, or other activities previously disclosed to the Fund's investors or referred to in this Privacy Notice;
  - (ii) Ensuring compliance with all legal and regulatory obligations, industry standards and internal policies and procedures;
  - (iii) Disclosing information to third parties as provided for in this Privacy Notice;

- (iv) Recording, maintaining, storing, using recording of telephone calls / other means of communication that you make to and receive from the Fund, any Data Processor and any of their respective related, associated, or affiliated companies for processing and verification of instructions, management, and administration of your account(s), as well as any other matters related to investment in the Fund, dispute resolution, record keeping, risk management, security, and/or training purposes.

Please ensure that you provide a copy of this Privacy Notice to any natural persons (if you are a corporate investor) or third parties whose Personal Data is provided by you to the Fund and/or Data Processors.

### **CONSENT TO MARKETING**

Parties engaged to assist with the operation of the Fund (including the Manager or sponsor of the Fund and their respective affiliates) may contact you with information regarding other offered products and services. Such contact may be by telephone, email, or by other reasonable means of communication.

You can require such parties to stop contacting you with such marketing information by contacting the Fund via the Trustee or the Manager as provided above.

**If you request not to receive marketing information this will not affect your status as an Investor. Such a request will not affect the lawfulness of processing based on consent before its withdrawal.**

Your consent is not required to process your Personal Data for the performance of a contract, compliance with legal obligations, and/or legitimate interests pursued by the Fund as referenced above.

### **PERSONAL DATA RECIPIENTS**

The Fund may disclose your Personal Data to the following recipients:

- (a) the Trustee;
- (b) the Manager or the sponsor of the Fund;
- (c) the Registrar and the administrator of the Fund and other Data Processors;
- (d) the Fund's professional advisors such as auditors, legal and tax advisors; and
- (e) Competent authorities (including tax authorities), regulators, courts, law enforcement agencies, and other regulatory entities as required by applicable law. The Fund and/or Data Processor may be legally restricted from disclosing to you that such disclosure is undertaken.

In relation to any other third parties, the Fund will only disclose your information in the following circumstances:

- (a) Where you have given your consent;

- (b) Where the Fund is required to do so by law or enforceable request by a regulatory body;
- (c) Where it is necessary for the purpose of, or in connection with legal proceedings or in order to exercise or defend legal rights; or
- (d) If the management or control of the Fund is transferred.

## **INTERNATIONAL TRANSFERS**

Your Personal Data may be transferred to jurisdictions that do not have data protection laws equivalent to the DPL. This may be necessary for a number of reasons, including for the performance of our rights and obligations under the enrolment / application / subscription form, the Fund's constitutional documents or under an agreement with a third party that is in your interests or in connection with international cooperation arrangements between governmental, regulatory, tax and law enforcement authorities. Where the Fund transfers so it is required to do so in a manner consistent with applicable legal requirements.

## **PERSONAL DATA RETENTION**

How long the Fund holds your Personal Data for will vary. The retention period will be determined by various criteria, including the purposes for which the Fund is using it (as it will need to be kept for as long as is necessary for any of those purposes), legal obligations (as laws or regulations may set a minimum period for which the Fund has to keep your Personal Data) or internal policies.

## **YOUR RIGHTS UNDER THE DPL**

Under the DPL, you have the following rights in relation to how the Fund processes your Personal Data in general:

- (a) The right to request access – you may obtain confirmation from the Fund as to whether or not your Personal Data is being processed and, where that is the case, access to your Personal Data;
- (b) The right to rectification and erasure – you have the right to obtain rectification of inaccurate Personal Data the Fund hold concerning you and to obtain the erasure of your Personal Data in certain circumstances;
- (c) The right to restrict processing or to object to processing – in certain circumstances you may require the Fund to restrict the processing the Fund carry out on your Personal Data or to object to the Fund processing your Personal Data;
- (d) The right to withdraw consent – where you have provided your consent to the Fund processing your Personal Data, you have the right to withdraw your consent at any time. You may exercise this right by contacting the Fund; and
- (e) The right to submit a complaint – you may lodge a complaint with the Cayman Islands Ombudsman at [info@ombudsman.ky](mailto:info@ombudsman.ky).

For further information regarding your Personal Data rights, please see the Ombudsman's website at [www.ombudsman.ky](http://www.ombudsman.ky).

## **SECURITY OF PERSONAL DATA**

The Fund and/or Data Processors have appropriate safeguards in place to protect Personal Data from loss, misuse, unauthorised access, disclosure, alteration and destruction. However, no data security measures can guarantee complete security at all times.

## **PERSONAL DATA QUALITY AND FAILURE TO PROVIDE PERSONAL DATA**

The Fund rely on the accuracy of the information provided by you and others. If any information about you changes or you have any concerns regarding the accuracy of information about you held by the Fund, you should contact the Fund by contacting the Trustee or the Manager.

Your Personal Data is required in order for the Fund to accept your Application to subscribe for interests in the Fund, manage and administer your holdings in the Fund, and enable the Fund to comply with the legal, regulatory, and tax requirements which arise from collecting fees and making investments as described in the Fund's offering documents. If you fail to provide the requested Personal Data, the Fund will not be able to accept your Application or the Fund may be required to discontinue its business relationship with you.

## 私隱聲明

### 景順特選退休基金 (「本基金」)

(2019 年 12 月更新)

開曼群島《2017 年資料保障法》(「資料保障法」)於 2019 年 9 月 30 日生效，乃規管開曼群島實體使用個人資料的情況。本基金認同保障本基金認購人及投資者(「投資者」)私隱的重要性，故遵照資料保障法規定於本聲明載列本基金有關個人資料的私隱政策。除文義另有所指外，本聲明內所用一切術語及大寫詞彙均具有資料保障法及本基金發售文件所賦予的含義。

#### 資料控制人

本基金為就閣下申請認購本基金權益而言的資料控制人。因此，本基金須負責確保其使用閣下的個人資料乃符合資料保障法規定。本基金並已委任信託人、投資經理、過戶登記處、本基金行政管理人及其他服務提供者／代理人／受委代表(統稱「資料處理人」)為資料處理人。欲與本基金聯絡，可聯絡信託人或投資經理。

#### 個人資料的種類

本基金及資料處理人可處理以下類別的個人資料：

- (a) 閣下向本基金提供的個人資料(包括與閣下有關連的自然人(若閣下為法人投資者)的個人資料，包括(但不限於)姓名、住址、聯絡資料、出生日期、性別、國籍、護照或其他國民身分詳情、簽名、受益人、就業記錄、職銜、收入、資產、資金來源、其他財政資料、銀行資料、投資經歷、風險承受能力、稅務居住地、以及稅務身份資料。
- (b) 本基金及／或資料處理人所收集或獲得的個人資料，包括(但不限於)有關閣下電郵的資料(及相關資料)、通訊、通話錄音以及網站使用資料。
- (c) 本基金及／或資料處理人從其他來源取得的個人資料，包括(但不限於)：瞭解您的客戶(「瞭解您的客戶」)／客戶盡職審查程序(反洗黑錢(「反洗黑錢」)、反資助恐怖主義(「反資助恐怖主義」)、資助擴散活動、制裁、政治人物)、稅務用途(美國外國賬戶稅收遵從法(「FATCA」)、經濟合作與發展組織共同匯報標準(「CRS」))、其他按現行或未來法律、監管或稅務規定而取得的其他資訊、來自公眾來源的資料、以及從閣下的顧問或中介人士接收的資料。

## 合法用途及辦理原則

### 合法用途

閣下所提供的個人資料將由本基金及資料處理人持有、披露及處理以作下列用途：

- (a) **履行合約**（例如根據本基金的登記／申請／認購表格及／或組織文件），包括：
- (i) 當閣下提交認購本基金權益的申請（「申請」）時，閣下的個人資料將予處理以評估閣下的申請是否可予接納。該項處理或須在訂立合約前進行；
  - (ii) 若本基金接納閣下的申請，本基金將於有關程序完成後向閣下發行在本基金的權益，並將閣下的個人資料記入本基金的賬冊；個人資料將按此處理；
  - (iii) 若閣下為本基金認購人，為閣下於本基金及任何相關賬戶所持投資的管理及執行，以及贖回、提取、兌換、轉換、轉讓或轉讓要求、結算、分派付款及未來認購而言，處理閣下的個人資料乃屬必要；
  - (iv) 就閣下於本基金的投資而向閣下寄發通告及資訊，又或與閣下通訊來往；
  - (v) 根據有關規例或其他原因而須發出有關本基金的定期報告。
- (b) **履行法律責任**，包括本基金及／或任何資料處理人在閣下投資本基金前、閣下投資本基金期間又或閣下出售於本基金的任何或部分投資後遵照任何適用現行或未來法律、監管或稅務規定（例如瞭解您的客戶／反洗黑錢／反資助恐怖主義或最終實益擁有人法例及規例、FATCA／CRS 規定、欺詐及罪行偵查及預防）來處理閣下的個人資料。
- (c) **合法權益**，包括：
- (i) 進行本基金的日常或合理業務活動，或之前已向本基金投資者披露或本私隱聲明所述的其他活動；
  - (ii) 確保遵從一切法律及監管責任、行業標準及內部政策及程序；
  - (iii) 按本私隱聲明規定而向第三者披露資料；
  - (iv) 為處理和查核指示、管理及執行閣下的帳戶，以及有關本基金投資的任何其他事宜、解決爭議、記錄保存、風險管理、保安及／或培訓目的而對閣下與本基金、任何資料處理人及各方任何

相關、聯營或聯屬公司之間的電話通話／其他通訊方式檔案之記錄、維持、儲存、使用。

閣下請確保 向經 閣下提供其個人資料予本基金及／或資料處理人的任何自然人（若 閣下為法團投資者）或第三者提供本私隱聲明。

### 同意進行市場推廣

參與協助營運本基金的人士（包括本基金投資經理或營辦人及各自的聯屬公司）或會就有關其他發售產品及服務的資訊而聯絡 閣下。該等聯絡可屬電話、電郵或其他合理通訊方式。

閣下可按上文規定，通過信託人或投資經理與本基金聯絡，要求該等人士停止就該等市場推廣資訊而聯絡 閣下。

**閣下若要求不再接收市場推廣資訊，此舉不會影響 閣下作為投資者的資格。該項要求並不會影響撤回同意前根據同意所作出處理的合法性。**

倘本基金如上所述為履行合約、履行法律責任、及／或本基金尋求合法權益而處理 閣下的個人資料，則無需 閣下同意。

### 個人資料接收者

本基金可向下列接收者披露 閣下的個人資料：

- (a) 信託人；
- (b) 本基金投資經理或營辦人；
- (c) 本基金過戶登記處及行政管理人及其他資料處理人；
- (d) 本基金的專業顧問（例如核數師、法律及稅務顧問）；及
- (e) 主管機關（包括稅務當局）、監管機構、法院、執法機關及適用法律所規定的其他監管實體、本基金及／或資料處理人在法律上或會受到限制，不得向 閣下披露曾作出該項披露。

對於任何其他第三者，本基金將只會在下列情況下披露 閣下的資料：

- (a) 閣下已給予同意；
- (b) 本基金按法律或監管機構的可強制執行要求而必須披露；
- (c) 就法律訴訟或有關法律訴訟又或為行使或捍衛法定權利而有必要；或
- (d) 若本基金的管理或控制已轉移。

## 在國際間傳輸資料

閣下的個人資料或會被轉移至尚未擁有可等同資料保障法的資料保障法律的司法管轄區。此舉基於若干原因或屬必要，包括履行本基金在登記／申請／認購表格、本基金組織文件又或與某第三者訂立且符合閣下利益的協議又或與政府、監管、稅務及執法機關之間訂立國際合作安排下的權利與義務。若本基金轉移該等資料，則必須以符合適用法律規定的方式進行。

## 保留個人資料

本基金持有閣下的個人資料的時間長短不一。保留期間將取決於多項準則，包括本基金使用該等個人資料的目的（視乎資料需要就任何該等目的所需保存時限而定）、法律責任（因為法律或規例或會設定最短期間，本基金須於該期間內保存閣下的個人資料）又或內部政策。

## 閣下在資料保障法下的權利

根據資料保障法，閣下一般對本基金如何處理閣下的個人資料而擁有下列權利：

- (a) 要求查閱的權利 — 閣下可要求本基金確認閣下的個人資料是否正接受處理及（倘若屬實）要求查閱閣下的個人資料；
- (b) 更正及刪除的權利 — 閣下有權要求更正本基金所持有關於閣下但不準確的個人資料，並在若干情況下刪除閣下的個人資料；
- (c) 限制處理或反對處理的權利 — 閣下可在在若干情況下要求限制本基金處理閣下的個人資料或反對本基金處理閣下的個人資料；
- (d) 撤回同意的權利 — 閣下已同意本基金處理閣下的個人資料，而閣下有權隨時撤回同意。閣下可聯絡本基金以行使此項權利；及
- (e) 作出投訴的權利 — 閣下可向開曼群島資料保障專員作出投訴，電郵地址為 [info@ombudsman.ky](mailto:info@ombudsman.ky)。

有關閣下個人資料權利的進一步詳情，請參閱資料保障專員網站 [www.ombudsman.ky](http://www.ombudsman.ky)。

## 個人資料保安

本基金及／或資料處理人設有適當保障措施，以保障個人資料不致損失、誤用、未經授權下被查閱、披露、更改及毀滅。然而，並無資料保安措施能夠保證任何時候完全安全。

## 個人資料的質素以及未能提供個人資料

本基金信賴閣下及他人所提供資料的準確性。任何有關閣下的資料如有更改，又或閣下對本基金所持有關於閣下的資料的準確性有任何疑慮，閣下應聯絡信託人或投資經理以聯絡本基金。

閣下必須提供個人資料，本基金始能夠接納閣下認購本基金權益的申請、閣下於本基金所持投資的管理及行政，令本基金能夠遵從本基金發售文件內所述收取費用及作出投資而產生的法律、監管及稅務規定。若閣下未能提供所需個人資料，本基金將無法接納閣下的申請又或本基金或須終止與閣下的業務關係。