

ICVC application form for entities and trusts

Before you send your completed application form to us, please refer to the checklist below:

Step 01

Please ensure that you have completed all sections that apply to the organisation, and the choices are clear and legible. If we cannot process the application, the investment will be delayed.

If your organisation has an agent (financial adviser), please ensure that they complete their details.

Step 02

If a lump sum is being invested, please ensure you have enclosed a cheque made payable to Invesco Fund Managers Limited.

Step 03

Ensure you have provided the required information for Anti-Money Laundering verification. More information can be found on this page.

Step 04

Ensure you have attached originals or certified copies of the organisation's official documents where required.

Step 05

Please sign the declaration. We can only accept application forms with original signatures.

Step 06

Return the completed form together with all relevant documents to:

Invesco Administration Centre
PO Box 586
Darlington
DL1 9BE
United Kingdom

Regulated Financial Institutions and Nominees

If your organisation is a regulated financial institution or a nominee company, please complete the application form for regulated financial institutions and nominees.

Funds and share classes

For details of the available funds and share classes, please refer to the latest relevant Key Investor Information Documents (KIID) (at [invesco.com/uk/icvc-kiids](https://www.invesco.com/uk/icvc-kiids)) or Key Information Document (KID) (at [invesco.com/uk/icvc-kids](https://www.invesco.com/uk/icvc-kids)). The Supplementary Information Document, prospectuses and the Annual or Interim Reports for our funds, together with further information, are also available on our website. All of these documents are also available from us on request by calling 0330 678 1690.

We will purchase Accumulation (No Trail) or Income (No Trail) shares on your behalf unless renewal commission is to be paid to your financial adviser in respect of your investment.

We will purchase Accumulation or Income shares on your behalf where renewal commission is to be paid to your financial adviser in respect of your investment.

With effect from 30 September 2023, we will only pay renewal commission to a financial adviser in respect of a purchase of shares for an existing ICVC account and only where the financial adviser is already receiving renewal commission on investments in that account.

If you, or your financial adviser, request us to pay renewal commission to a new financial adviser on an existing account or to pay renewal commission on a new ICVC account, your application will be rejected.

Please note renewal commission will only be paid up to 31 July 2024.

Please note that some funds do not offer Income or Accumulation shares.

All references in this application pack to accumulation shares refer to either Accumulation or Accumulation (No Trail) shares as applicable and all references to income shares refer to either Income or Income (No Trail) shares as applicable.

You can also invest in other share classes of our funds via a third party fund platform. For details please see our website [invesco.com/uk](https://www.invesco.com/uk).

Copies of all our application forms are available on our website.

The Direct Debit Guarantee should be retained by the payer.

Verification of identities for Anti-Money Laundering purposes

Under Anti-Money Laundering legislation, we are required to verify the identities of all named account holders and, other than in the case of listed companies or companies which are majority-owned and consolidated subsidiaries of listed companies, we are required to verify the identities of all beneficial owners, directors and senior management of the investing entity. We may also need to enquire as to the purpose of the investment and undertake further verification of associated parties and source of funding. This may include electronic searches of the electoral register and of other personal data, which may be held by credit reference agencies and others.

Please provide the required evidence in respect of the account holders and beneficial owners, as set out in sections 2.1 – 2.3 of this document (depending on your organisation type). This documentation will be used in any scenario where sourcing the required information from a credit reference agency was not successful. If we are unable to gain the required verification then we will not be able to process your application.

We reserve the right to request further information and documents from you following receipt of the forms and initial documents.

If your organisation already holds an account with us, your organisation details have not changed and you have not been notified that anti-money laundering verification checks are outstanding, then we can typically use our existing records for verification. Please contact us if you would like to check whether checks for your organisation are outstanding.

Providing documents

If official documents for your organisation are required by us, please ensure that they are originals or certified copies.

We can accept copies certified by:

- An employee of a firm regulated by the Financial Conduct Authority (FCA) (For example: Financial Adviser, Bank/ Building Society employee)
- A member of a UK professional legal body (e.g. a solicitor)
- Notary public
- Member of the judiciary
- UK chartered accountant or auditor

For documents certified overseas, we can accept copies certified by:

- A member of a professional legal body (e.g. a solicitor)
- Notary public
- Member of the judiciary
- Embassy or consular staff

If a copy contains two or more pages, each page must be certified as a true and complete copy of the original page. Documents that have been certified on the reverse of the document's copy are not acceptable.

The certifiers need to certify the pages that contain the copies of your organisation's documents. The person who certifies the document must make sure the copy includes:

- The words 'I confirm this is a true copy of the original that I've seen'
- Their name, signature, date and a contact address, daytime telephone number, a description of their professional capacity, and employer name and professional registration number if relevant

More information

If you would like more information including our prospectuses and the latest Annual or Interim Reports for any of our funds, before you invest, please visit our website at [invesco.com/uk](https://www.invesco.com/uk) or call us on 0800 085 8677.

Telephone calls may be recorded.

Application form for entities and trusts

Please complete this form to invest in an ICVC in the name of an entity or a trust.

Please complete this form using **BLOCK** capitals.

Note that agent codes are either 7 or 8 digits.

Regular Savings Plans

Please note if a regular savings plan is being setup, the servicing agent at the point of each collection will be reflected on the plan.

If you are not the servicing agent, you will not be reflected on the plan and no renewal commission will be payable to you.

Payment of renewal commission

Please note renewal commission will only be paid up to 31 July 2024.

Account designation

Account designations can be used to differentiate between accounts registered in the same name. Designations are included on statements and contract notes.

Privacy Notice

When you are investing in the funds or otherwise interact with us, we collect information about you which constitutes personal data under applicable laws and regulations. Our Privacy Notice explains how we collect, use and protect your personal data. You can find our Privacy Notice on our website [invesco.com/uk/privacy-notice](https://www.invesco.com/uk/privacy-notice) and it is also available in hard copy upon request.

01 Agent details - to be completed by your organisation's financial adviser	
Adviser name	
Company	
Address including postcode	
Agent code	
FCA firm reference number	
With regard to the Distance Marketing directive please confirm what type of contract this is: <input type="checkbox"/> Distance <input type="checkbox"/> Non-distance	
Please indicate whether you are acting as the agent on all investments in this account, or on this transaction only: <input type="checkbox"/> All investments on this account <input type="checkbox"/> This transaction only	
Non-advised investments <input type="checkbox"/> Please tick this box if you have not provided advice about this application <input type="checkbox"/> Please tick this box if you would like to receive renewal commission on this investment Please note we only pay renewal commission where the investment is in respect of an existing ICVC account and you are already receiving renewal commission on investments in this account.	
<input type="checkbox"/> Advised investments Please tick this box if you have provided advice about this application	
02 About the organisation - Please complete or tick all boxes that apply	
<input type="checkbox"/> There is a servicing agent on the account but we do NOT wish for them to be reflected on this transaction. We understand however, that for a regular savings plan (where we have one), the servicing agent will be reflected on the monthly transactions.	
Account number	
Account designation (if applicable, max 18 characters)	
Name	
Registered address (including postcode)	
Business or correspondence address (including postcode) (If different from above)	
Telephone	Daytime Alternative
<input type="checkbox"/> Information about our products and services We may send you information about our products and services and anything else that we think you may be interested in. If you would like to receive this information, please tick the box.	



Incorporated and public sector organisations

If your organisation is an incorporated or public sector organisation, the account will be registered in the name of the organisation.

Unincorporated organisations

Registered holders must have a legal personality. If your organisation is an unincorporated organisation, the account will be registered in the name of the trustees, partners or other representatives of the organisation.

The details of the individuals in whose names the account will be registered must be provided in sections O8-15 and they must be the signatories to this application form.

Organisations with charitable aims

Your organisation's or arrangement's main purpose is of a charitable nature as defined by the Charities Act 2011.

Anti-money laundering verification

We are legally obliged to verify clients and certain individuals related to clients for anti-money laundering purposes. This may include us obtaining information from a credit reference agency. However, we will use any information we obtain in this way only for verification of your identity and not for any other purpose.

For the indicated incorporated organisation types, we request that you provide evidence of both the identity and address for any individual(s) signing this application form, as laid out in the 'Invesco anti-money laundering requirements' document that can be found at the back of this form. This documentation will be used in any scenario where sourcing the required information from a credit reference agency is not successful.

If we are unable to verify their identity and address then we will not be able to process the application.

Incorporated organisation (Section: 2.1)	
<input type="checkbox"/> Listed public company	<input type="checkbox"/> Non-listed public company
<input type="checkbox"/> Private corporate or LLP	<input type="checkbox"/> Unregistered charity (incorporated)
<input type="checkbox"/> Non-listed entity subject to statutory licensing	<input type="checkbox"/> Incorporated registered charity (including Charitable Incorporated Organisations)
Unincorporated organisation (Section: 2.2)	
<input type="checkbox"/> Regulated or registered occupational pension scheme	<input type="checkbox"/> Trust
<input type="checkbox"/> Partnership or other unincorporated business	<input type="checkbox"/> Club or society (other than registered charity or trust)
<input type="checkbox"/> Unregistered charity (trust-based)	<input type="checkbox"/> Small Self-Administered Scheme (SSAS)
<input type="checkbox"/> Unregulated and unregistered pension scheme	<input type="checkbox"/> Parochial church council (other than registered charity or trust)
<input type="checkbox"/> UK church or place of worship	<input type="checkbox"/> Independent school/college/university (other than registered charity or trust)
<input type="checkbox"/> Unincorporated registered charity	<input type="checkbox"/> Other pension scheme (please provide more information in section 2.3)
<input type="checkbox"/> Regulated or registered personal pension scheme	
Public sector (Section: 2.3)	
<input type="checkbox"/> Government department	<input type="checkbox"/> State owned entity
<input type="checkbox"/> Public sector body/local authority e.g. councils, state owned schools (other than registered charity or trust)	<input type="checkbox"/> Local authority pension scheme
If your organisation has charitable aims please provide the following information:	
Description of aims and activities: <input type="text"/>	
Countries of operation: <input type="text"/>	
Charity registration references, if applicable: <input type="text"/>	
Please only complete sections 2.1 – 2.3 where they apply to your organisation	
2.1	Incorporated organisation
	Listed public company
	Exchange on which shares are listed and the security identifier/code <input type="text"/>
	Please tick to confirm you've enclosed the following with your application: <input type="checkbox"/> Authorised signatory list
	Private corporate or LLP, non-listed public company, unregistered charity (incorporated), incorporated registered charity or non-listed entity subject to statutory licensing
	Company registration number <input type="text"/>
	Please describe the nature and industry of your business <input type="text"/>
	You'll also need to provide the following information and documentation. Please tick to confirm it has been included with your application.
	<input type="checkbox"/> Certificate of incorporation or equivalent document
	<input type="checkbox"/> Constitutional document e.g. memorandum and articles of association, partnership agreement, byelaws or equivalent
	<input type="checkbox"/> Authorised signatory list
	<input type="checkbox"/> Details of beneficial owners and senior management details (to be provided in section 16)
	<input type="checkbox"/> Group entity structure chart (if the entity is a subsidiary of another company)
	<input type="checkbox"/> For the individual(s) signing this application form – proof of personal identity documentation and proof of personal address documentation (see Appendix 1)



Regulated Occupational Pension Scheme and SSAS

Registered holders must have a legal personality. Accounts for pension schemes will need to be registered in the names of up to four of the scheme's trustees.

The details of the trustees in whose names the account will be registered must be provided in sections 08-15 and they must be the signatories to this application form. They will be the only parties allowed to instruct us on this account.

Details of any additional trustees will need to be supplied in section 16.

Regulated Personal Pension Scheme (e.g. SIPP)

Registered holders must have a legal personality. Accounts for personal pension schemes will be set up in the names of the scheme operator, administrator or trustees as appropriate.

The details of the parties in whose names the account will be registered must be provided in sections 08-15 and they must be the signatories to this application form. They will be the only parties allowed to instruct us on this account.

What is a PTR number?

Pension Scheme Tax Reference numbers are issued by HM Revenue & Customs in relation to approved pension schemes.

Trusts

Registered holders must have a legal personality. Accounts for trusts and charitable trusts will need to be registered in the names of up to four trustees.

The details of the trustees in whose names the account will be registered must be completed in sections 08-15 and they must be the signatories to this application form. They will be the only parties allowed to instruct us on this account.

From the 1 September 2022 relevant Trusts are required to be registered with HM Revenue & Customs Trust Registration Service (TRS) and their information must be kept up to date.

Details of any additional trustees will need to be supplied in section 16.

We cannot register the investment in the name of a trust.

Anti-money laundering verification

We are legally obliged to verify clients for anti-money laundering purposes. This may include us obtaining information from a credit reference agency. However, we will use any information we obtain in this way only for verification of your identity and not for any other purpose.

If the account is to be registered in the names of individuals (such as trustees or organisation representatives), we request that you provide evidence of both their identity and address as laid out in the 'Invesco anti-money laundering requirements' document that can be found at the back of this form. This documentation will be used in any scenario where sourcing the required information from a credit reference agency is not successful.

If we are unable to verify their identity and address then we will not be able to process the application.

2.2 Unincorporated organisation

Regulated or registered occupational pension scheme, regulated or registered personal pension scheme, Small Self-Administered Scheme, unregulated and unregistered pension scheme or other pension scheme

If you selected 'other pension scheme' in section 2, please specify the type of pension scheme below:

Please provide the following information and documentation. Please tick to confirm that it has been included with the application.

- | | |
|--|--|
| <input type="checkbox"/> Evidence of HMRC or pensions regulator scheme approval, for example an HMRC approval letter, scheme submission receipt, scheme certificate or HMRC pension portal screen shot | <input type="checkbox"/> Details of individual trustees and/or the trust company in whose name the account will be registered (to be provided in sections 08 to 15) |
| <input type="checkbox"/> Authorised signatory list (if a corporate trustee has been appointed) | <input type="checkbox"/> Details of beneficial owners and senior management details (to be provided in section 16) |
| <input type="checkbox"/> Group organisation structure chart for any corporate trustees (if the trust company is a subsidiary of another company) | <input type="checkbox"/> Document confirming current trustees – either the pension scheme trust deed (and subsequent deeds of appointment/retirement, if applicable) or an HMRC pension portal screen shot |
| <input type="checkbox"/> If a separate pension administrator has been appointed, please provide its full registered name, address and company registration number in a covering letter | <input type="checkbox"/> For individual trustees in whose name the account will be registered – proof of personal identity documentation and proof of personal address documentation (see Appendix 1) |

If investing on behalf of an occupational pension scheme, in addition to the above, please confirm the name, address and company registration number of the employer/company which the scheme is linked to:

Please confirm if the following statements apply to your scheme

- | | |
|---|--|
| <input type="checkbox"/> Contributions are made by an employer or by deduction from an employee's wages | <input type="checkbox"/> The scheme rules do not permit the assignment of a member's interest under the scheme |
|---|--|

Trusts, unregistered charities (trust-based) or unincorporated registered charities

The type of trust (will trust, discretionary trust, etc.)

The nature and purpose of the trust

Its country of establishment

You'll also need to provide the following information and documentation. Please tick to confirm that it has been included with the application.

- | | |
|--|---|
| <input type="checkbox"/> The organisation's constitutional document (e.g. extract of the trust deed) | <input type="checkbox"/> Details of individual trustees and/or the trust company in whose name the account will be registered (to be provided in sections 08 to 15) |
| <input type="checkbox"/> Deeds of appointment and retirement of subsequent trustees (if applicable) | <input type="checkbox"/> Authorised signatory list (applicable to trust companies only) |
| <input type="checkbox"/> Details of beneficial owners and senior management (to be provided in section 16) | <input type="checkbox"/> Group organisation structure chart for any corporate trustees (if the trust company is a subsidiary of another company) |
| <input type="checkbox"/> For individual trustees in whose name the account will be registered – proof of personal identity documentation and proof of personal address documentation (see Appendix 1) | <input type="checkbox"/> Up-to-date TRS proof of registration document or evidence confirming exemption from the requirement |



Partnership or other unincorporated business, club or society, parochial church council, UK church or place of worship or independent school/college university

Provide details of the type and purpose of the organisation

You'll also need to provide the following information and documentation. Please tick to confirm it has been included with your application.

- | | |
|--|---|
| <input type="checkbox"/> Partnership agreement or constitutional document | <input type="checkbox"/> Details of individual directors, partners, members, trustees etc. in whose name(s) the account will be registered (to be provided in section 08 to 15) |
| <input type="checkbox"/> Details of beneficial owners and senior management details (to be provided in section 16) | <input type="checkbox"/> For individual representatives in whose name the account will be registered – proof of personal identity documentation and proof of personal address documentation (see Appendix 1) |

2.3 Public sector

Government department, state owned entity, public sector body/local authority or local authority pension scheme

Please confirm the country of operation

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Provide details of the type and purpose of the organisation

You'll also need to provide the following information and documentation. Please tick to confirm it has been included with your application.

- | | |
|--|--|
| <input type="checkbox"/> Authorised signatory list | <input type="checkbox"/> Details of beneficial owners and senior management details (to be provided in section 16) |
|--|--|

03 About the ICVC investment

Funds you want to invest in	Fund name	Lump sum investment (£) ²	Type of shares	Monthly Investment (£) ²
			<input type="checkbox"/> Inc <input type="checkbox"/> Acc	
			<input type="checkbox"/> Inc <input type="checkbox"/> Acc	<input type="text"/>
			<input type="checkbox"/> Inc <input type="checkbox"/> Acc	<input type="text"/>
			<input type="checkbox"/> Inc <input type="checkbox"/> Acc	<input type="text"/>
			<input type="checkbox"/> Inc <input type="checkbox"/> Acc	<input type="text"/>
			<input type="checkbox"/> Inc <input type="checkbox"/> Acc	<input type="text"/>

- We would like the income to be paid out (lump sum investors only)

If you would like the income to be paid out, income shares must be invested in. If you do not indicate that you want income paid out, we will automatically purchase accumulation shares.

Where income shares are invested in, income will be paid to the bank or building society account provided in section 3.1 'Payments to the entity or trust'. Please note that if you do not provide the bank or building society details, then we will not be able to process your application.

If you would like to invest monthly by Direct Debit, please complete section 18 'To invest by Direct Debit'.

Funds and share classes

For details of the available funds and share classes, please refer to the latest relevant Key Investor Information Documents (KIID) (at [invesco.com/uk/icvc-kids](https://www.invesco.com/uk/icvc-kids)) or Key Information Document (KID) (at [invesco.com/uk/icvc-kids](https://www.invesco.com/uk/icvc-kids)). The Invesco Supplementary Information Document and the relevant prospectus(es) are also available on our website. All of these documents are also available from us on request by calling 0330 678 1690.

Monthly investments are automatically invested in accumulation shares; income shares are not available for this option.

Please note that not all funds pay out income. For more details, see 'A guide to income' available from our website or by contacting us.

² For details regarding minimum investment levels and the availability of monthly savings plans please refer to the Invesco Supplementary Information Document or relevant prospectus.

If investing a lump sum, the application should be accompanied by a cheque made payable to Invesco Fund Managers Limited.



Bank or building society account verification

To enable us to verify your account, please send us an:

- original voided cheque; or
- original or online bank or building society statement; or
- original paying in slip

The document must be for the account your sale proceeds are to be paid into.

Please note;

- Photocopies are not accepted.
- Any statement provided must be no older than 3 months old, clearly stating name of bank or building society, client's forename and surname (which should match our records), the bank/building society account number and sort code.

Coverall Transfer and Renunciation of Title form

If you would like to instruct us to pay sale proceeds into the same bank account for other accounts held under the same account number but with different designations, you should complete the Coverall Transfer and Renunciation of Title form enclosed with this application form. You do not have to complete this form if you already completed one for the account.

3.1	Payments to the entity or trust
	<p>Please complete this section if income shares are being invested in.</p> <p>Please also complete this section if you have not already set up, and are not setting up a coverall agreement, with us with regard to the payment of sale proceeds</p> <p>Please note if either of the above scenarios apply and you do not provide the bank or building society details, then we will not be able to process your application.</p> <p>Both income (where income shares are being invested in) and sale proceeds* will be paid to the bank or building society account provided in this section.</p> <p>*Except where you have already set up or are setting up a coverall agreement with us, in which case, sale proceeds will be paid into the bank account specified on the Coverall Transfer and Renunciation of Title form.</p>
	<p>Name and full postal address of your bank</p> <p>To: The Manager Bank</p> <p>_____</p> <p>_____</p>
	<p>Bank address (including postcode)</p> <p>_____</p> <p>_____</p> <p>_____</p>
	<p>Name(s) of account holder(s)</p> <p>_____</p>
	<p>Bank account number</p> <p>_____</p>
	<p>Branch sort code</p> <p>_____</p>
	<p>Bank verification documents</p> <p>The bank account provided in this form must be in the name of the Registered Shareholder(s) or, for shares held on behalf of organisations and trusts which are not incorporated, either the Registered Shareholders or the underlying organisation/trust. Please tick to confirm which document you are sending in to enable us to verify the bank account.</p> <p> <input type="checkbox"/> Original voided cheque <input type="checkbox"/> Original or online bank or building society statement <input type="checkbox"/> Original paying in slip </p>
04	Tax residency – about the legal entity
	<p>If your organisation has more than one country of tax residency, please complete a self-certification form for the country/countries that are not specified in section 4.1.</p>
4.1	<p>Please state the country in which your organisation is resident for tax purposes</p> <p>_____</p>
4.2	<p>Please provide us with your organisation's Tax Identification Number</p> <p>_____</p>
4.3	<p><input type="checkbox"/> If the organisation is not a Specified US Person in the country stated in 4.1 above, please tick this box. Please refer to Explanatory notes for more information.</p>



05 Complete this only if your organisation is US Tax Resident (box 4.1)

- Tick this box if your organisation is any of the following and therefore not a Specified US Person
- A regularly traded corporation on a recognised stock exchange
 - Any corporation that is a member of the same expanded affiliated group as a regularly traded corporation on a recognised stock exchange
 - A government entity
 - Any bank as defined in section 581 of the U.S. Internal Revenue Code
 - A retirement plan under section 7701(a)(37), or exempt organisation under section 501(a) of the U.S. Internal Revenue Code
 - OR any other exclusion listed in the Explanatory Notes

06 Organisation's classification under FATCA

Please tick only one box with reference to the tax residency stated in box 4.1

If your organisation is a Financial Institution, please specify which type:

- UK Financial Institution or a Partner Jurisdiction Financial Institution
- Participating Foreign Financial Institution (in a non-IGA jurisdiction)
- Non-Participating Foreign Financial Institution (in a non-IGA jurisdiction)
- Financial Institution resident in the USA or in a US Territory
- Exempt Beneficial Owner
- Deemed Compliant Foreign Financial Institution (besides those listed above)

If your organisation is not a Financial Institution, please specify the entity's FATCA status below:

- Active Non-Financial Foreign Entity
- Passive Non-Financial Foreign Entity
(If you tick this box, please include individual self-certification forms for each of your Controlling Persons)

07 Organisation's classification under the Common Reporting Standard (CRS)

Please tick only one box in this section with reference to the tax residency stated in box 4.1

- Financial Institution (this includes Non-Reporting Financial Institutions such as a pension scheme, government entity, international organisation and other entities listed in the Explanatory Notes).
- A professionally managed Investment Entity outside of a CRS Participating Jurisdiction (If this box is ticked, please include individual self-certification forms for each of your Controlling Persons)
- Active Non-Financial Entity which is regularly traded on an established securities market or affiliated thereto, a Governmental Entity or an International Organisation
- Active Non-Financial Entity (other than those listed above)
- Passive Non-Financial Entity
(If this box is ticked, please include individual self-certification forms for each of your Controlling Persons)

Entity Self-Certification

Tax regulations require us to collect certain information about each investor's tax residency and tax classifications. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information about your organisation's account(s) with the relevant tax authorities. If you have any questions about your organisation's classifications, please contact your tax adviser. **Please see Explanatory Notes in Appendix 2 for key definitions.** Should any information provided change in the future, please ensure you advise us promptly.

Where self-certification forms for individuals and/or entities are required to be submitted with this application these can be obtained from us on 0330 678 1690.



Primary and joint holders

These sections must be completed with the names of up to four trustees, partners or other parties associated with unincorporated organisations. These will be the individuals or incorporated entities (e.g. a trust company) in whose name the investment is to be registered in. Registered holders must have a legal personality. For individuals, the address provided needs to be their permanent residential address.

If the application is on behalf of an incorporated organisation and there are no additional account holders, this section does not need to be completed. The account will be registered in the name of the organisation stated in section 02.

Verification of identities for Anti-Money Laundering purposes

Under Anti-Money Laundering legislation, we are required to verify the identities of all named account holders and, other than in the case of listed companies or companies which are majority-owned and consolidated subsidiaries of listed companies, we are required to verify the identities of all beneficial owners, directors and senior management of the investing entity. We may also need to enquire as to the purpose of the investment and undertake further verification of associated parties and source of funding. This may include electronic searches of the electoral register and of other personal data, which may be held by credit reference agencies and others.

Please provide the required evidence in respect of the account holders and beneficial owners, as set out in sections 2.1 – 2.3 of this document (depending on your organisation type). This documentation will be used in any scenario where sourcing the required information from a credit reference agency was not successful. If we are unable to gain the required verification then we will not be able to process your application.

We reserve the right to request further information and documents from you following receipt of the forms and initial documents.

Individual Self-Certification

Tax regulations³ require us to collect information about each investor's tax residency⁴. In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with Her Majesty's Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions⁵. If you have any questions about your tax residency, please contact a tax adviser.

If any of the information provided changes in the future, please advise of these changes promptly.

³ The term "tax regulations" refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).

⁴ In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.

⁵ Those countries that have agreed to exchange information under FATCA and the CRS³.

08 About the primary holder (if applicable)

Title (if applicable)	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Miss	<input type="checkbox"/> Ms	Other please specify
Name/Surname					
Other names (in full if applicable)					
Permanent residential/ organisation address (including postcode)					
Date of birth (dd/mm/yy, if applicable)					

09 Tax residency - about the primary holder (if applicable)

(Please refer to the note on Individual Self-Certification)
Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. For example, if you are resident in the UK for tax purposes, please enter 'UK' in the first column and your Tax Identification Number (i.e. your National Insurance Number) in the second column.

In addition, if you are a US citizen you must enter 'United States' in the first column and your US Tax Identification Number in the second column.

Country/Countries of Tax Residency (this section must be completed in all cases)	Tax Identification Number

10 About the joint holder (if applicable)

Title (if applicable)	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Miss	<input type="checkbox"/> Ms	Other please specify
Name/Surname					
Other names (in full if applicable)					
Permanent residential/ organisation address (including postcode)					
Date of birth (dd/mm/yy, if applicable)					

11 Tax residency - about the joint holder (if applicable)

(Please refer to the note on Individual Self-Certification)
Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. For example, if you are resident in the UK for tax purposes, please enter 'UK' in the first column and your Tax Identification Number (i.e. your National Insurance Number) in the second column.

In addition, if you are a US citizen you must enter 'United States' in the first column and your US Tax Identification Number in the second column.

Country/Countries of Tax Residency (this section must be completed in all cases)	Tax Identification Number



12 About the joint holder (if applicable)

Title (if applicable) Mr Mrs Miss Ms

Name/Surname

Other names (in full if applicable)

Permanent residential/organisation address (including postcode)

Date of birth (dd/mm/yy, if applicable)

13 Tax residency - about the joint holder (if applicable)

(Please refer to the note on Individual Self-Certification)
 Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. For example, if you are resident in the UK for tax purposes, please enter 'UK' in the first column and your Tax Identification Number (i.e. your National Insurance Number) in the second column.

In addition, if you are a US citizen you must enter 'United States' in the first column and your US Tax Identification Number in the second column.

Country/Countries of Tax Residency	Tax Identification Number
(this section must be completed in all cases)	
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

14 About the joint holder (if applicable)

Title (if applicable) Mr Mrs Miss Ms

Name/Surname

Other names (in full if applicable)

Permanent residential/organisation address (including postcode)

Date of birth (dd/mm/yy, if applicable)

15 Tax residency - about the joint holder (if applicable)

(Please refer to the note on Individual Self-Certification)
 Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. For example, if you are resident in the UK for tax purposes, please enter 'UK' in the first column and your Tax Identification Number (i.e. your National Insurance Number) in the second column.

In addition, if you are a US citizen you must enter 'United States' in the first column and your US Tax Identification Number in the second column.

Country/Countries of Tax Residency	Tax Identification Number
(this section must be completed in all cases)	
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>



Beneficial owners and senior management

- Other than in the cases of listed companies or companies which are majority-owned and consolidated subsidiaries of listed companies, Anti-Money Laundering legislation requires us to obtain details of all account holders, beneficial owners and senior management of the investing organisation.
- If section 16 and 16.1 are not completed in full, we deem further information to be required, or the appropriate verification is not able to be completed, we reserve the right to reject the application or restrict the usage of the account until the required information has been received.

1 Shareholders

- If no individual owns or controls more than 25% of the shares or voting rights (directly or indirectly) and no individual meets the definition of a person exercising control (see 2 below), enter 'N/A' in the tick box and complete one of the boxes in section 16.1 with the details of the senior managing official within the organisation, stating the capacity as 'senior managing official'.
- We are required to understand the ownership and control structures of our customers. Please enclose a group structure chart if one or more of the direct shareholders is another organisation.

2 People exercising control over a company

A person is deemed to exercise control over a company, other than through share capital or voting rights, if they have powers such as the following:

- Have the right to appoint or remove the majority of the board of directors
- Hold absolute/independent decision rights related to the running of the business of the company, for example: adopting/amending its business plan, changing the nature of its business, borrowing from lenders, appointing or removing its CEO, establishing or changing its director or employee incentive scheme or granting share options.
- Have the right to exercise ultimate control over the management of the body corporate
- Have the right to exercise significant influence or power via non-formalised means, e.g. as a shadow director or a company founder
- Have the right to exercise one of the aforementioned forms of control or ownership via beneficial ownership or control of a trust (see relevant trust section and the note below)

For UK registered companies, such individuals must be reported to Companies House for inclusion in the People with Significant Control (PSC) register (or local equivalent for companies registered in the EU). For further guidance on individuals who would meet the definition of exercising control over a company, you may refer to Department for Business, Energy & Industrial Strategy publications, e.g.: [gov.uk/government/publications/guidance-to-the-people-with-significant-control-requirements-for-companies-and-limited-liability-partnerships](https://www.gov.uk/government/publications/guidance-to-the-people-with-significant-control-requirements-for-companies-and-limited-liability-partnerships)

16**Beneficial owners and senior management****Listed companies or subsidiaries of listed companies**

If your organisation is a listed company or a majority-owned and consolidated subsidiary of a listed company you do not need to complete section 16.1. If this applies, please provide the name of the exchange and security identifier/code for the listed company below

Any other private company, unlisted public company, limited liability partnership, charitable incorporated organisation or charitable company

If your organisation is any other type of incorporated company, please complete section 16.1 with details of the following individuals and tick the boxes to confirm that the information has been provided:

- | | |
|--|--|
| <input type="checkbox"/> Individuals who own or control more than 25% of the shares or voting rights (directly or indirectly, e.g. via a holding company) ¹ | <input type="checkbox"/> Any other people exercising control over the company ² (if applicable) |
| <input type="checkbox"/> Full names of board of directors or equivalent ³ | <input type="checkbox"/> Trustees (charities only) |
| <input type="checkbox"/> The signatories to this application form (if not already listed) | <input type="checkbox"/> Full names of senior managers, if not listed under another category ³ |

Pension schemes

Please complete section 16.1 with details of the following individuals and tick the boxes to confirm that the information has been provided:

- | | |
|--|--|
| <input type="checkbox"/> Trustees or equivalent (including corporate trustees) | <input type="checkbox"/> Scheme beneficiaries ⁵ |
|--|--|

If a corporate trustee has been appointed, please also include

- | | |
|---|--|
| <input type="checkbox"/> Individuals who own or control more than 25% of the shares or voting rights of the corporate trustee (directly or indirectly, e.g. via a holding company) ¹ | <input type="checkbox"/> Any other people exercising control over the corporate trustee ² (if applicable) |
|---|--|

Trusts or charitable trusts

Please complete section 16.1 with details of the following individuals and tick the boxes to confirm that the information has been provided:

- | | |
|--|---|
| <input type="checkbox"/> Trustees or equivalent (including corporate trustees) | <input type="checkbox"/> Protector(s) or any other individuals who have control over the trust ⁴ |
| <input type="checkbox"/> Settlor(s) | <input type="checkbox"/> Beneficiaries ⁵ |

If a corporate trustee has been appointed, please also include:

- | | |
|---|--|
| <input type="checkbox"/> Individuals who own or control more than 25% of the shares or voting rights of the corporate trustee (directly or indirectly, e.g. via a holding company) ¹ | <input type="checkbox"/> Any other people exercising control over the corporate trustee ² (if applicable) |
|---|--|

Limited or unlimited partnership, club, association, church or other organisation

Please complete section 16.1 with details of the following individuals and tick the boxes to confirm that the information has been provided:

- | | |
|---|--|
| <input type="checkbox"/> Partners, directors, committee members or equivalent | <input type="checkbox"/> Any other person who exercises ultimate control over the management of the organisation |
| <input type="checkbox"/> Full names of senior managers, if not listed under another category ³ | |

Government department, state owned company, local authority, public sector pension scheme or other public sector entity

Please complete section 16.1 with details of the following individuals and tick the boxes to confirm that the information has been provided:

- | | |
|--|--|
| <input type="checkbox"/> Full names of directors, executive committee members/representatives or equivalent ³ | <input type="checkbox"/> The senior managing official within the organisation (state the capacity as 'senior managing official') |
| <input type="checkbox"/> Any other individuals exercising significant control ² | <input type="checkbox"/> Full names of senior managers, if not listed under another category ³ |



3 Directors and senior managers
The full names of the board of directors and staff that your organisation deems to be the senior managers who are responsible for the operations of your organisation are required.

The full address and date of birth are not required for directors and senior managers unless they are also acting in another beneficial owner capacity e.g. shareholder or controller. Please note that full name, date of birth and address details are required when providing details of one 'senior managing official' in lieu of beneficial owner details (see ¹ and ²).

4 People exercising control over a trust
'Control' in this scenario means the power (either jointly or severally) under the trust instrument or law to:

- Dispose of, advance, lend, invest, pay or apply trust property
- Vary or terminate the trust
- Add or remove a beneficiary
- Appoint or remove trustees or another controller
- Direct, withhold consent or veto the exercise of one of the above powers.

5 Beneficiaries

- If the application is on behalf of a pension scheme or charitable trust and there are more than 11 beneficiaries, complete one of the address boxes in section 16.1 with a description of the 'class of beneficiaries' instead of listing individuals.
- If the application is on behalf of a trust and the trust deed only specifies a class of beneficiaries, e.g. 'children and grandchildren of the settlor', please confirm the names of any individuals who have already received a payment or benefit from the trust (if applicable) and complete another of the boxes with a description of the class of beneficiaries. If no individuals have benefited from the trust to date, please include a statement confirming this in the address field.
- In the case of more complex trusts where one or more of the beneficiaries is a company or another trust, please include a trust structure chart.

6 Capacity
For example, shareholder, director, trustee, beneficiary, settlor, application signatory or senior managing official. If more than one capacity applies, please include all relevant capacities.

16.1 Details of beneficial owners and senior management	
Please complete all details using block capitals	
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text" value="Other please specify"/>
Name	<input type="text"/>
Permanent residential address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Date of birth (dd/mm/yy)	<input type="text"/>
Capacity⁶ and percentage ownership, if applicable	<input type="text"/>
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text" value="Other please specify"/>
Name	<input type="text"/>
Permanent residential address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Date of birth (dd/mm/yy)	<input type="text"/>
Capacity⁶ and percentage ownership, if applicable	<input type="text"/>
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text" value="Other please specify"/>
Name	<input type="text"/>
Permanent residential address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Date of birth (dd/mm/yy)	<input type="text"/>
Capacity⁶ and percentage ownership, if applicable	<input type="text"/>
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text" value="Other please specify"/>
Name	<input type="text"/>
Permanent residential address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Date of birth (dd/mm/yy)	<input type="text"/>
Capacity⁶ and percentage ownership, if applicable	<input type="text"/>
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text" value="Other please specify"/>
Name	<input type="text"/>
Permanent residential address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Date of birth (dd/mm/yy)	<input type="text"/>
Capacity⁶ and percentage ownership, if applicable	<input type="text"/>



If there is insufficient space to list all the relevant individuals, please print a duplicate of this page or request another copy. Alternatively you can include the additional information on a covering letter. Please ensure that all forms and documents are submitted together.

16.1 Details of beneficial owners and senior management (continued)	
Please complete all details using block capitals	
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text" value="Other please specify"/>
Name	<input type="text"/>
Permanent residential address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Date of birth (dd/mm/yy)	<input type="text"/> <input type="text"/>
Capacity⁶ and percentage ownership, if applicable	<input type="text"/>
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text" value="Other please specify"/>
Name	<input type="text"/>
Permanent residential address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Date of birth (dd/mm/yy)	<input type="text"/> <input type="text"/>
Capacity⁶ and percentage ownership, if applicable	<input type="text"/>
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text" value="Other please specify"/>
Name	<input type="text"/>
Permanent residential address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Date of birth (dd/mm/yy)	<input type="text"/> <input type="text"/>
Capacity⁶ and percentage ownership, if applicable	<input type="text"/>
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text" value="Other please specify"/>
Name	<input type="text"/>
Permanent residential address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Date of birth (dd/mm/yy)	<input type="text"/> <input type="text"/>
Capacity⁶ and percentage ownership, if applicable	<input type="text"/>
Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text" value="Other please specify"/>
Name	<input type="text"/>
Permanent residential address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Date of birth (dd/mm/yy)	<input type="text"/> <input type="text"/>
Capacity⁶ and percentage ownership, if applicable	<input type="text"/>



The following declarations shall be deemed to be restated on each subsequent investment:

We declare that I am/we are 18 years of age or over.
 We are applying to invest on behalf of the organisation in the shares as detailed above on the basis of the latest available relevant fund and share class specific Key Investor Information Document(s) or Key Information Document(s) which we have been provided with, at the price prevailing at the first relevant valuation point following receipt of this order.
 We declare, represent and warrant that we have the legal capacity and authority to execute this application and to subscribe, redeem, transfer and/or switch shares of the fund(s) on behalf of the organisation.
 We confirm we have read and understood your Privacy Notice.
 We confirm that we have read the note on Anti-Money Laundering verification on this form.
 We declare that we are applying for the shares on behalf of the organisation and that the organisation is entitled to the shares in respect of which declarations contained in this application are made.
 We confirm that in relation to section 04, 05, 06 and 07 where additional self-certification forms are required to be submitted, these have been enclosed with this application.
 We have completed section 16 entitled 'Details of beneficial owners and senior management'. The information on this application form is accurate and complete to the best of my/our knowledge and we agree to inform you in writing immediately of any changes to the information provided in this form.
 We acknowledge and agree that this application is subject to the terms as set out in the latest ICVC literature, which includes the latest relevant Key Investor Information Document(s) or Key Information Document(s), the ICVC Supplementary Information Document and the relevant prospectus(es).

Your signature	Date (dd/mm/yy)
Print name	
Your signature	Date (dd/mm/yy)
Print name	
Your signature	Date (dd/mm/yy)
Print name	
Your signature	Date (dd/mm/yy)
Print name	

Invesco Fund Managers Limited, Company No. 898116, Registered in England at Perpetual Park, Perpetual Park Drive, Henley-on-Thames, Oxfordshire, RG9 1HH, UK. Authorised and regulated by the Financial Conduct Authority, Firm Reference No. 119298.

Please return the completed application form using the pre-paid envelope to:
 Invesco Administration Centre
 PO Box 586
 Darlington
 DL1 9BE
 United Kingdom

If you have any questions please speak to your organisation's financial adviser or contact us on:
 Telephone 0330 678 1690
 Facsimile 020 3180 7647

invesco.com/uk

Telephone calls may be recorded.



Coverall Transfer and Renunciation of Title Form (“Form”)

For use by entities only

Please complete this form using **BLOCK** capitals

SP1018/6.4051-V3/05.0422

From			
(Insert name)			
(“Registered Shareholder”) legal owner of shares in open-ended investment companies managed by the Manager			
(Insert name if applicable)			
(“Instructing Party”) appointed as the Registered Shareholder’s agent			
To			
Invesco Fund Managers Limited in its capacity as the Manager and Registrar (“Manager”)			
The Registered Shareholder, Instructing Party (if applicable) and Manager each a “Party” and together “Parties”			
01 Confirm the type of organisation of the Registered Shareholder: (please tick as appropriate)			
01a	<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> UK/EU/EEA Regulated Firm <input type="checkbox"/> Nominee Owned by a Regulated Firm <input type="checkbox"/> Regulated or registered personal pension scheme <input type="checkbox"/> State owned entity <input type="checkbox"/> Public sector body/local authority </td> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> Non-UK/EU/EEA Regulated Firm <input type="checkbox"/> Regulated or registered occupational pension scheme <input type="checkbox"/> Local authority pension scheme <input type="checkbox"/> Government department <input type="checkbox"/> Listed public company </td> </tr> </table> <p style="font-size: small; margin-top: 10px;">If the Registered Shareholder is in this group, please complete Section 02, Section 06a and Section 09; the documents referenced in Section 06b are not required.</p>	<input type="checkbox"/> UK/EU/EEA Regulated Firm <input type="checkbox"/> Nominee Owned by a Regulated Firm <input type="checkbox"/> Regulated or registered personal pension scheme <input type="checkbox"/> State owned entity <input type="checkbox"/> Public sector body/local authority	<input type="checkbox"/> Non-UK/EU/EEA Regulated Firm <input type="checkbox"/> Regulated or registered occupational pension scheme <input type="checkbox"/> Local authority pension scheme <input type="checkbox"/> Government department <input type="checkbox"/> Listed public company
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01b	<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> Private corporate or LLP <input type="checkbox"/> Trust <input type="checkbox"/> Incorporated registered charity (including Charitable Incorporated Organisations) <input type="checkbox"/> Unregistered charity (incorporated) <input type="checkbox"/> Partnership or other unincorporated business <input type="checkbox"/> UK church or place of worship <input type="checkbox"/> Non-listed public company <input type="checkbox"/> Non-listed entity subject to statutory licensing </td> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> Small Self-Administered Scheme (SSAS) <input type="checkbox"/> Unregulated and unregistered pension scheme <input type="checkbox"/> Unincorporated registered charity <input type="checkbox"/> Unregistered charity (trust-based) <input type="checkbox"/> Club or society (other than registered charity or trust) <input type="checkbox"/> Parochial church council (other than registered charity or trust) <input type="checkbox"/> Independent school/college/university (other than registered charity or trust) <input type="checkbox"/> Nominee – not owned by a regulated parent </td> </tr> </table> <p style="font-size: small; margin-top: 10px;">If the Registered Shareholder is in this group, please complete Section 02, Section 06a, Section 06b and Section 09; the documents requested in Section 06b must be provided.</p>	<input type="checkbox"/> Private corporate or LLP <input type="checkbox"/> Trust <input type="checkbox"/> Incorporated registered charity (including Charitable Incorporated Organisations) <input type="checkbox"/> Unregistered charity (incorporated) <input type="checkbox"/> Partnership or other unincorporated business <input type="checkbox"/> UK church or place of worship <input type="checkbox"/> Non-listed public company <input type="checkbox"/> Non-listed entity subject to statutory licensing	<input type="checkbox"/> Small Self-Administered Scheme (SSAS) <input type="checkbox"/> Unregulated and unregistered pension scheme <input type="checkbox"/> Unincorporated registered charity <input type="checkbox"/> Unregistered charity (trust-based) <input type="checkbox"/> Club or society (other than registered charity or trust) <input type="checkbox"/> Parochial church council (other than registered charity or trust) <input type="checkbox"/> Independent school/college/university (other than registered charity or trust) <input type="checkbox"/> Nominee – not owned by a regulated parent
<input type="checkbox"/> Private corporate or LLP <input type="checkbox"/> Trust <input type="checkbox"/> Incorporated registered charity (including Charitable Incorporated Organisations) <input type="checkbox"/> Unregistered charity (incorporated) <input type="checkbox"/> Partnership or other unincorporated business <input type="checkbox"/> UK church or place of worship <input type="checkbox"/> Non-listed public company <input type="checkbox"/> Non-listed entity subject to statutory licensing	<input type="checkbox"/> Small Self-Administered Scheme (SSAS) <input type="checkbox"/> Unregulated and unregistered pension scheme <input type="checkbox"/> Unincorporated registered charity <input type="checkbox"/> Unregistered charity (trust-based) <input type="checkbox"/> Club or society (other than registered charity or trust) <input type="checkbox"/> Parochial church council (other than registered charity or trust) <input type="checkbox"/> Independent school/college/university (other than registered charity or trust) <input type="checkbox"/> Nominee – not owned by a regulated parent		



02	This Form shall apply to:
Account number	<input type="text"/>
Please confirm whether this form should apply to: (please tick as appropriate)	
<input type="checkbox"/> All designations <input type="checkbox"/> Specified designations Specified designations The designated accounts listed below (please continue on a separate sheet if necessary). <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
The Parties agree that:	
03	The Registered Shareholder authorises the Manager to accept Redemption orders ("Orders") given by or on behalf of the Registered Shareholder, containing the following information: Fund(s), Amount, Number of Shares and Settlement Date and any other information that the Manager may require; and delivered by either physical or electronic means (i.e.: fax, telephone, email, browser access to the Manager's website or other 'electronic communication' as defined in section 15(1) of the Electronic Communications Act 2000 as amended) (" Instructions "). If applicable, the Instructing Party named above has been appointed by the Registered Shareholder as its agent for the purposes of giving Instructions. Each Instruction under this Form is intended by the Parties to take effect as an instrument of transfer of legal title to the Manager, and the Registered Shareholder renounces title to such shares and instructs the Manager to re-register such shares in the name of the Manager.
04	The Registered Shareholder (and if applicable the Instructing Party) will indemnify the Manager against any cost, loss, damage or expense (" Loss "), which the Manager may incur as a result of any error, omission, negligence or fraud with regard to Instructions given, or purporting to be given, by the Registered Shareholder's staff, contractors or agents or the Instructing Party's staff, contractors or agents. To the fullest extent permitted by applicable law, the Manager will not be liable to the Registered Shareholder or the Instructing Party whether in contract, tort (including negligence) or restitution or for breach of statutory duty or otherwise for any Loss that the Registered Shareholder (and if applicable the Instructing Party) may incur as a result of any error, omission, negligence or fraud with regard to Instructions given, or purporting to be given, by the Registered Shareholder's staff, contractors or agents or the Instructing Party's staff, contractors or agents.
05	The Registered Shareholder agrees that notwithstanding this Form, the Manager reserves the right to ask for, and the Registered Shareholder will provide, individual forms of renunciation in relation to specific transactions as the Manager may from time to time require.
06	The Registered Shareholder hereby authorises the Manager to remit the proceeds of any redemption request received pursuant to this Form on the due settlement date as follows:
06a	By electronic funds transfer to:
Bank name	<input type="text"/>
Address (including postcode)	<input type="text"/> <input type="text"/> <input type="text"/>
Account Name	<input type="text"/>
Sort code	<input type="text"/>
Account Number	<input type="text"/>
06b	Bank verification document The Registered Shareholder acknowledges that the Manager cannot make or accept third party payments as part of overall instructions; the bank account provided in this form must be in the name of the Registered Shareholder(s) or, for shares held on behalf of organisations and trusts which are not incorporated, either the Registered Shareholders or the underlying organisation/trust. The Registered Shareholder has included the following form of bank account holder verification (please tick as appropriate): <input type="checkbox"/> Original voided cheque <input type="checkbox"/> Original or online bank or building society statement <input type="checkbox"/> Original paying in slip
When requested to providing the documents required as specified in this section, please note: <ul style="list-style-type: none"> The documentation must be for the account specified in Section 06a Photocopies are not accepted Any statement provided must be no older than 3 months old 	



- 07** The Parties agree that this Form will continue in force until revoked or terminated by any Party delivered to the others by post to their registered address, and any such withdrawal will not affect any Instructions pending under this Form.
- 08** The Registered Shareholder (and if applicable the Instructing Party) confirms that the information provided in this Form is accurate; and agrees that should any information provided on this Form change, a new Form must be completed which shall replace any previous completed Form(s).
- 09** The Parties agree that this Form shall be governed by, and construed in accordance with, English law and the Parties submit to the exclusive jurisdiction of the English courts.

Notes

On receipt of this Form, the Manager will complete the necessary security checks. Until such checks are complete, the Manager will not be able to apply these instructions.

This Form must be signed by two individuals from the authorised signatory list of the relevant party. Please enclose with this Form for each signatory:

- the authorised signatory list and specimen signatures; and
- a contact name, telephone number and email address, for any future queries.

For and on behalf of the Registered Shareholder

Director/Authorised Signatory	Date (dd/mm/yy)								
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; height: 15px;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> </tr> </table>								
Print name									
Director/Authorised Signatory	Date (dd/mm/yy)								
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Print name									

For and on behalf of the Instructing Party (if applicable)

Director/Authorised Signatory	Date (dd/mm/yy)								
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; height: 15px;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> </tr> </table>								
Print name									
Director/Authorised Signatory	Date (dd/mm/yy)								
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; height: 15px;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> </tr> </table>								
Print name									

Please return your completed form to:

Invesco Administration Centre
 PO Box 586
 Darlington
 DL1 9BE
 United Kingdom

If you have any questions please contact us on:
 Telephone 0330 678 1690
 Facsimile 020 3180 7647

invesco.com/uk

Telephone calls may be recorded.



Invesco anti-money laundering requirements

Please refer to the important notes overleaf for further guidance or call us on freephone 0800 085 8677.

Documentation guidelines for UK private clients

Please supply **one** document from **both** section one and two below.
The same document cannot be used to cover both sections.

Section one

Evidence of personal identity

- Current passport
 - Current full EEA/UK photo or old style driving licence
 - UK photo provisional driving licence
 - EEA member state identity card (UK identity cards are not acceptable)
 - Northern Ireland voters card
 - Residence permit issued by the Home Office
 - Firearms certificate
 - A letter or document which evidences entitlement to a state or local authority benefit, tax credit, pension or grant (dated within the last 12 months)
-

Section two

Evidence of address

- Recent utility bill (mobile phone bills are not acceptable)¹
 - Recent bank/building society statement or letter¹
 - Recent letters or statements from other FCA-regulated financial institutions, e.g. pension providers or insurers¹
 - Recent credit card statement¹
 - HM Revenue & Customs (formerly the Inland Revenue) Tax correspondence (P45s and P60s are not acceptable)¹
 - Current local authority council tax statement or demand letter¹
 - Current full EEA/UK photo or old style driving licence
 - UK photo provisional driving licence
 - EEA member state identity card (UK identity cards are not acceptable)
 - Northern Ireland voters card
 - An instrument of a court appointment, e.g. a liquidator or grant of probate
 - Solicitor's letter confirming completion of a house purchase or land registration that was completed in the last 12 months
 - Local council rent card or local council tenancy agreement
 - State Pension or benefits notification letter
 - Firearms certificate
-

Section three

Who can certify the documents?

All documents must be originals. However, we can accept copies certified by authorised signatories in the UK from the list below:

- An employee of a firm regulated by the Financial Conduct Authority (FCA) (For example: Financial Advisor, Bank/Building Society employee).
Please note some banks/building societies do not offer a certification service.
- Lawyer or Solicitor (registered in the UK)
- Chartered accountant (who is a member of the Institute of Chartered Accountants)
- Doctor of medicine
- Police officer
- Post Office Document Certification Service
- Teacher
- Notary Public
- Judge
- Crown servant
- Local authority employee

Please note some services may charge for the certification facility.

The person who certifies the document must make sure the copy includes:

- The words 'I confirm this is a true copy of the original that I've seen'
 - Their name, signature, date and a contact address, daytime telephone number and description of their professional capacity
-

¹ Documents used for evidence of address need to be the most recent of their kind.
Please read page 16 for further information.

Please refer to the important notes section overleaf for further guidance or call us on +44 (0) 203 528 4174.

Documentation guidelines for overseas private clients

Please supply **one** document from **both** section one and two below.
The same document cannot be used to cover both sections.

Section one

Evidence of personal identity

- Current passport
 - Current full EEA driving licence
 - EEA member state identity card (UK identity cards are not acceptable)
-

Section two

Evidence of address

- Mortgage statement (most recent)¹
 - Recent bank/building society statement or letter¹
 - Recent credit card statement¹
 - Recent utility bill (mobile phone bills are not acceptable)¹
 - Current full EEA driving licence
 - EEA member state identity card (UK identity cards are not acceptable)
 - Local authority tax bill (valid for the current year)
-

Section three

Who can certify the documents?

All documents must be originals. However, we can accept copies certified by authorised signatories from the list below:

- Lawyer or Solicitor
- Notary Public
- Member of the Judiciary
- Embassy or Consular staff
- Employees of financial institutions regulated in the EU
- Accountants who are current members of a professional body of accountants

Please note some services may charge for the certification facility.

The person who certifies the document must make sure the copy includes:

- The words 'I confirm this is a true copy of the original that I've seen'
- Their name, signature, date and a contact address, daytime telephone number and description of their professional capacity

¹ Documents used for evidence of address need to be the most recent of their kind. Please read overleaf for further information.

Please refer to the important notes section on this page for further guidance or call us on +44 (0) 203 528 4174.

Important notes for UK clients

- Photocopies are only acceptable if they have been certified.
- If you decide to sell your holding, we'll only be able to release the sale proceeds when we've completed the verification for all registered holders. If you remain unverified you'll be unable to make any further investments with us, including regular savings plan investments.
- Counterpart driving licences are not accepted.
- Statements and documents printed from the internet are not acceptable.
- All documents provided for evidence of personal identity must be current and valid.
- Documents used for evidence of address need to be the most recent of their kind, e.g. less than 12 months for annually produced documents, less than 6 months for bi-annual documents and less than 3 months for quarterly or more frequently produced documents.
- Documents which have been certified by a family member or by someone who is not actively working in their professional capacity (i.e. retired) are not acceptable.
- Documents need to be certified on the front page, where your details are displayed.
- We'll return all original documents, including original signature certified copies by recorded delivery.

Important notes for overseas clients

- Photocopies are only acceptable if they have been certified.
- If you decide to sell your holding, we'll only be able to release the sale proceeds when we've completed the verification for all registered holders. If you remain unverified you'll be unable to make any further investments with us, including regular savings plan investments.
- Counterpart driving licences are not accepted.
- Statements and documents printed from the internet are not acceptable.
- All documents provided for evidence of personal identity must be current and valid.
- Documents used for evidence of address need to be the most recent of their kind, e.g. less than 12 months for annually produced documents, less than 6 months for bi-annual documents and less than 3 months for quarterly or more frequently produced documents.
- Documents which have been certified by a family member or by someone who is not actively working in their professional capacity (i.e. retired) are not acceptable.
- Documents need to be certified on the front page, where your details are displayed.
- All documents returned by overseas clients must be in English or sent with a translation completed by an accredited translator.
- We'll return all original documents, including original signature certified copies by Royal Mail International Signed for service.

Appendix 2

The following explanatory notes are based on Tax Regulations as implemented in the UK.

If you have any questions about your organisation's classification, please contact your tax adviser.

Explanatory notes

Definitions common to FATCA and CRS

Tax Regulations

The term "tax regulations" refers to regulations created to enable automatic exchange of information and include Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Account Information.

FATCA and CRS**FATCA**

FATCA regulations in sections 1471 to 1474 of the US Internal Revenue Code and the Treasury regulations and official guidance issued there under, as amended from time to time. FATCA regulations have been adopted in the UK by The International Tax Compliance Regulations 2015.

CRS

The OECD Common Reporting Standard (CRS) which has been adopted in the UK by The International Tax Compliance Regulations 2015.

Non-Profit Organisation

An entity that meets ALL of the following criteria:

- (i) it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
- (ii) it is exempt from income tax in its country of residence;
- (iii) it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
- (iv) the applicable laws of the entity's country of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
- (v) the applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organisation, or escheat to the government of the entity's country of residence or any political subdivision thereof.

Controlling Persons

The term "Controlling Persons" means the natural persons who exercise control over an Entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" must be interpreted in a manner consistent with the Financial Action Task Force Recommendations.

"Control" over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest in the Entity. A "control ownership interest" depends on the ownership structure of the legal person and is usually identified on the basis of a threshold applying a risk-based approach (e.g. any person(s) owning more than a certain percentage of the legal person, such as 25%). Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, the Controlling Person(s) of the Entity will be the natural person(s) who holds the position of senior managing official.

Classifications under FATCA**Financial Institution**

The term "Financial Institution" means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company as defined for the purposes of FATCA. Please see the relevant Tax Regulations for the classification definitions that apply to Financial Institutions.

Partner Jurisdiction Financial Institution

A Partner Jurisdiction Financial Institution includes (a) any Financial Institution resident in the UK, but excluding any branches of such Financial Institution that are located outside the UK and (b) any UK branch of a Financial Institution not resident in the UK. For these purposes, "Partner Jurisdiction" means any jurisdiction that has in effect an agreement with the US to facilitate the implementation of FATCA.

Non-IGA jurisdiction

A non-IGA jurisdiction is one where there is no Model 1 or 2 Intergovernmental Agreement in place with the US in respect of FATCA.

Non-Participating Foreign Financial Institution (NPFPI)

The term "Non-participating Foreign Financial Institution" means a non-participating FFI, as that term is defined in relevant U.S. Treasury Regulations, but does not include a United Kingdom Financial Institution or other Partner Jurisdiction Financial Institution other than a Financial Institution identified as a Non-participating Financial Institution pursuant to a determination by IRS or HMRC that there is significant non-compliance with FATCA obligations.

US Territory

This term means American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, the Commonwealth of Puerto Rico or the US Virgin Islands.

Exempt Beneficial Owner

The term "Exempt Beneficial Owner" means

- (i) a UK Governmental Organisation;
- (ii) an International Organisation (examples of which include The International Monetary Fund, The World Bank, The International Bank for Reconstruction and Development and The European Community – for a full list please see the relevant guidance issued by HMRC, or the IRS);
- (iii) a Central Bank; or
- (iv) a UK registered pension scheme, or non-UK pension scheme falling within the definition of Exempt Beneficial Owner for the purpose of FATCA.

Deemed Compliant Foreign Financial Institution

The term "Deemed Compliant Foreign Financial Institution" means

- (i) Those entities classified as such in Annex II of the UK IGA, which includes Non-profit Organisations and Financial Institutions with a Local Client Base, or
- (ii) Entities which otherwise qualify as such under the FATCA Regulations.

Active Non-Financial Foreign Entity (NFFE)

An Active NFFE is any Non-Financial Foreign Entity that meets one of the following criteria:

- a) Less than 50 percent of the NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- b) The stock of the NFFE is regularly traded on an established securities market or the NFFE is a Related Entity of an Entity the stock of which is traded on an established securities market;
- c) The NFFE is organized in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory;
- d) The NFFE is a non-U.S. government, a government of a U.S. Territory, an international organization, a non-U.S. central bank of issue, or an Entity wholly owned by one or more of the foregoing;
- e) Substantially all of the activities of the NFFE consist of holding (in whole or in part) the outstanding stock of, and providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an NFFE shall not qualify for this status if the NFFE functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes. In these circumstances, the Entity will be a Passive NFFE;
- f) The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution; provided, that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFFE;
- g) The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- h) The NFFE primarily engages in financing and hedging transactions with or for Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
- i) The Entity is a Non-Profit organisation; or
- j) The NFFE is an "Excepted NFFE" as described in relevant U.S. Treasury Regulations.

Passive Non-Financial Foreign Entity (PNFFE)

A Passive NFFE is any Non-Financial Foreign Entity that is not an Active NFFE.

Non-Financial Foreign Entity (NFFE)

The term "NFFE" means any non-US Entity that is not treated as a Financial Institution.

Related Entity

An entity is a Related Entity of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose control includes director or direct ownership of more than 50 per cent of the vote or value in an entity.

Specified US Person

The term "Specified U.S. Person" means a U.S. Person, other than:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the Securities Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State; or
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code.

Classifications under CRS

Financial Institution

The term "Financial Institution" means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company.

Non-Reporting Financial Institution

The term "Non-Reporting Financial Institution" means any Financial Institution which is:

- (a) a Governmental Entity, International Organisation or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- (b) a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; a Pension Fund of a Governmental Entity, International Organisation or Central Bank; or a Qualified Credit Card Issuer;
- (c) any other Entity that presents a low risk of being used to evade tax, has substantially similar characteristics to any of the Entities described in (a) or (b) above, and is included in the list of Non-Reporting Financial Institutions provided to the European Commission by the UK;
- (d) an Exempt Collective Investment Vehicle; or
- (e) a trust to the extent that the trustee of the trust is a Reporting Financial Institution and reports all information required to be reported pursuant to Section I with respect to all Reportable Accounts of the trust.

Related Entity

An Entity is a "Related Entity" of another Entity if (i) either Entity controls the other Entity; (ii) the two Entities are under common control; or (iii) the two Entities are Investment Entities, are under common management, and such management fulfils the due diligence obligations of such Investment Entities. For this purpose control includes direct or indirect ownership of more than 50% of the vote and value in an Entity.

Active Non-Financial Entity (NFE)

The term "Active NFE" means any NFE that meets any of the following criteria:

- (a) less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- (b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market;
- (c) the NFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
- (d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes. In these circumstances, the Entity will be a Passive NFE;
- (e) the NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE;
- (f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- (g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or
- (h) the Entity is a non-profit organisation.

Passive Non-Financial Entity (PNFE)

A Passive NFE is any Non-Financial Entity that is not an Active NFE, or an Investment Entity that is not a Participating Jurisdiction Financial Institution.

Non-Financial Entity (NFE)

The term "NFE" means any Entity that is not a Financial Institution.

Investment Entity

The term "Investment Entity" means any Entity:

- (a) which primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
 - (i) trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
 - (ii) individual and collective portfolio management; or
 - (iii) otherwise investing, administering, or managing Financial Assets or money on behalf of other persons; or
- b) the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets, if the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or an Investment Entity described in subparagraph A(6)(a) of the EU Directive on Administrative Co-operation 2014/107/EU.

An Entity is treated as primarily conducting as a business one or more of the activities described in subparagraph A(6)(a), or an Entity's gross income is primarily attributable to investing, reinvesting, or trading in Financial Assets for the purposes of subparagraph A(6)(b), if the Entity's gross income attributable to the relevant activities equals or exceeds 50% of the Entity's gross income during the shorter of:

- (i) the three-year period ending on 31 December of the year preceding the year in which the determination is made; or
- (ii) the period during which the Entity has been in existence.

The term "Investment Entity" does not include an Entity that is an Active NFE because that Entity meets any of the criteria in subparagraphs D(8)(d) through (g) of the EU Directive on Administrative Co-operation 2014/107/EU

This paragraph shall be interpreted in a manner consistent with similar language set forth in the definition of "financial institution" in the Financial Action Task Force Recommendations.

Participating Jurisdiction

The term "Participating Jurisdiction" means a jurisdiction which has an agreement in place to exchange information in accordance with the OECD Common Reporting Standard.

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