



# Invesco Traditional or Roth IRA Recharacterization Form

Use this form to recharacterize current or prior year IRA contributions (Roth IRA to Traditional IRA or Traditional IRA to Roth IRA) or to reverse a conversion made into your Roth IRA from a Traditional, SEP, SARSEP or SIMPLE IRA. We recommend that you speak with a tax or financial advisor regarding the consequences of this transaction.

**Please use a separate form for each tax year.**

For more information, please see the Additional Information section at the end of this form.

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

## 1 | Depositor Information

Social Security Number (Required)	Date of Birth (mm/dd/yyyy)
<input type="text"/>	<input type="text"/>

Account Registration (Please print name as it appears on account.)

Primary Phone Number	Email Address
<input type="text"/>	<input type="text"/>

## 2 | Recharacterization Instructions (Complete A and B.)

### A. Amount and Tax Year of Recharacterization:

1. For tax year , recharacterize:

All or  Part \$ , .  of my contribution.

All or  Part \$ , .  of my conversion.

2. Move **from** account number  Move **to** account number\*

Roth  Traditional

Traditional  Roth

\*If you do not already have an Invesco Traditional or Roth IRA established, please attach a completed Invesco Traditional or Roth IRA Application.

### B. Allocation of Recharacterization: (Select one.)

Assets will be recharacterized "in kind". An "in kind" recharacterization is the movement of currently owned Invesco Funds without liquidating. You may request an exchange separately.

**Note:** If I do not provide a method of recharacterization below, I am directing Invesco Investment Services, Inc. (IIS) to use the proportionate method. Furthermore, I understand that the assets will be recharacterized "in kind".

- Proportionate** – Shares will be transferred from each fund proportionate to that fund's value with respect to the total value of your account.
- From Specific Fund(s)** – Please indicate the fund(s) and amounts below.

Fund Number	Fund Name	Percent
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %
Total		<input type="text"/> <b>1</b> <input type="text"/> <b>0</b> <input type="text"/> <b>0</b> %



**3 | Systematic Purchase Instructions** *(Select one if applicable.)*

IIS will not alter existing systematic agreements unless specified below.

- Move my existing systematic purchase agreement to the account I am recharacterizing to.
- Stop my existing systematic purchase agreement on the account I am recharacterizing from but do not move it to the account I am recharacterizing to.

**4 | Authorization and Signature** *(Please sign and date below.)*

By signing this form (i) I authorize and direct IIS to take actions as specified above, **and (ii) I agree to indemnify and hold harmless IIS, its affiliates, each of their respective employees, officers, trustees, or directors, and each of the Invesco Funds from and against any and all claims, losses, liabilities, damages and expenses that may be incurred by reason of your actions taken in accordance with the instructions set forth herein.**

Signature *(Required)*

Date (mm/dd/yyyy)

X

**5 | Mailing Instructions**

Please send completed and signed form to:

**(Direct Mail)**

Invesco Investment Services, Inc.  
P.O. Box 219078  
Kansas City, MO 64121-9078

**(Overnight Mail)**

Invesco Investment Services, Inc.  
c/o DST Systems, Inc.  
430 W. 7th Street  
Kansas City, MO 64105-1407

**For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.**

**Visit our website at [invesco.com/us](http://invesco.com/us) to:**

- Check your account balance
- Confirm transaction history
- View account statements and tax forms
- Sign up for eDelivery of statements, daily transaction statements, tax forms, prospectuses, and reports
- Check the current fund price, yield and total return on any fund
- Process transactions
- Retrieve account forms and investor education materials

**Call the 24-Hour Automated Investor Line 800 246 5463 to:**

- Obtain fund prices
- Confirm your last three transactions
- Order a recent account statement(s)
- Check your account balance
- Process transactions

To use the system, please have your account numbers and Social Security number available.

**Additional Information**

- Assets will be recharacterized "in kind". An "in kind" recharacterization is the movement of currently owned Invesco funds without liquidating. You may request an exchange separately.
- To recharacterize a prior year contribution, IIS must receive this form by the tax filing deadline (including extensions; generally Oct. 15th) of the year for which the contribution was made.
- Your recharacterization will be processed at NAV on the day we receive your completed form in good order.
- Any net earnings attributable to the contribution or conversion will be calculated by IIS and transferred to the recipient account. Earnings will be calculated across all funds within the same account registration.
- You will be responsible for reporting the transaction as a recharacterization on your federal income tax return.