Please remember to sign application after printing.

Print | Clear Form

Traditional or Roth IRA Recharacterization Form

Use this form to recharacterize current or prior year IRA contributions (Roth IRA to Traditional IRA or Traditional IRA to Roth IRA) or to reverse a conversion made into your Roth IRA from a Traditional, SEP, SARSEP or SIMPLE IRA. We recommend that you speak with a tax or financial advisor regarding the consequences of this transaction.

Please use a separate form for each tax year.

For more information, please see the Additional Information section.

PLEASE USE BLUE OR BLACK INK **PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS**

1 | Depositor Information

Start here >. Use "Tab" key to move to next field.

Social Security Number *(Required)*

Date of Birth (mm/dd/yyyy)

Account Registration *(Please print name as it appears on account.)*

Primary Phone Number

Alternate Phone Number

2 | Recharacterization Instructions *(Complete A and B.)*

A. Amount and Tax Year of Recharacterization:

1. For tax year ☐☐☐☐, ☐ All or ☐ Part $ ☐☐☐,☐☐☐.☐☐ of my contribution.

☐ All or ☐ Part $ ☐☐☐,☐☐☐.☐☐ of my conversion.

2. Move from account number ☐ Move to account number* ☐

☐ Roth ☐ Traditional

☐ Traditional ☐ Roth

*If you do not already have an Invesco Traditional or Roth IRA established, please attach a completed Invesco Traditional, Rollover or Roth IRA Application.

B. Distribution Allocation: *(Select one.)*

Invesco Investment Services, Inc., (IIS) will default to proportionate unless specified below. Assets will be recharacterized "in kind." You may request an exchange separately.

☐ **Proportionate** – Shares will be redeemed from each fund proportionate to that fund's value with respect to the overall value of your account.

☐ **Distribution from Specific Fund(s)** – Please indicate the fund(s) and redemption amounts below.

Fund Number	Fund Name	Percent
		☐☐☐ %
		☐☐☐ %
		☐☐☐ %
	Total	1 0 0 %

3 | Systematic Purchase Instructions *(Select one if applicable.)*

IIS will not alter existing systematic agreements unless specified below.

☐ Move my existing systematic purchase agreement to the account I am recharacterizing to.

☐ Stop my existing systematic purchase agreement on the account I am recharacterizing from but do not move it to the account I am recharacterizing to.

4 | Authorization and Signature *(Please sign and date below.)*

I certify that the information given above is true and correct, and I authorize and direct the custodian to take actions as specified above. I understand I will be responsible for reporting the transaction as a recharacterization on my federal income tax return.

Signature *(Required)*

X

Date (mm/dd/yyyy)

5 | Mailing Instructions

Please send completed and signed form to:

(Direct Mail)
Invesco Investment Services, Inc.
P.O. Box 219078
Kansas City, MO 64121-9078

(Overnight Mail)
Invesco Investment Services, Inc.
c/o DST Systems, Inc.
430 W. 7th Street
Kansas City, MO 64105-1407

For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.

Visit our website at invesco.com/us to:

- Check your account balance
- Confirm transaction history
- View account statements and tax forms
- Sign up for eDelivery of statements, daily transaction statements, tax forms, prospectuses, and reports
- Check the current fund price, yield and total return on any fund
- Process transactions
- Retrieve account forms and investor education materials

Call the 24-Hour Automated Investor Line 800 246 5463 to:

- Obtain fund prices
- Confirm your last three transactions
- Order a recent account statement(s)
- Check your account balance
- Process transactions

To use this system, please have your account numbers and Social Security number available.

Additional Information

- To recharacterize a prior year contribution, IIS must receive this form by the tax filing deadline (including extensions; generally Oct. 15th) of the year for which the contribution was made.
- Your recharacterization will be processed at NAV on the day we receive your completed form.
- Any net earnings attributable to the contribution or conversion will be calculated by IIS and transferred to the recipient account. Earnings will be calculated across all funds within the same account registration.