



IRA Beneficiary Transfer/Distribution Form

Use this form to request a distribution or transfer from a deceased depositor's IRA or an existing beneficiary IRA. We recommend that you speak with a tax or financial advisor regarding the consequences of this transaction.

- Each beneficiary must complete a separate IRA Beneficiary Transfer/Distribution Form.
- See section 9 for signature guarantee requirements for distributions from existing beneficiary IRAs.
- For more information, please see the Additional Information section at the end of the form.

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

1 | IRA Information

IRA Type (Select one.)

- Traditional
 Roth
 SEP
 SARSEP
 SIMPLE
 Beneficiary IRA - Please provide the beneficiary IRA owner's information below and complete sections 5 - 9.

Social Security Number

Invesco Account Number or Plan ID

Account Registration (Please print name as it appears on account.)

Date of Birth (mm/dd/yyyy)

Date of Death (If applicable) (mm/dd/yyyy)

2 | Beneficiary Information

Important: A medallion signature guarantee is required in section 9. If you are unable to obtain a medallion signature guarantee, please see the Additional Information section at the end of this form for alternative requirements.

Relationship to Depositor at time of death: (Required. Select one.)

- Spouse
 Child/Grandchild
 Former Spouse - Date of Divorce (Required)
 Entity
 Other _____

Beneficiary's Full Name/Name of Entity

Beneficiary's Social Security Number
(or Tax Identification Number if Beneficiary is Entity. Required.)

Date of Birth (mm/dd/yyyy)

Executor/Trustee/Personal Representative Name if Beneficiary is Entity (Please print.)

Mailing Address (Including apartment or P.O. Box number.)

City

State

ZIP

Primary Phone Number

Email Address



Residential Address (Required if different from mailing address or if a P.O. Box was given above.)

[Empty text box for Residential Address]

City

[Empty text box for City]

State

[Empty text box for State]

ZIP

[Empty text box for ZIP]

3 | Deceased Beneficiary Information (Please complete if any of the primary beneficiaries of the deceased depositor are deceased; date of death must be included.)

The following designated beneficiary(ies) is/are deceased. (A certified copy of the beneficiary's death certificate must be attached, unless a medallion signature guarantee is provided in section 9 on this form.)

Deceased Beneficiary's Full Name

Date of Death (mm/dd/yyyy)

[Empty text box for Deceased Beneficiary's Full Name]

[Empty text box for Date of Death]

Deceased Beneficiary's Full Name

Date of Death (mm/dd/yyyy)

[Empty text box for Deceased Beneficiary's Full Name]

[Empty text box for Date of Death]

4 | Year of Death Required Minimum Distribution (Complete if applicable.)

Required minimum distribution (RMD) is ineligible to rollover or transfer. If the depositor was over age 70 1/2 at the time of death and death occurred in the current calendar year, Invesco Investment Services, Inc. (IIS) will distribute the year of death RMD under the beneficiary's Social Security number and the withholding election in section 7 will apply to this distribution. If the year of death RMD has already been satisfied, please check the box below.

Note: IIS will not automatically distribute deceased depositor's RMDs for past years.

Please do not distribute the deceased depositor's year of death RMD as it has already been satisfied.

5 | Transfer Instructions (Complete A, B, or C.)

The option selected below will apply to the beneficiary's entire designated portion of the deceased depositor's account referenced in section 1.

A. Transfer and immediately distribute all. (Proceed to section 7.)

B. Transfer to an Invesco IRA as indicated below. (If not requesting distribution, proceed to section 9.)

Invesco beneficiary IRA. (Please complete and attach the appropriate Invesco IRA application; if requesting distribution, proceed to section 6.)

Invesco IRA. (Available only to the surviving spouse beneficiary; please include account number or the appropriate Invesco IRA application. Your fund selection will remain the same.)

Invesco IRA account Number [Empty text box]

C. Rollover/Transfer to a new custodian (Proceed to section 8.)

6 | Distribution Instructions (Complete A and either B or C.)

I authorize IIS to distribute from my beneficiary IRA as indicated below. Furthermore, I understand and agree to the terms listed below.

■ If I do not select a distribution frequency below, I am directing IIS to process a one-time distribution.

■ If the selected payment date has already passed, I am directing IIS to establish the plan for the next scheduled payment date.

■ If I do not provide a payment date below, I am directing IIS to distribute on the 10th of the selected payment schedule.

A. Frequency: (Select one and proceed to section 6B or 6C, as applicable.)

I wish to take a one-time distribution.

I wish to establish a series of periodic distributions.

Monthly - One draft per month on the following day of the month _____ (e.g., 10th, 25th, etc.) beginning in _____ (month) _____ (year).

Quarterly - One draft per quarter on the following day of the month _____ (e.g., 10th, 25th, etc.) beginning in _____ (month) _____ (year).

Annually - One draft per year on the following day of the month _____ (e.g., 10th, 25th, etc.) beginning in _____ (month) _____ (year).

B. Invesco to calculate required minimum distribution (RMD):

Note: If this method is selected, *proportionate* is the only distribution allocation option.

I would like IIS to calculate the RMD and pay it out based on the following information and the frequency selected in section 6A:
Select one and proceed to section 7:

- I am the surviving spouse of the depositor.
- I am not the surviving spouse of the depositor.
- The beneficiary is a trust. If beneficiaries are named for the trust, and the depositor died before his or her required beginning date, proceeds distributed may be based on the oldest primary beneficiary's single life expectancy if the trust meets the provisions of a "look-through" trust. If the depositor died after his or her required beginning date, proceeds distributed may be based on the depositor's or oldest primary beneficiary's single life expectancy.

The date of birth for the calculation is: (mm/dd/yyyy)

- The beneficiary is the depositor's estate. Distributions may be based on the depositor's single life expectancy if the depositor died after his or her required beginning date.

C. Beneficiary to provide distribution amount:

Note: If the amount you provide is your RMD, you are responsible for recalculating the amount of your RMD and providing IIS with new distribution instructions as applicable.

- I would like to distribute the entire account.
- I would like to receive the following dollar amount from the account (net): \$, . .

I authorize and direct IIS to redeem additional fund shares in amounts necessary to pay any applicable contingent deferred sales charges and federal income tax withholding. (If you selected one-time distribution above, this will be the amount of your one-time distribution. If you selected periodic distribution above, this will be the amount of each installment.)

- Distribute the following dollar amount from my account (gross): \$, . . I understand that the amount of the distribution that I receive will be reduced by any applicable contingent deferred sales charges and federal income tax withholding. (If you selected one-time distribution above, this will be the amount of your one-time distribution. If you selected periodic distribution above, this will be the amount of each installment.)

Allocation of distribution

- Proportionate** - Shares will be redeemed from each fund proportionate to that fund's value with respect to the total value of your account.
- Distribution From Specific Fund(s)** - Please indicate the fund(s) and redemption amounts below.

Fund Number	Fund Name	Percentage	Amount
<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> or \$ <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> , <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> . <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/>	
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7 | Federal Income Tax Withholding (Select one.)

The distributions you receive from your IRA are subject to 10% federal income tax withholding unless you provide an alternative election below. If no election is made, or your only address of record is a P.O. Box or non-U.S. address, IIS is required to withhold at the rate of 10%.

For Roth IRAs only, federal income tax withholding does not apply, unless specified below.

- I do not want any federal income tax withheld from my distribution.
- I want federal income tax withheld at the rate of % . (Must be 10% or greater)

Please note that the withholding rate designation made above supersedes any previous designations. If you elect to receive periodic distributions, your withholding election for this series of payments will remain on file with IIS. You may change or revoke your withholding election at any time by contacting an Invesco Client Services representative. If you elect not to have withholding applied to your distributions, or if you do not have enough federal income tax withheld from your distributions, you may be responsible for payment of estimated tax. You may incur penalties under the estimated tax rules if your withholding and estimated tax payments are not sufficient.

8 | Payment Options

Note: Your distribution will be mailed to the beneficiary's address referenced in section 2 (or the address of record for existing beneficiary IRA), unless specified below. Checks will not be forwarded.

Select only one payment option (A or B).

A. By Check:

- Mail check to the beneficiary's address referenced in section 2.
- Mail check to the beneficiary IRA's address of record.
- Mail check to the new IRA custodian or plan trustee as a transfer of assets. *(A letter of acceptance is required. This option must be selected if transferring to beneficiary IRA.)*
- Mail check to the new IRA custodian or plan trustee as a direct rollover to the surviving spouse beneficiary's own IRA. *(This option is not available for non-spouse beneficiaries.)*
- Mail check to a third party address.

Make check payable to:

Mailing Address *(Including apartment or P.O. Box number.)*

City

State

ZIP

B. To Bank: *(If banking information is provided below and a single delivery option is not selected, proceeds will be sent via Automated Clearing House (ACH)).*

- Wire proceeds to my bank account. *(Not available for periodic payments)*
- ACH Transfer to my bank account. *(Allow 2-3 business days to receive your proceeds.)*

Account Type: Checking Savings

Name

Pay to the order of _____ \$

Please tape your voided check here.

Routing Number

Account Number

9 | Authorization and Signature (Beneficiary must sign and date below.)

REQUEST FOR TAXPAYER IDENTIFICATION NUMBER (Substitute Form W-9)

Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), **and**
- 2. I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding, **and**
- 3. I am a U.S. person (including a U.S. resident alien), **and**
- 4. I am exempt from FATCA reporting.

Certification Instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN.

I certify that the information given is true and accurate, and I authorize and direct the custodian to distribute/transfer my portion of the assets according to the instructions provided on this form.

Signature (Required)

Title

Date (mm/dd/yyyy)

X

Important Note: For a distribution or transfer from a deceased depositor's IRA, a medallion signature guarantee is required. If you are unable to obtain a medallion signature guarantee, please see the Additional Information section at the end of this form for alternative requirements.

For existing beneficiary IRA, a signature guarantee is required under the following circumstances:

- Redemption proceeds will exceed \$250,000 per fund.
- Redemption proceeds to be paid to someone other than the account owner.
- Redemption proceeds to be sent somewhere other than the address of record or bank of record on the account.
- Proceeds of an unscheduled redemption will be sent to an address or bank account that has been on your Invesco account for less than 15 days.

Signature Guarantee: (Please place signature guarantee stamp below.)

Each signature must be guaranteed by a bank, broker-dealer, savings and loan association, credit union, national securities exchange or any other "eligible guarantor institution" as defined in rules adopted by the Securities and Exchange Commission. Signatures may also be guaranteed with a medallion stamp of the STAMP program or the NYSE Medallion Signature Program, provided that the amount of the transaction does not exceed the relevant surety coverage of the medallion. **A signature guarantee may NOT be obtained through a notary public.**

Note: Endorsement guarantee is not acceptable.

10 | Mailing Instructions

Please send completed and signed form to:

(Direct Mail)

Invesco Investment Services, Inc.
P.O. Box 219078
Kansas City, MO 64121-9078

(Overnight Mail)

Invesco Investment Services, Inc.
c/o DST Systems, Inc.
430 W. 7th Street
Kansas City, MO 64105-1407

For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.

Visit our website at invesco.com/us to:

- Check your account balance
- Confirm transaction history
- View account statements and tax forms
- Sign up for eDelivery of statements, daily transaction statements, tax forms, prospectuses, and reports
- Check the current fund price, yield and total return on any fund
- Process transactions
- Retrieve account forms and investor education materials

Call the 24-Hour Automated Investor Line 800 246 5463 to:

- Obtain fund prices
- Confirm your last three transactions
- Order a recent account statement(s)
- Check your account balance
- Process transactions

To use the system, please have your account numbers and Social Security number available.

Additional Information**Additional Documentation Requirements**

If you are not able to obtain a medallion signature guarantee, a signature guarantee is required in section 9 of this form along with some of the following additional documentation (*as applicable*):

- **A certified copy** of the depositor's death certificate or a **certified copy** of letters testamentary indicating the depositor's date of death.
- An heir or devisee of the depositor claiming assets pursuant to a small estate administration must provide a **certified copy** of the affidavit of small estate (in states where applicable.)
- If no beneficiary is named on the account and the depositor was unmarried at the time of death, any assets remaining in the account will be distributed to the depositor's estate. In these instances a copy of the death certificate must be included indicating that the depositor was not married at the time of death. Alternatively, IIS can accept a medallion signature guaranteed letter indicating the depositor's marital status at the time of death, and that there are no other persons or entities with a claim to the deceased depositor's Invesco account.

Required Minimum Distributions

- If a beneficiary fails to take RMD in any tax year, the amount of the deficiency may be subject to a 50% excess accumulations tax imposed by the IRS. In the case of the deceased depositor's year of death RMD, IIS will automatically distribute required amounts as needed only if the depositor died in the current year.
- **Depositor deceased before distributions are required to begin.** If the depositor dies before RMDs are required to begin, all assets remaining in the depositor's account must be distributed by the end of the fifth year following the depositor's death unless (i) the beneficiary is a natural person or trust that qualifies as a "look through" trust, in which case RMDs must commence by December 31 of the year following the year of the depositor's death over the designated beneficiary's life expectancy, or (ii) the beneficiary is the depositor's spouse, in which case RMD's must commence no later than December 31 of the year in which the deceased depositor would have attained age 70½ over the spouse's life expectancy.
- **Depositor deceased after distributions are required to begin.** If the depositor dies after RMDs are required to begin, the account will be distributed (i) if the depositor named a designated beneficiary, over the longer of the depositor's remaining life expectancy at death or the designated beneficiary's life expectancy, (ii) if the depositor named the depositor's spouse as the designated beneficiary, over the longer of the depositor's remaining life expectancy at death or spouse's life expectancy, (iii) if the depositor did not name a designated beneficiary, over the depositor's remaining life expectancy at death.
- **Multiple Beneficiaries.** If there are multiple beneficiaries, each beneficiary may make elections relative to his or her share of the account if separate accounts for each beneficiary are established by December 31 of the year following the year of the depositor's death. Otherwise, distributions generally will be based upon the life expectancy of the eldest beneficiary. Additional rules may apply.

Transferring to a Beneficiary IRA at Another Custodian

IIS requires a letter of acceptance to transfer inherited assets to a beneficiary IRA held with another custodian. The letter of acceptance should indicate that the assets will be transferred into a beneficiary IRA in the name of the same decedent for the benefit of the beneficiary.

Former Spouse Beneficiaries

In order to be a designated beneficiary on the account referenced in section 1, a former spouse must have been designated as a beneficiary of the account after the date of divorce, annulment or other lawful dissolution of marriage.

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