Please remember to sign application after printing.

Print | Clear Form

Change of Ownership Form

Use this form to change the registration on non-retirement Invesco accounts.

- If this is a corporate account or is a transfer or distribution due to the death of the account owner(s), please call an Invesco Client Services representative to obtain any additional requirements.
- All signatures require a signature or medallion guarantee, unless moving to a Transfer on Death (TOD) account for the same shareholder(s).

Start here >. Use "Tab" key to move to next field.

PLEASE USE BLUE OR BLACK INK **PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS**

1 | Invesco Account Number and Current Registration Information

☐ SSN or ☐ TIN *(Required)*

Invesco Account Number

Account Registration *(Please print name(s) as it appears on account.)*

Primary Phone Number

Alternate Phone Number

2 | Requestor Information

Full Name of Requestor(s)

Primary Phone Number

Capacity of Requestor *(Select all that apply.)*:

☐ Owner ☐ Conservator ☐ Former Minor ☐ Attorney-in-Fact for _____

☐ Custodian ☐ Successor Trustee - Reason for replacement _____

☐ Executor(trix) for _____ ☐ Other _____

3 | New Owner(s)/Recipient Information *(If you are transferring to a new Invesco account, a new account application is also required.)*

Full Name of New Shareholder, Custodian, Trust, Estate or other Entity

☐ SSN or ☐ TIN *(Required)*

Date of Birth (mm/dd/yyyy)

Date of Trust *(If applicable)* (mm/dd/yyyy)

Full Name of New Joint Owner, Minor, Trustee or Executor *(If applicable)*

SSN

Date of Birth (mm/dd/yyyy)

Mailing Address

Primary Phone Number

Alternate Phone Number

4 | Reason for Request *(Select one option A, B or C.)*

To ensure accurate cost basis reporting, indicate the reason for transfer. If a reason is not selected, this transaction will be treated as a gift for cost basis purposes.

A. ☐ **General Registration Change:** Select this option if you are transferring shares due to one of the reasons below. Please also check the box that matches your specific reason.

- ☐ Marriage or divorce
- ☐ Adding or removing a joint owner for a reason other than death, marriage or divorce
- ☐ UTMA/UGMA minor has reached the age of majority
- ☐ Transferring shares into your Grantor Trust (Grantor trusts typically utilize the same Social Security number as one of the grantors. See "Gift" if SSN of the trust does not match with the SSN of the original owner or if you are not a grantor of the trust.)
- ☐ Transferring shares into your partnership or sole proprietor account
- ☐ Transferring shares into your TOD account

B. ☐ **Death of Owner** (Inheritance): Select this option if you have inherited shares from an individual, a joint owner, a trust or an estate account. Please provide the date of death, alternate valuation date or alternate value below in order for Invesco to provide cost basis for your shares.

Cost basis rules for inherited shares are complex. Please consult with your tax advisor.

Decedent's Name(s) Date of Death (mm/dd/yyyy) (*Required*)

Alternate Valuation Date (mm/dd/yyyy) (*Optional*)

or Alternate Value (Optional) $ ___,___.__

If transferring shares due to death from a joint account or grantor trust account with more than one grantor, please select all that apply:

- ☐ The owners or grantors were not married at the time of death.
- ☐ The owners or grantors were married at the time of death. *(If this box is selected, please select an option below.)*
  - ☐ The shares are designated as "community property".
  - ☐ The shares are not designated as "community property".

C. ☐ **Gift:** Select this option if you are transferring shares due to one of the reasons below. Please also check the box that matches your specific reason and provide the date of the gift. If a date is not provided, then the trade date of the transfer will be utilized as the gift date to determine the fair market value of the gifted shares.

- ☐ Gifting shares to new owner(s)
- ☐ Gifting shares to a UTMA/UGMA account for the benefit of a minor
- ☐ Gifting shares to a non-grantor trust (Non-grantor trusts typically utilize a Social Security number different from that of the original owner.)

Date of Gift (mm/dd/yyyy)

Acceptance of Fair Market Value *(Signature of new owner.)*

X

If the recipient's existing account or new account will use the Average Cost accounting method and the gifted shares are covered (originally acquired on or after January 1, 2012), they must sign above indicating acceptance of the shares valued at fair market value of the date of gift or settlement date if the shares should be transferred at a loss. If this section is not signed by the recipient and the covered shares are transferred at a loss, then Average Cost can no longer be utilized on the recipient's account and the cost basis method will be changed to First-In First-Out (FIFO) or another method of the recipient's choosing. If the gifted shares are noncovered (originally acquired prior to January 1, 2012) and are transferred at a loss, then Invesco will be unable to provide cost basis information for any of the recipient's noncovered shares.

5 | Transfer/Redemption Instructions *(Complete options A and B.)*

A. Type of Request *(Select one.)*

- ☐ Re-register/transfer to an existing Invesco account number
- ☐ Re-register/transfer to a new Invesco account *(A new Invesco Account Application is required for each recipient.)*
- ☐ Re-register shares and redeem for cash. *(Complete section 6 with payment options.)*

B. Amount of Transfer or Redemption *(Select one.)*

- ☐ Entire account
- ☐ Partial: _____% of shares from the account
- ☐ Partial: specific funds *(Please indicate the fund(s) and amounts below.)*

Fund Number	Fund Name	Percentage		Amount: ☐ Dollars *or* ☐ Shares
			or	, .
			or	, .
			or	, .

(If you have additional funds, please attach a separate page including all of the information requested above.)

Important: The Internal Revenue Service requires mutual funds to track cost basis for shares purchased on or after January 1, 2012 (covered shares). By default, shares of this transfer will be depleted from your account proportionately. If you wish to elect a different cost basis method, please attach a separate letter including your preferred depletion method. The specific lot identification method will require the date of purchase and number of shares.

6 | Payment Options *(Complete this section only if you are requesting a redemption under section 5A. Choose one option below.)*

- ☐ Mail check(s) to address listed in section 3. *(Checks will not be forwarded.)*
- ☐ Mail check(s) to third party address. *(Specify name and address of third party below.)*

Make check payable to: *(Name of third party.)*

Mailing Address *(Including apartment or box number.)*

If selecting a payment via wire or ACH (Automated Clearing House) transfer, please provide bank information in the space provided below. (If banking information is provided and a delivery option is not selected, proceeds will be sent via ACH.)

☐ Wire to my bank account. ☐ ACH transfer to my bank account.

Name

Pay to the order of $

Please tape your voided check here.

Routing Number Account Number

7 | Authorization and Signature(s) *(Please sign and date below.)*

REQUEST FOR TAXPAYER IDENTIFICATION NUMBER (Substitute Form W-9)
Under penalties of perjury, I certify that:
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), **and**
2. I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding, **and**
3. I am a U.S. person (including a U.S. resident alien), **and**
4. I am exempt from FATCA reporting.

Certification Instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN.

I certify the information I have provided in connection with this request is true and accurate, and I authorize and direct Invesco Investment Services, Inc. (IIS) to take such actions as indicated above.

Signature of Requestor *(Required)*	Title	Date (mm/dd/yyyy)
X		

Signature of Joint Requestor *(If applicable)*	Title	Date (mm/dd/yyyy)
X		

Signature of New Owner/Recipient *(If applicable)*	Title	Date (mm/dd/yyyy)
X		

Signature Guarantee: (Please place signature guarantee stamp below.)

Each signature must be guaranteed by a bank, broker-dealer, savings and loan association, credit union, national securities exchange or any other "eligible guarantor institution" as defined in rules adopted by the Securities and Exchange Commission. Signatures may also be guaranteed with a medallion stamp of the STAMP program or the NYSE Medallion Signature Program, provided that the amount of the transaction does not exceed the relevant surety coverage of the medallion. **A signature guarantee may NOT be obtained through a notary public.**

Note: Endorsement guarantee is not acceptable.

8 | Mailing Instructions

Please send completed and signed form to:

(Direct Mail)
Invesco Investment Services, Inc.
P.O. Box 219078
Kansas City, MO 64121-9078

(Overnight Mail)
Invesco Investment Services, Inc.
c/o DST Systems, Inc.
430 W. 7th Street
Kansas City, MO 64105-1407

For assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.