Please remember to sign application after printing.

Print | Clear Form

Omnibus Retirement Plan Application

Use this form to authorize Invesco Investment Services, Inc. (IIS) to establish a non-Invesco-sponsored omnibus qualified retirement plan indicated in section 2. We recommend that you speak with a tax advisor or financial advisor prior to adopting a retirement plan.

- The employer must have adopted a qualified retirement plan document.
- If establishing an omnibus account(s) on behalf of underlying plan participants, all tax reporting, withholding and, if applicable, retirement plan administration, are the responsibility of the employer/plan trustee and not the responsibility of IIS.
- Trustee(s) should refer to the Invesco Privacy Policy and Invesco's 408b-2 Service Provider Disclosure, both of which are located at the end of this form.
- All trustees are required to sign this application.

Do not use this application to establish participant-based retirement accounts.

PLEASE USE BLUE OR BLACK INK **PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS**

1 | Plan Type *(Select one.)*

Start here >. Use "Tab" key to move to next field.

☐ 401(k) ☐ Money Purchase Pension ☐ Profit Sharing ☐ Other

2 | Plan Information

Plan Name

Plan Tax Identification Number *(Required)*

Mailing Address *(Account statements will be mailed to this address.)*

City | State | ZIP

Plan Tax Identification Number *(Required)* | Primary Phone Number

3 | Plan Trustee(s)

List all plan trustee(s). If there are more than two trustees, please attach a separate page including all of the information requested in this section.

IIS will accept instructions to authorize plan or participant transactions from any one of the plan's trustees listed unless specified below.

☐ All plan trustees' signatures are required for authorizing transactions.

1. Full Name

Social Security Number | Primary Phone Number

Mailing Address *(Including apartment or P.O. Box number)*

City | State | ZIP

2. Full Name

Social Security Number

Primary Phone Number

Mailing Address *(Including apartment or P.O. Box number)*

City

State

ZIP

4 | eDelivery

Receive statements, confirmations, shareholder reports, news and updates online instead of by U.S. mail. By providing my email address below, I consent to eDelivery (IIS will default to ALL if no selections are made):

Email Address

☐ Quarterly and annual statements

☐ Transaction confirmations

☐ Prospectuses, annual and semi-annual reports

☐ News and updates

For more information on eDelivery consent, please see the Additional Information section at the end of the form.

5 | Investment Selection *(Please refer to the List of Available Investments in section 11.)*

Please select fund(s).

- All fund selections must be in the same class of share. For this purpose, Cash Reserve shares of Invesco Money Market Fund are considered Class A shares.
- If Class R shares are selected, the Plan may hold only Class R shares.
- If no fund is selected on this application or for future investments, Cash Reserve shares of Invesco Money Market Fund will be purchased.
- If no class of shares is selected, Class A shares will be purchased.
- If you have additional fund selections, please attach a separate page including all of the information requested in this section.
- Your initial purchase should equal the amount enclosed.

Fund Number	Fund Name	Class of Shares	Purchase Amount
			$, .
			$, .
			$, .
			$, .
			$, .
			$, .
			$, .
			$, .
			$, .
		Initial Purchase Total	$, .

6 | Reduced Sales Charge *(Only available for Class A shares.)*

Rights of Accumulation *(Cumulative Discount)*
The plan applies for reduced sales charges based on the share balances in a related plan *(A plan sponsored by the same employer with the same name and address.)* with the following tax identification number:

Plan Tax Identification Number

Invesco Account Number or Plan ID

Large Plan Purchases The plan applies for the right to purchase Class A shares at net asset value (NAV) without the imposition of a front-end sales charge based on the following:

☐ I certify that there are at least 100 employees eligible to participate in the plan; and/or

☐ The plan assets are at least $1 million.

7 | Telephone Transactions *(Automatically applies unless declined below)*

Telephone Exchange: ☐ I DO NOT authorize telephone exchange.

8 | Financial Advisor/Dealer Information *(To be completed by your financial advisor.)*

We hereby authorize IIS to act as our agent in connection with transactions authorized by the account application and agree to notify IIS of any purchase made under a letter of intent or rights of accumulation.

Name of Firm

Invesco Dealer Number *(if known)*

Financial Advisor's Name *(Required)*

Financial Advisor's Rep ID *(Required)*

Financial Advisor's Branch Address

Branch Number *(Required)*

City

State

ZIP

Financial Advisor's Phone Number

Authorized Signature of Dealer

X

Important Note for plans purchasing shares at Net Asset Value (NAV): If the plan is eligible to purchase shares at NAV, a completed Net Asset Value Certification Form must be attached. Eligibility criteria for NAV purchases is described in the fund's Statement of Additional Information.

Please indicate which method the financial advisor would like to receive their commissions. IIS will default to option 1 if no selection is made below. If a Net Asset Value Certification Form is not attached, IIS will default to purchases at the normal breakpoint level.

☐ Option 1: Finder's fee paid immediately and 12b-1 payments made after a 13 month period has elapsed.

☐ Option 2: No Finder's fee and 12b-1 payments begin immediately.

9 | Trustee(s) Certification and Authorization *(Please sign and date below.)*

Plan Certification
By signing this application, the undersigned certify, acknowledge, and agree that (i) the undersigned is/are trustee(s) of a retirement Plan trust (the "Plan") with a valid Plan document, exempt from taxation pursuant to Section 501(a) of the U.S. Internal Revenue Code (the "Code"); (ii) all investments made by the Plan in the Invesco Funds (each, a "Fund") are being made pursuant to the current Prospectus and Statement of Additional Information of each such Fund, each of which may be amended from time to time without prior notice being given to Plan trustees or the Plan; (iii) as trustee(s), the undersigned will be responsible for all tax reporting, withholding, and Plan administration; and (iv) underlying Plan participants have been provided the Unclaimed Property Notice listed in the Additional Information section.

IRS Certification
I certify, under penalties of perjury, that: (i) the number provided in section 2 of this account application is the current Taxpayer Identification Number for the Plan, and (ii) the Plan is not subject to backup withholding because it is an organization exempt from tax pursuant to Section 501(a) of the Code.

Signature Provisions
The undersigned have read, understand and agree to the foregoing application and material included herein by reference, and affirm that I/we have received and read the fund prospectus(es) and agree to the terms set forth therein. The undersigned certify that the information which I/we have provided and the information included within the application and the material included herein by reference is accurate.

In consideration of IIS acting on instructions and processing transactions as described above, I agree to indemnity and hold harmless Invesco Investment Services, Inc., it's affiliates, each of their respective employees, officers, trustees, or directors, and each of the Invesco Funds from and against any and all claims, losses, liabilities, damages and expenses that may incurred by reason of your actions taken in accordance with the instructions set forth herein.

Plan Trustee(s) Signatures:
All Trustees must sign this authorization. Please attach an additional page if there are additional signers.

Trustee's Signature *(Required)* Title Date (mm/dd/yyyy)

X

Name *(Please print)*

Trustee's Signature *(Required)* Title Date (mm/dd/yyyy)

X

Name *(Please print)*

10 | Mailing Instructions

Please make checks payable to Invesco Investment Services, Inc (IIS). IIS does not accept the following types of payment: Cash, Credit Card Checks, Temporary/Starter Checks, and Third Party Checks.

Please send completed and signed form to:

(Direct Mail)
Invesco Investment Services, Inc.
P.O. Box 219078
Kansas City, MO 64121-9078

(Overnight Mail)
Invesco Investment Services, Inc.
c/o DST Systems, Inc.
430 W. 7th Street
Kansas City, MO 64105-1407

For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.

11 | List of Available Investments

Alternatives	A	C	R	R5	R6	Y
	Share Class					
	Fund No.					
Invesco All Cap Market Neutral Fund	1651	3651	4651	7451	6851	8651
Invesco Alternative Strategies Fund	1662	3662	4662	7462	6862	8662
Invesco Balanced-Risk Allocation Fund	1607	3607	4607	4207	5007	8607
Invesco Balanced-Risk Commodity Strategy Fund	1611	3611	4611	4211	5011	8611
Invesco Floating Rate Fund	1595	3595	4595	4795	5095	8595
Invesco Global Infrastructure Fund	1658	3658	4658	7458	6858	8658
Invesco Global Market Neutral Fund	1650	3650	4650	7450	6850	8650
Invesco Global Markets Strategy Fund	1648	3648	4648	7448	5048	4900
Invesco Global Real Estate Fund	1621	3621	4621	4221	5021	8621
Invesco Global Real Estate Income Fund	1540	3540	–	4740	5040	8540
Invesco Global Targeted Returns Fund	1649	3649	4649	7449	6849	8649
Invesco Long/Short Equity Fund	1652	3652	4652	7452	6852	8652
Invesco Macro Long/Short Fund	1655	3655	4655	7455	6855	8655
Invesco MLP Fund	1657	3657	4657	7457	6857	8657
Invesco Real Estate Fund	1525	3525	4525	4725	5005	8525
Invesco Unconstrained Bond Fund	1663	3663	4663	7463	6863	8663

Balanced	A	C	R	R5	R6	Y
	Share Class					
	Fund No.					
Invesco Equity and Income Fund	1743	3743	6743	7743	5043	8743
Invesco Income Allocation Fund	1606	3606	4606	4206	–	8606
Invesco Multi-Asset Inflation Fund	1660	3660	6660	7460	6860	8660
Invesco Premium Income Fund	1644	3644	4644	4244	5001	8644

Target Maturity	A	C	R	R5	R6	Y
	Share Class					
	Fund No.					
Invesco Balanced-Risk Retirement Now Fund	1625	3625	4625	4225	5025	8625
Invesco Balanced-Risk Retirement 2020 Fund	1628	3628	4628	4228	5028	8628
Invesco Balanced-Risk Retirement 2030 Fund	1630	3630	4630	4230	5030	8630
Invesco Balanced-Risk Retirement 2040 Fund	1632	3632	4632	4232	5032	8632
Invesco Balanced-Risk Retirement 2050 Fund	1634	3634	4634	4234	5034	8634

Target Risk	A	C	R	R5	R6	Y
	Share Class					
	Fund No.					
Invesco Conservative Allocation Fund	1603	3603	4603	4203	–	8603
Invesco Growth Allocation Fund	1602	3602	4602	4202	–	8602
Invesco Moderate Allocation Fund	1601	3601	4601	4201	–	8601

Domestic Equity	A	C	R	R5	R6	Y
	Share Class					
	Fund No.					
Invesco American Franchise Fund	1733	3733	6733	7733	5033	8733
Invesco American Value Fund	1734	3734	6734	7734	5035	8734
Invesco Charter Fund	1510	3510	4510	4710	5010	8510
Invesco Comstock Fund	1737	3737	6737	7737	5037	8737
Invesco Diversified Dividend Fund	1586	3586	4586	4786	5086	8586
Invesco Dividend Income Fund	1058	3058	–	4258	5058	8058
Invesco Endeavor Fund	1598	3598	4598	4798	5098	8598
Invesco Equally-Weighted S&P 500 Fund	1706	3706	6706	–	5006	8706
Invesco Growth and Income Fund	1752	3752	6752	7752	5051	8752
Invesco Low Volatility Equity Yield Fund	1556	3556	4556	4756	–	8556
Invesco Mid Cap Core Equity Fund	1546	3546	4546	4746	5046	8546
Invesco Mid Cap Growth Fund	1763	3763	6763	7763	5063	8763
Invesco S&P 500 Index Fund	1722	3722	–	–	–	8722
Invesco Small Cap Equity Fund	1532	3532	4532	4732	5002	8532
Invesco Small Cap Discovery Fund	1769	3769	–	7769	5069	8769
Invesco Summit Fund	1591	3591	–	4791	–	8591
Invesco Value Opportunities Fund	1776	3776	6776	7776	–	8776

Sector Equity	A	C	R	R5	R6	Y
	Share Class					
	Fund No.					
Invesco Energy Fund	1050	3050	–	4250	–	8050
Invesco Global Health Care Fund	1551	3551	–	–	–	8551
Invesco Gold & Precious Metals Fund	1051	3051	–	–	–	8051
Invesco Technology Fund	1055	3055	–	4255	–	8055

International & Global Equity	A	C	R	R5	R6	Y
	Share Class					
	Fund No.					
Invesco Asia Pacific Growth Fund	1531	3531	–	–	–	8531
Invesco Emerging Markets Equity Fund	1627	3627	4627	4227	5027	8627
Invesco European Growth Fund	1530	3530	4530	–	–	8530
Invesco European Small Company Fund	1527	3527	–	–	–	8527
Invesco Global Core Equity Fund	1513	3513	4513	4713	–	8513
Invesco Global Growth Fund	1582	3582	–	4782	5082	8582
Invesco Global Low Volatility Equity Yield Fund	1584	3584	4584	4784	–	8584
Invesco Global Opportunities Fund	1645	3645	4645	4245	5045	8645
Invesco Global Small & Mid Cap Growth Fund	1581	3581	–	4781	–	8581
Invesco Greater China Fund[1]	1554	3554	–	4754	–	8554
Invesco International Allocation Fund	1605	3605	4605	4205	–	8605
Invesco International Core Equity Fund	1009	3009	4609	4209	5009	8009
Invesco International Growth Fund	1516	3516	4516	4716	5016	8516
Invesco Low Volatility Emerging Markets Fund	1653	3653	4653	7453	6853	8653
Invesco Macro International Equity Fund	1654	3654	4654	7454	6854	8654
Invesco Pacific Growth Fund	1720	3720	6720	7720	–	8720
Invesco Select Opportunities Fund	1646	3646	4646	4246	5047	8646

Fixed Income	A	C	R	R5	R6	Y
	Share Class					
	Fund No.					
Invesco Cash Reserve Shares[2]	1521	–	–	–	–	–
Invesco Convertible Securities Fund	1704	3704	–	6704	5004	8704
Invesco Core Plus Bond Fund	1541	3541	4541	4741	5041	8541
Invesco Corporate Bond Fund	1740	3740	6740	7740	5039	8740
Invesco Emerging Market Local Currency Debt Fund	1544	3544	4544	4744	5044	8544
Invesco High Yield Fund	1575	3575	–	4775	5075	8575
Invesco International Total Return Fund	1552	3552	–	4752	5052	8552
Invesco Limited Maturity Treasury Fund	4923	–	–	4723	–	8523
Invesco Money Market Fund	–	3521	4521	–	–	8521
Invesco Short Term Bond Fund	1524	3524	4524	4724	5024	8524
Invesco Strategic Income Fund	1661	3661	4661	7461	6861	8661
Invesco Strategic Real Return Fund	1659	3659	4659	7459	6859	8659
Invesco U.S. Government Fund	1560	3560	4560	4760	–	8560
Invesco U.S. Mortgage Fund	1774	3774	–	7774	–	8774

1 On June 15, 2015, Invesco China Fund was renamed Invesco Greater China Fund. The investment strategy, investment process, non-fundamental restriction and benchmarks changed accordingly. See the prospectus for more information.
2 Special class of Invesco Money Market Fund.

Visit our website at invesco.com/us to:

- Check your account balance
- Confirm transaction history
- View account statements and tax forms
- Sign up for eDelivery of statements, daily transaction statements, tax forms, prospectuses, and reports
- Check the current fund price, yield and total return on any fund
- Process transactions
- Retrieve account forms and investor education materials

Call the 24-Hour Automated Investor Line 800 246 5463 to:

- Obtain fund prices
- Confirm your last three transactions
- Order a recent account statement(s)
- Check your account balance
- Process transactions

To use the system, please have your account numbers and Social Security number available.

Additional Information

eDelivery Consent
Sign up to receive notice by email that shareholder and fund information is available online. By providing an email address you consent to receiving electronic documents and notices rather than receiving paper documents by US mail. Electronic documents and other communications may be delivered by email or an email message containing a link to an internet address or website where the document is posted and from which it can be read or printed. Documents delivered electronically include, but are not limited to, summary prospectuses, prospectus supplements, annual and semi-annual shareholder reports, proxy materials, account statements, transaction confirmations, privacy notices, and other notices and documentation in electronic format when available. By providing your email address, you also consent to receive any additional documents capable of electronic delivery in the future.

To receive email alerts, your computer must be capable of reading PDF files. If you have an application installed that enables you to view PDF documents, you may proceed with eDelivery. If you do not, download Adobe® Reader®. You should also refer to Adobe® Reader® for system requirements necessary to access these documents. If you are unable to download Adobe® Reader® or view PDF documents, do not sign up for eDelivery.

Important Information Regarding Electronic Delivery
You, or if you act on behalf of an entity, the Trustees/Authorized Signers confirm that the authorized persons have internet access, access to Adobe® Reader® and an active email account to receive information electronically.

While Invesco does not charge you for electronic delivery, your internet provider may charge you for internet access. Also, please be aware that your internet service provider may occasionally experience system failures in which case hyperlinks to documents may not function properly.

If any electronic message is returned to us, we will resume sending you documents by US mail and request that you send us an updated email address.

If you use spam-blocking software, please update your settings to receive email from us.

Once you consent to receipt of documents by electronic delivery, you will need to notify us in writing or modify your preferences in your online profile of any intent to revoke your consent to receive documents by electronic delivery.

This consent will remain in effect until revoked. The authorized persons may revoke this consent and/or request paper copies of documents delivered electronically at no additional charge. Please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time if you wish to revoke your consent or otherwise wish to receive a paper copy of any documents referenced in this consent.

Depending on when you request eDelivery of statements, you may receive your next statement via US mail. You will receive email notification for all subsequent statements. If other shareholders in your household do not sign up for eDelivery, you may continue to receive these materials via US mail. You may update your email address, change your eDelivery selections, or cancel this service at any time by visiting our website or calling IIS.

Unclaimed Property Notice
Please note that your property may be transferred to the appropriate state's unclaimed property administrator if no activity occurs in the account within the time period specified by state law.

Invesco

Rev. March 13, 2014

FACTS WHAT DOES INVESCO DO WITH YOUR PERSONAL INFORMATION? *

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Transaction history and investment experience
- Investment experience and assets

When you are *no longer* our customer, we continue to share information about you according to our policies.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Invesco chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Invesco share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We do not share
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes— information about your transactions and experiences	No	We do not share
For our affiliates' everyday business purposes— information about your credit worthiness	No	We do not share
For our affiliates to market to you	No	We do not share
For non-affiliates to market to you	No	We do not share

Questions? Call 1-800-959-4246 (toll free).

* This privacy notice applies to individuals who obtain or have obtained a financial product or service from the Invesco family of companies. For a complete list of Invesco entities, please see the section titled "Who is providing this notice" on page 2.

Who we are	
Who is providing this notice?	Invesco Advisers, Inc., Invesco Private Capital, Inc., Invesco Senior Secured Management, Inc., WL Ross & Co. LLC, Invesco Distributors, Inc. and the Invesco family of mutual funds.

What we do	
How does Invesco protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Invesco collect my personal information?	We collect your personal information, for example, when you ▪ Open an account or give us your contact information ▪ Make deposits or withdrawals from your account or give us your income information ▪ Make a wire transfer We also collect your personal information from others, such as credit bureaus, affiliates or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only ▪ Sharing for affiliates' everyday business purposes—information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for nonaffiliates to market to you

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. *Invesco does not share with our affiliates so that they can market to you.*
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. *Invesco does not share with non-affiliates so that they can market to you.*
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. *Invesco doesn't jointly market.*

Invesco

U.S. Department of Labor
Rule 408b-2 Service Provider Disclosure From Invesco

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U.S. Department of Labor Rule 408b-2 Service Provider Disclosure From Invesco

I. Introduction

Under 29 C.F.R. §2550.408b-2 ("Rule 408b-2" or "Service Provider Disclosure Rule"), the Department of Labor generally requires covered service providers, as defined under Rule 408b-2, to describe the services they provide and disclose the direct and indirect compensation they receive for providing services to ERISA plans. Rule 408b-2 is intended to assist ERISA plan fiduciaries in determining whether covered service providers to ERISA plans are charging reasonable compensation for the services provided.

The affiliated service providers to the Invesco Funds are generally only considered covered service providers to a discrete set of ERISA plans investing in the Invesco Funds. Invesco Investment Services, Inc. ("IIS") is a covered service provider to those ERISA plans with which it has a relationship described below. IIS is not a covered service provider to those ERISA plans investing in the Invesco Funds at the ERISA plan level through an omnibus account ("Omnibus Plans").

Invesco Distributors, Inc. ("IDI") is a covered service provider to ERISA plans, whether or not they are Omnibus Plans, where IDI is the default broker/dealer of record. If an ERISA plan's or participant's quarterly account statement reflects "No Financial Advisor Chosen", then IDI is a covered service provider to the plan.

II. Description of Services Provided

Invesco Investment Services, Inc. (IIS)

Services to Prototype Plans
IIS is a covered service provider to those ERISA plans using Invesco-sponsored prototype plan documents (Money Purchase Plans, Profit Sharing Plans or Solo 401(k) Plans ("Prototype Plans")).

IIS provides services to Prototype Plans that include:

- Maintaining plan documents
- Providing loan processing and maintenance for Solo 401(k)
- Providing initial and ongoing materials to enable ERISA plan administrators to comply with ERISA Section 408(b)(2)
- Processing plan distributions as instructed by the employer/plan administrator
- Remitting and reporting federal income tax withholding related to plan distributions
- Providing services that the DOL considers to be recordkeeping services in the form of the transfer agent services it provides to participants in these Prototype Plans, which are the same transfer agent services described below and provided by IIS to all Invesco Fund shareholders.

Services to Non-Prototype Plans
IIS is also a covered service provider to those ERISA plans that do not use an Invesco-sponsored prototype plan document, but maintain participant-level accounts on IIS's mutual fund transfer agent system ("Non-Prototype Plans"). For these Non-Prototype Plans, the DOL considers IIS to be providing recordkeeping services in the form of the transfer agent services it provides to participants in these Non-Prototype Plans, which are the same transfer agent services described below and provided by IIS to all Invesco Fund shareholders.

In addition to the general services listed below that are provided by IIS to all Invesco Funds shareholders, Non-Prototype Plans receive these services from IIS:

- Providing initial and ongoing materials to enable ERISA plan administrators to comply with ERISA Section 408(b)(2)

Services to All Invesco Funds Investors, including ERISA Plan Participants
IIS provides the following services to all shareholders of the Invesco Funds, regardless of whether the shareholder is an ERISA plan or plan participant, and regardless of whether IIS is a covered service provider to such ERISA plan:

- Processing purchases, redemptions and transfers of shares for Invesco Fund shareholders
- Maintaining shareholder accounts and tracking account balances for those accounts
- Staffing a Client Services call center to provide information and account services to shareholders and their financial professionals
- Maintaining account applications and administrative forms so shareholders can open and maintain accounts and request financial transactions
- Providing shareholder account statements on a quarterly basis
- Providing and maintaining a web-based resource where shareholders and their financial professionals can access account information and request transactions
- Reporting information to the Internal Revenue Service for Prototype Plan and retail Invesco Fund shareholders
- Conducting compliance functions for the Invesco Funds and their advisor, Invesco Advisers, Inc., like screening shareholders against federal lists of terrorists and drug dealers

Invesco Distributors, Inc. (IDI)

IDI is the affiliated distributor of the Invesco Funds and provides shareholder services and distributor services that support the sale of shares of Invesco Funds on a continuous basis directly and through broker-dealers and financial intermediaries with whom IDI has entered into distribution agreements.

Services to Plans Where IDI Is Default Broker of Record
In its capacity as a covered service provider to Prototype Plans, Non-Prototype Plans, and Omnibus Plans, IDI provides ongoing shareholder services and other distribution related services to the ERISA plans for which it is the default broker of record.

III. Status of Covered Service Providers

The Department of Labor requires covered service providers to disclose whether they are providing services as a fiduciary or registered investment advisor. IIS and IDI are not serving ERISA plans as a fiduciary to the plan or as a registered investment advisor.

IV. Compensation Received

Invesco Investment Services, Inc. (IIS)

Direct Compensation: The Department of Labor defines direct compensation as any compensation that is received directly from ERISA plans (such as fees deducted from participants' accounts). IIS receives the following types of direct compensation.

Type of Fee	Amount of Fee	Plan Type	How Received by IIS
Annual Maintenance Fee	$15 per participant per year, for accounts with a total balance less than $50,000. No fee will be charged on accounts with a balance of $50,000 or greater on the day the fee is assessed.	Prototype Plans using Invesco's Distribution and Federal Income Tax Withholding and Reporting Services Agreement	Deducted annually from participant account by redeeming shares; reported on next participant account statement
Loan Fee Setup	$50	Solo 401(k) Prototype Plans	Deducted from participant account by redeeming shares; reported on next participant account statement
Loan Fee Maintenance	$25 per year for each year the loan is outstanding	Solo 401(k) Prototype Plans	Deducted annually from participant account by redeeming shares; reported on next participant account statement
Overnight Fee	$20 per requested overnight delivery.	Any plan type	Deducted from participant account by redeeming shares; reported on next participant account statement

The Annual Maintenance Fee compensates IIS for:
- updating prototype plan documents to keep them in compliance with changing tax laws and Department of Labor ERISA regulations,
- providing administrative and reporting services involved with processing participant distributions, and
- remitting any applicable plan or plan participant federal income tax withholding

The Loan Set Up Fee compensates IIS for processing the documentation associated with an initial loan withdrawal.

The Loan Maintenance Fee compensates IIS for:
- maintaining the loan by accepting repayments,
- tracking the outstanding loan balance,
- reporting the loan status to the participant, and
- calculating and deducting any loan balances from the distribution proceeds if the participant takes a distribution while a loan is outstanding.

The Overnight Fee compensates IIS for the cost of sending an expedited distribution check to a plan participant via overnight delivery.

Indirect Compensation: The Department of Labor defines indirect compensation as any compensation that is not received directly from ERISA plans (such as fees deducted from participants' accounts) or from the employers maintaining the plans.

IIS receives indirect compensation in the form of transfer agent fees, paid by the Invesco Funds in which ERISA plan participants are invested.

This transfer agent fee compensates IIS for the services provided to all Invesco Fund shareholders, not just ERISA plan participants, and the fee structure is the same for all Invesco Fund shareholders.

IIS' transfer agent fees consist of both a per shareholder fund account fee ("per account fee") of approximately $28 per shareholder fund account from each Invesco Fund, and an asset-based fee of 0.02% (two basis points) of the net asset value of each Invesco Fund (the "asset-based fee"), which is assessed against all retail share classes of each Invesco Fund. These transfer agency fees are part of each Invesco Fund's total expense ratio, which is used in calculating the net asset value of each share class of each Invesco Fund but they are not charged directly to shareholders.

How IIS Receives Indirect Compensation
IIS receives the transfer agent fees from the Invesco Funds monthly in arrears. The fee schedule has been approved by the Invesco Funds Board. The expense is disclosed in the annual report for each Invesco Fund.

Invesco Distributors, Inc. (IDI)

Direct Compensation: IDI receives direct compensation from ERISA plans when IDI is the default broker of record and the plan or one of its participants invests or redeems shares that have front-end sales loads or contingent deferred sales charges. IDI receives this compensation until the plan or participant notifies IDI of the selection of a new financial professional to serve as broker of record.

Front-End Sales Loads - In cases where IDI is the default broker of record on an account invested in a share class of an Invesco Fund that charges its investors front-end sales loads (typically Class A shares), IDI receives the commission that would otherwise be paid to an unaffiliated broker of record. The amount of these front-end sales loads varies, but a typical charge would be 5.50%. Because the load is paid upfront, it reduces the number of shares purchased. IDI retains the entire 5.50% when it acts as default broker of record to an ERISA plan.

Complete information regarding sales loads appears in the Invesco Funds' prospectuses. The sales load information is also presented in a table, along with other information about the Invesco Funds, at invesco.com/us/dolregs.

Contingent Deferred Sales Charges (CDSC) – CDSC is a back-end sales charge paid by an investor when he or she sells shares. Generally speaking, Class B shares have a descending schedule of fees over a period of years. The range of CDSC's on Class B shares descends from a high of 5% to 0.5% over the course of five to seven years after purchase. Class C shares charge 1% for redemptions within one year after purchase. CDSC's may also apply to Class A shares purchased on a load-waived basis for as much as eighteen months following purchase. More detailed information concerning CDSC's is available in the Invesco Funds' Statements of Additional Information. Where IDI is the broker of record on an account invested in a share class that charges its investors back-end sales charges, IDI receives the sales charge.

How IDI Receives Direct Compensation
Front and back-end sales loads are paid by plan participants on eligible trades and IIS remits payment for sales loads to IDI on a weekly basis.

Indirect Compensation: IDI receives indirect compensation where IDI is the broker of record and the ERISA plan accounts are invested in Invesco Fund share classes with 12b-1 plans as described below.

The Invesco Funds pay for distribution and shareholder servicing under either a compensation styled plan or a reimbursement styled plan, each of which is authorized and compliant with Rule 12b-1 under the Investment Company Act of 1940, as amended. Funds that pay for distribution and shareholder servicing under a compensation plan compensate IDI for a set level of distribution related services at a rate agreed to between the Fund and IDI under the terms of each Fund's applicable 12b-1 plan. Funds that pay for distribution and shareholder servicing under a reimbursement plan set a cap on the amounts they will reimburse IDI for distribution and shareholder servicing. Fees authorized pursuant to these plans are called 12b-1 Fees. 12b-1 Fees are a fund expense incorporated into the Fund's expense ratio and generally range from 0 to 100 basis points (or 1%) depending upon the applicable share class. For instance, most Class A and Investor Class 12b-1 fees range from 15 to 25 basis points; most Class B and C 12b-1 fees range from 25 to 100 basis points; Class R 12b-1 fees are 50 basis points; and Class Y has no 12b-1 fee. For a table listing all of Invesco Funds' 12b-1 fees by fund share class, please visit Invesco's website at invesco.com/us/dolregs.

Except with respect to investments in the Class A shares of the following Invesco Funds, Invesco California Tax-Free Income Fund, Invesco Convertible Securities Fund, Invesco Equally-Weighted S&P 500 Fund, Invesco High Yield Securities Fund, Invesco Pacific Growth Fund, Invesco S&P 500 Index Fund, Invesco Technology Sector Fund, and Invesco U.S. Mid Cap Value Fund, IDI retains the 12b-1 fee in those cases where it serves as the broker of record for an account.

How IDI Receives Indirect Compensation
IDI receives the indirect compensation described above from the Invesco Funds. 12b-1 fees are paid to IDI monthly by the Funds, based on an annual rate.

V. Compensation for Termination of Services

IIS does not receive compensation if an ERISA plan terminates its arrangement with IIS for the provision of services or otherwise redeems its account in an Invesco Fund. IDI generally will not receive compensation if an ERISA plan terminates its arrangement with IDI for the provision of services; however, if the ERISA plan redeems its account and the shares in the account are subject to a CDSC, IDI will retain the CDSC.

VI. Recordkeeping Services

The Department of Labor's definition of an ERISA plan recordkeeper in Rule 408b-2 is very broad. Among the services IIS provides to ERISA plans, the following services are considered by the Department of Labor to be "recordkeeping services":

- Depositing plan contributions for plan participants as share purchases
- Processing plan and participant distributions, by liquidating shares and sending out checks or wire transfers at the direction of the plan trustee
- Processing/maintaining participant loans (for Prototype Plan Solo 401(k) plans only)
- Maintaining participant accounts/balances, including processing purchase and sale transactions for participants who wish to reallocate their plan account balances
- Issuing quarterly participant account statements

As a mutual fund transfer agent, IIS provides these services (aside from the loan processing) for all investors in the Invesco Funds, and receives the same transfer agent fee from the Invesco Funds for all investors. IIS will refer to these services as the "listed services", rather than as recordkeeping services. IIS does not provide the listed services as an ERISA plan record-keeper and does not want to create confusion concerning its role.

For Omnibus Plans, where the ERISA plan maintains only a single, plan level account with Invesco, however, IIS is not providing the listed services to the ERISA plan or its participants.

Because IIS does not charge an explicit fee for the listed services, the Department of Labor requires IIS to provide a reasonable and good faith estimate of the cost of these services, including an explanation of the methodology and assumptions related to the estimate. This estimate must take into account the rates that IIS would charge, or be paid by, third parties for similar services provided to a similar plan with a similar number of participants.

IIS does not charge anyone for recordkeeping services; IIS does not pay any third parties to provide such services. These facts prevent IIS from creating an estimate of costs that would take into account rates that IIS would charge, or rates that it would pay, for similar services. IIS therefore estimates that the cost of the listed services incurred by ERISA plans is best represented by applying the total amount of the transfer agent fees that it receives from the Invesco Funds related to the ERISA plans and participants investing in them, as described in the "Compensation" section above.

While the Department of Labor would like IIS to compare this cost per participant to a prevailing market rate, such market information does not exist.

VII. Investment Option Information Related to Rule 404a-5

1. **Compensation charged directly against investments (sales loads, contingent deferred sales charges)**
   Information regarding sales loads and/or surrender charges, if any, charged to the shareholders by the Invesco Funds will be provided for each Fund in a table format, online at invesco.com/us/dolregs. The information is also available to you in the Funds' prospectuses at invesco.com/us.

2. **Annual Operating Expenses and Additional Ongoing Expenses**
   Annual operating expense information for each Fund will be provided in a table format available online at invesco.com/us/dolregs. This information is also available to you in the Funds' prospectuses at invesco.com/us. For information on any ongoing expenses related to your plan's investment options but outside the Funds' reported expenses, such as fees paid by the plan to accountants, lawyers, consultants or other service providers, please consult with your other service providers.

3. **Additional investment option information the plan sponsor requires to comply with Rule 404a-5**
   Additional investment option information for Invesco Funds that the plan sponsor may require to comply with its obligation to provide disclosure to plan participants will be provided at invesco.com/us/dolregs.

PLEASE NOTE THAT THIS PACKAGE OF INFORMATION IS NOT AND SHALL NOT BE DEEMED TO CONSTITUTE LEGAL ADVICE TO PLAN SPONSORS AND PLAN ADMINISTRATORS REGARDING COMPLIANCE WITH FEDERAL REPORTING REQUIREMENTS. THIS PACKAGE OF INFORMATION IS NOT INTENDED TO CONSTITUTE AN OFFER TO SELL SECURITIES OR PROVIDE ANY DISCLOSURES REQUIRED BY SECURITIES LAWS. IT DOES NOT CONSTITUTE MUTUAL FUND ADVERTISING. PLEASE CONSULT YOUR OWN ADVISORS CONCERNING YOUR REPORTING OBLIGATIONS UNDER DEPARTMENT OF LABOR REGULATIONS.

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