



# IRA One-Time Distribution Form

Use this form to request a one-time distribution from your Invesco IRA. We recommend that you speak with a tax or financial advisor regarding the consequences of this transaction.

**Do not use this form to:**

- Request a required minimum distribution from an Invesco IRA. Please submit the IRA Required Minimum Distribution Form.
- Request a transfer of an Invesco IRA to a beneficiary account due to death of the depositor. Please submit the IRA Death Distribution Form.
- Request a distribution from an Invesco IRA that has already been transferred to a beneficiary account. Please submit the IRA Death Distribution Form.

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

## 1 | IRA Information

IRA Type (Select one.)

- Traditional
  Roth
  SEP
  SARSEP
  SIMPLE

Invesco Account Number or Plan ID

## 2 | Depositor Information

Social Security Number (Required)

Date of Birth (mm/dd/yyyy)

Account Registration (Please print name as it appears on account.)

Primary Phone Number

Email Address

## 3 | Distribution/Transfer Instructions (Complete sections A, B, and C.)

### A. Amount of Distribution/Transfer: (Select one.)

- Distribute the entire account.
- I would like to receive the following dollar amount from the account (net): \$    ,    .   .  
 I authorize and direct Invesco Investment Services, Inc. (IIS) to redeem additional fund shares in amounts necessary to pay any applicable contingent deferred sales charges and federal income tax withholding.
- Distribute the following dollar amount from the account (gross): \$    ,    .   . I understand that the amount of the distribution that I receive will be reduced by any applicable contingent deferred sales charges and federal income tax withholding.
- Transfer and immediately distribute the following dollar amount \$    ,    .   to the recipient of transfer due to divorce identified in section 5.
- Transfer the following dollar amount \$    ,    .   to an Invesco IRA in the name of the recipient of transfer due to divorce identified in section 5.



**B. Allocation of Distribution:** (Select one. IIS will default to proportionate, unless specified below.)

- Proportionate** - Shares will be redeemed from each fund proportionate to that fund's value with respect to the total value of your account.
- Distribution from Specific Fund(s)** - Please indicate the fund(s) and redemption amounts below.

Fund Number	Fund Name	Percentage	Amount
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> or \$	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"><input type="text"/></input>
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> or \$	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"><input type="text"/></input>
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> or \$	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"><input type="text"/></input>
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> or \$	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"><input type="text"/></input>

**C. Type of Distribution:** (Select one. IIS will default to Normal or Early based on your age, unless specified below.)

Refer to the Additional Information section for important details regarding your distribution.

- Normal** - Depositor has reached age 59½. (Complete sections 4, 6, 7.)
  - Early** - Depositor is taking an early distribution for reasons other than those described below. (Complete sections 4, 6, 7.)
  - Disability** - Depositor has become disabled. (Complete sections 4, 6, 7.)
  - Transfer due to divorce** - Depositor has agreed or been ordered to transfer assets from the account to a former spouse pursuant to a divorce decree or property settlement agreement incident to divorce. (Complete sections 4-7.)
- Note:**
- The depositor's signature is required in section 7, and his or her signature must be guaranteed.
  - Section 4 is only applicable if the recipient is taking an immediate distribution.
- Transfer of Assets** - Depositor is transferring assets to a new IRA custodian. (Complete sections 6 and 7.)
  - Direct Rollover** - Depositor is rolling over assets into an eligible retirement plan. (Complete sections 6 and 7.)

**4 | Federal Income Tax Withholding Election** (Select one.)

The distributions you receive from your IRA are subject to 10% federal income tax withholding unless you provide an alternative election below. If no election is made, or your only address of record is a P.O. Box or non-U.S. address, IIS is required to withhold at the rate of 10%.

For Roth IRAs only, federal income tax withholding does not apply, unless specified below.

- I do not want any federal income tax withheld from my distribution.
- I want federal income tax withheld at the rate of %. (Must be 10% or greater.)

Please note that the withholding rate designation made above supersedes any previous designations. If you elect not to have withholding applied to your distributions, or if you do not have enough federal income tax withheld from your distributions, you may be responsible for payment of estimated tax. You may incur penalties under the estimated tax rules if your withholding and estimated tax payments are not sufficient.

**5 | Transfer Due to Divorce Information**

**Recipient of transfer due to divorce information:**

Recipient's Full Name

Social Security Number (Required)

Date of Birth (mm/dd/yyyy)

Primary Phone Number

Alternate Phone Number

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

Recipient's Residential Address

City

State

ZIP

**Important:**

- Recipient's signature is required in section 7 if taking an immediate distribution.
- If transferring to an Invesco IRA in the name of the recipient, please complete and attach an appropriate Invesco account application.
- If transferring to an already established Invesco account, please provide the account number in section 6.

**6 | Payment Options** (Refer to section 7 to determine if a signature guarantee is required.)

**Note:** Your distribution will be mailed to the address of record, unless specified below. Checks will not be forwarded. Select only one payment option (option A, B, or C).

**A. By Check:**

- Mail check to the depositor's address of record.
- Mail check to new IRA custodian or plan trustee as a transfer or rollover per the attached letter of acceptance. (Signature guarantee not required.)
- Mail check to third party address. (Signature guarantee required.)

Make check payable to:

Mailing Address (Including apartment or P.O. Box number.)

City

State

ZIP

**B. To Bank:** (If banking information is provided below and a single delivery option is not selected, proceeds will be sent via ACH.)

- Wire proceeds to my bank account.
- ACH transfer to my bank account. (Allow 2-3 business days to receive your proceeds.)

Please provide bank instructions below. In doing so, shareholders with eligible accounts are allowed to make investments into their fund by calling an Invesco Client Services representative. Upon request, IIS can arrange for a specified dollar amount you select to be deducted from your bank account via ACH and used to purchase shares of a specified fund. These bank instructions will also be used for systematic purchase or systematic redemption, as applicable.

**Note:** Unless instructed otherwise, IIS will replace your current systematic bank information with the new bank information provided below. A signature guarantee for the shareholder and the signature of the bank account owner(s) are required in section 7 if the account registrations are not the same.

**Important:** Temporary or starter checks are not acceptable. If a voided company or corporate check is provided, then a letter from that financial institution verifying the authorized signers must be included.

Account Type:  Checking  Savings

Name

\_\_\_\_\_

\_\_\_\_\_

Pay to the order of \_\_\_\_\_ \$

\_\_\_\_\_

**Please tape your voided check here.**

Routing Number

Account Number

**C. Deposit into an Invesco Account:**

- Deposit the distribution proceeds into a new Invesco account. *(Please complete and attach the appropriate Invesco application.)*
- Deposit the proceeds into existing Invesco account in kind. *(Fund selections will remain the same.)*

Account Number:

- Deposit the proceeds into existing Invesco account in the following funds. *(Exchanges must be for shares of the same share class.)*

Fund Number	Account Number	Percentage	or \$	Amount
<input style="width: 60px; height: 20px;" type="text"/>	<input style="width: 300px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>	or \$	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> , <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> . <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>
<input style="width: 60px; height: 20px;" type="text"/>	<input style="width: 300px; height: 20px;" type="text"/>	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>	or \$	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> , <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> . <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>

**7 | Authorization and Signature(s)** *(Please sign and date below.)*

**Signature of Depositor**

By signing this form, (i) I authorize and direct IIS to take actions as specified above, **and (ii) I agree to indemnify and hold harmless IIS, its affiliates, each of their respective employees, officers, trustees, or directors, and each of the Invesco Funds from and against any and all claims, losses, liabilities, damages and expenses that may be incurred by reason of your actions taken in accordance with the instructions set forth herein.**

Signature *(Required)*

Date (mm/dd/yyyy)

**X**

**A signature guarantee is required under the following circumstances:**

- Adding bank instructions when the Invesco account owner is not listed in the bank account registration.
- Redemption proceeds will exceed \$250,000 per fund.
- Redemption proceeds to be paid to someone other than the account owner.
- Redemption proceeds to be sent somewhere other than the address of record or bank of record on the account.
- Proceeds of an unscheduled redemption will be sent to an address or bank account that has been on your Invesco account for less than 15 days.

**Signature Guarantee:** *(Please place signature guarantee stamp below.)* Each signature must be guaranteed by a bank, broker-dealer, savings and loan association, credit union, national securities exchange or any other "eligible guarantor institution" as defined in rules adopted by the Securities and Exchange Commission. Signatures may also be guaranteed with a medallion stamp of the STAMP program or the NYSE Medallion Signature Program, provided that the amount of the transaction does not exceed the relevant surety coverage of the medallion. **A signature guarantee may NOT be obtained through a notary public.**

**Note:** Endorsement guarantee is not acceptable.

**Signature of Bank Account Owner(s) if different from Invesco Account Registration**

*(Required only if adding bank instructions for future ACH transactions.)*

By signing this form and providing banking instructions, I understand and acknowledge that:

- IIS may accept telephone or written instructions to remit redemption proceeds, and IIS may pay and charge my account for ACH drafts paid to the Invesco account.
- This authorization will remain in full force and effect, and IIS may continue to honor instructions to draft this bank account until written notice is provided revoking this authority.

Signature of Bank Account Owner

Date (mm/dd/yyyy)

**X**

Signature of Bank Account Owner

Date (mm/dd/yyyy)

**X**

**Signature of Recipient of Transfer Due to Divorce** (Required if the recipient is taking an immediate distribution.)

**REQUEST FOR TAXPAYER IDENTIFICATION NUMBER** (Substitute Form W-9)

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), **and**
2. I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding, **and**
3. I am a U.S. person (including a U.S. resident alien), **and**
4. I am exempt from FATCA reporting.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN.

I certify that the information given is true and correct, and I authorize and direct the custodian to distribute/transfer my portion of the assets according to the instructions provided on this form.

Signature (If applicable)

Date (mm/dd/yyyy)

**X**

**8 | Mailing Instructions**

Please send completed and signed form to:

**(Direct Mail)**

Invesco Investment Services, Inc.  
P.O. Box 219078  
Kansas City, MO 64121-9078

**(Overnight Mail)**

Invesco Investment Services, Inc.  
c/o DST Systems, Inc.  
430 W. 7th Street  
Kansas City, MO 64105-1407

**For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.**

**Visit our website at [invesco.com/us](http://invesco.com/us) to:**

- Check your account balance
- Confirm transaction history
- View account statements and tax forms
- Sign up for eDelivery of statements, daily transaction statements, tax forms, prospectuses, and reports
- Check the current fund price, yield and total return on any fund
- Process transactions
- Retrieve account forms and investor education materials

**Call the 24-Hour Automated Investor Line 800 246 5463 to:**

- Obtain fund prices
- Confirm your last three transactions
- Order a recent account statement(s)
- Check your account balance
- Process transactions

To use the system, please have your account numbers and Social Security number available.

**Additional Information**

**Early Distribution:** If you are taking an early distribution, the taxable amount of the distribution may be subject to federal income taxation as ordinary income and may be subject to an additional 10% penalty tax imposed by the IRS. In the case of a SIMPLE IRA, a 25% penalty tax may be imposed if two years have not elapsed since the date of initial funding. For more information regarding exceptions to the penalty tax, see IRS Publication 590-B or call an Invesco Client Services representative.

**Disability Distribution:** Disability is defined in the Internal Revenue Code 72(m)(7) as being unable to engage in any substantial gainful activity by reason of a medically determinable physical or mental impairment which can be expected to result in death or to be of long-continued and indefinite duration. By signing this Distribution Form, you certify that you meet the requirements for a disability distribution.

**Transfer of Assets:** If you are requesting a transfer of assets, a letter of acceptance from the new custodian is required to report the distribution as a transfer of assets.

**Direct Rollover:** If you are requesting a direct rollover, a letter of acceptance from the new custodian or a signature guarantee is required. Assets payable to you and deposited to the new custodian within 60 days are reported as a premature or normal distribution.

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