Please remember to sign form after printing.

Print Clear Form

Invesco

Invesco Solo 401(k)® Enrollment Form

Use this form to add a participant to an existing Invesco Solo 401(k) Plan.

- **This Plan is designed for businesses whose only employees are the owner and the owner's spouse.**
- This form must be signed by the trustee(s) in section 6.

IMPORTANT INFORMATION ABOUT OPENING A NEW ACCOUNT: Federal law mandates that all financial institutions obtain, verify and record information identifying each person who opens a new account. Please verify the following information is accurate: name, Social Security number, date of birth and physical residential address. If you fail to provide the requested information and/or if any of the information cannot be confirmed, Invesco Investment Services Inc. (IIS), reserves the right to redeem the account. All information provided is kept confidential as detailed in the Invesco Privacy Policy, which is located at the end of this form.

PLEASE USE BLUE OR BLACK INK **PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS**

1 | Plan Information

Start Here > Use "Tab" key to move to next field.

Plan Name

Invesco Plan ID

Trustee's Full Name

Primary Phone Number

2 | Participant Information

Full Name

Social Security Number *(Required)*

Date of Birth *(Required)* (mm/dd/yyyy)

Mailing Address *(Account statements and confirmations will be mailed to this address.)*

City

State

ZIP

Residential Address *(Required if different than your mailing address or if a P.O. Box address was given above)*

Primary Phone Number

Email Address

City

State

ZIP

eDelivery

Receive statements, confirmations, account correspondence, shareholder reports, news and updates, and tax forms online instead of by U.S. mail.

By providing my email address above, I consent to eDelivery unless indicated here.

☐ I do not want eDelivery.

If consenting to eDelivery, please indicate items you would like to receive online *(IIS will default to ALL if no selections are made):*

☐ Quarterly and annual statements
☐ Transaction confirmations and account correspondence
☐ Prospectuses, annual and semi-annual reports
☐ News and updates
☐ Tax forms

Important Note: You will receive an email from IIS asking you to confirm and complete your enrollment for eDelivery of tax forms. eDelivery of tax forms will not commence until you respond to the email. For more information on eDelivery consent, please see the Additional Information section at the end of the form.

3 | Investment Elections *(Please refer to the List of Available Investments in section 8.)*

All current and future contributions will be invested as indicated below. Contribution checks should be made payable to IIS. **Please indicate fund(s) and investment percentages, rounded to whole percentages.**

If no fund(s) is selected below, I am directing IIS to purchase Cash Reserve Shares of Invesco Government Money Market Fund.

Fund Number	Fund Name	Percentage
		%
		%
		%
		%
		%
		%
		%
	Total percentage must equal 100% **TOTAL**	100 %

4 | Reduced Sales Charge For Participant's Account *(Not applicable for all funds. See your prospectus for more information.)*

I direct IIS to aggregate my Solo 401(k) account with the plan identified in section 1 for Rights of Accumulation and Letter of Intent, unless I have listed other eligible Invesco account(s) below. I understand that if I choose to aggregate my Solo 401(k) account with the account(s) listed below for Rights of Accumulation and Letter of Intent, my Solo 401(k) account will not be aggregated with other participant accounts in the Solo 401(k) plan.

Rights of Accumulation *(Cumulative Discount)*

☐ Please aggregate the following eligible Invesco accounts to reduce sales charge for Class A shares for myself and my immediate family*:

Account Numbers

Relationship

Letter of Intent

Pursuant to the fund's current prospectus, it is my intention to invest the following amounts, including Purchase Credit**, over a 13-month period for myself and my immediate family* in the following eligible Invesco accounts:

☐ $50,000 ☐ $100,000 ☐ $250,000 ☐ $500,000 ☐ $1,000,000

Account Numbers

Relationship

*Eligible Purchasers include the individual account owner and the immediate family of the individual account owner (including the individual's spouse or domestic partner and the individual's children, step-children or grandchildren) as well as the individual's parents, step-parents, the parents of the individual's spouse or domestic partner, grandparents and siblings.

**Purchase Credit is the value of the accounts under ROA the day before the Start Date of the Letter of Intent.

5 | Telephone Transactions *(Automatically applies unless declined below.)*

Telephone Exchange ☐ I DO NOT authorize telephone exchange.

6 | Trustee(s) Certification *(Please sign and date below.)*

As Plan Trustee, I certify that the information provided for the participant indicated in section 2 is true and accurate. In addition, the participant has been provided notice of the annual maintenance fee schedule, the Unclaimed Property Notice, Notice Regarding Delivery of Holder Documents, and information for eDelivery consent and electronic delivery, located in the Additional Information section.

Trustee's Signature *(Required)*	Name *(Please print)* and Date (mm/dd/yyyy)
X	

Trustee's Signature *(Required)*	Name *(Please print)* and Date (mm/dd/yyyy)
X	

Email Consent *(Required if eDelivery was selected in section 2.)*
I consent to eDelivery as indicated in section 2.

Participant's Signature *(Required)*	Name *(Please print)* and Date (mm/dd/yyyy)
X	

7 | Mailing Instructions

Please make checks payable to IIS. IIS does not accept the following types of payment: Cash, Credit Card Checks, Temporary/Start Checks, and Third Party Checks. Please send completed and signed form to:

(Direct Mail)
Invesco Investment Services, Inc.
P.O. Box 219078
Kansas City, MO 64121-9078

(Overnight Mail)
Invesco Investment Services, Inc.
c/o DST Systems, Inc.
430 W. 7th Street
Kansas City, MO 64105-1407

For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.

Visit our website at invesco.com/us to:

- Check your account balance
- Confirm transaction history
- View account statements and tax forms
- Sign up for eDelivery of statements, daily transaction statements, tax forms, prospectuses, and reports
- Check the current fund price, yield and total return on any fund
- Process transactions
- Retrieve account forms and investor education materials

Important fund information

Please note the following product changes and see their respective prospectuses for more information:

On June 8, 2017 Invesco Developing Markets Fund closed to new investors and entered a limited offering status. See prospectus for more information.

Fund/class	CUSIP	Ticker
Invesco Developing Markets Fund		
Class A	00141T577	GTDDX
Class B[1]	00141T569	GTDBX
Class C	00141T544	GTDCX
Class R5	00141T163	GTDIX
Class R6	00142R521	GTDFX
Class Y	00141V838	GTDYX

1 Class B shares closed to all investors Nov. 30, 2010 and will not re-open.

On Feb. 27, 2017, Invesco Macro International Equity Fund was fully liquidated and is no longer active.

Fund/class	CUSIP	Ticker
Invesco Macro International Equity Fund		
Class A Shares	00888Y730	VZMAX
Class C Shares	00888Y722	VZMCX
Class R Shares	00888Y714	VZMRX
Class R5 Shares	00888Y680	VZMFX
Class R6 Shares	00888Y672	VZMSX
Class Y Shares	00888Y698	VZMYX

On Feb. 27, 2017, Invesco Macro Long/Short Fund was fully liquidated and is no longer active.

Fund/class	CUSIP	Ticker
Invesco Macro Long/Short Fund		
Class A Shares	00888Y664	LSTAX
Class C Shares	00888Y656	LSTCX
Class R Shares	00888Y649	LSTRX
Class R5 Shares	00888Y623	LSTFX
Class R6 Shares	00888Y615	LSTSX
Class Y Shares	00888Y631	LSTYX

Alternatives	A	C	R	Y
	Fund No.			
Invesco All Cap Market Neutral Fund	1651	3651	4651	8651
Invesco Alternative Strategies Fund	1662	3662	4662	8662
Invesco Balanced-Risk Allocation Fund	1607	3607	4607	8607
Invesco Balanced-Risk Commodity Strategy Fund	1611	3611	4611	8611
Invesco Floating Rate Fund	1595	3595	4595	8595
Invesco Global Infrastructure Fund	1658	3658	4658	8658
Invesco Global Market Neutral Fund	1650	3650	4650	8650
Invesco Global Real Estate Fund	1621	3621	4621	8621
Invesco Global Real Estate Income Fund	1540	3540	–	8540
Invesco Global Targeted Returns Fund	1649	3649	4649	8649
Invesco Long/Short Equity Fund	1652	3652	4652	8652
Invesco Macro Allocation Strategy Fund[1]	1648	3648	4648	4900
Invesco Macro Long/Short Fund	1655	3655	4655	8655
Invesco MLP Fund	1657	3657	4657	8657
Invesco Real Estate Fund	1525	3525	4525	8525
Balanced				
Invesco Equity and Income Fund	1743	3743	6743	8743
Invesco Income Allocation Fund	1606	3606	4606	8606
Invesco Multi-Asset Income Fund[2]	1644	3644	4644	8644
Invesco Multi-Asset Inflation Fund	1660	3660	6660	8660
Target Maturity				
Invesco Balanced-Risk Retirement Now Fund	1625	3625	4625	8625
Invesco Balanced-Risk Retirement 2020 Fund	1628	3628	4628	8628
Invesco Balanced-Risk Retirement 2030 Fund	1630	3630	4630	8630
Invesco Balanced-Risk Retirement 2040 Fund	1632	3632	4632	8632
Invesco Balanced-Risk Retirement 2050 Fund	1634	3634	4634	8634
Target Risk				
Invesco Conservative Allocation Fund	1603	3603	4603	8603
Invesco Growth Allocation Fund	1602	3602	4602	8602
Invesco Moderate Allocation Fund	1601	3601	4601	8601
Domestic Equity				
Invesco American Franchise Fund	1733	3733	6733	8733
Invesco American Value Fund	1734	3734	6734	8734
Invesco Charter Fund	1510	3510	4510	8510
Invesco Comstock Fund	1737	3737	6737	8737
Invesco Diversified Dividend Fund	1586	3586	4586	8586
Invesco Dividend Income Fund	1058	3058	–	8058
Invesco Endeavor Fund	1598	3598	4598	8598
Invesco Equally-Weighted S&P 500 Fund	1706	3706	6706	8706
Invesco Growth and Income Fund	1752	3752	6752	8752
Invesco Low Volatility Equity Yield Fund	1556	3556	4556	8556
Invesco Mid Cap Core Equity Fund	1546	3546	4546	8546
Invesco Mid Cap Growth Fund	1763	3763	6763	8763
Invesco S&P 500 Index Fund	1722	3722	–	8722
Invesco Select Companies Fund	1599	3599	4599	8599
Invesco Small Cap Equity Fund	1532	3532	4532	8532
Invesco Small Cap Discovery Fund	1769	3769	–	8769
Invesco Summit Fund	1591	3591	–	8591
Invesco Value Opportunities Fund	1776	3776	6776	8776

Share Class

Sector Equity	A	C	R	Y
	Fund No.			
Invesco Energy Fund	1050	3050	–	8050
Invesco Global Health Care Fund	1551	3551	–	8551
Invesco Gold & Precious Metals Fund	1051	3051	–	8051
Invesco Technology Fund	1055	3055	–	8055
International & Global Equity				
Invesco Asia Pacific Growth Fund	1531	3531	–	8531
Invesco Developing Markets Fund	1576	3576	–	8576
Invesco Emerging Markets Equity Fund	1627	3627	4627	8627
Invesco European Growth Fund	1530	3530	4530	8530
Invesco Global Core Equity Fund	1513	3513	4513	8513
Invesco Global Growth Fund	1582	3582	–	8582
Invesco Global Low Volatility Equity Yield Fund	1584	3584	4584	8584
Invesco Global Opportunities Fund	1645	3645	4645	8645
Invesco Global Responsibility Equity Fund	1697	3697	4697	8697
Invesco Global Small & Mid Cap Growth Fund	1581	3581	–	8581
Invesco Greater China Fund	1554	3554	–	8554
Invesco International Allocation Fund	1605	3605	4605	8605
Invesco International Companies Fund	1665	3665	4665	8665
Invesco International Core Equity Fund	1009	3009	4609	8009
Invesco International Growth Fund	1516	3516	4516	8516
Invesco International Small Company Fund	1528	3528	–	8528
Invesco Low Volatility Emerging Markets Fund	1653	3653	4653	8653
Invesco Macro International Equity Fund	1654	3654	4654	8654
Invesco Pacific Growth Fund	1720	3720	6720	8720
Invesco Select Opportunities Fund	1646	3646	4646	8646
Fixed Income				
Invesco Convertible Securities Fund	1704	3704	–	8704
Invesco Core Plus Bond Fund	1541	3541	4541	8541
Invesco Corporate Bond Fund	1740	3740	6740	8740
Invesco Emerging Markets Flexible Bond Fund[3]	1544	3544	4544	8544
Invesco High Yield Fund	1575	3575	–	8575
Invesco Quality Income Fund[4]	1774	3774	–	8774
Invesco Short Duration Inflation Protected Fund[5]	4923	–	–	8523
Invesco Short Term Bond Fund	1524	3524	4524	8524
Invesco Strategic Real Return Fund	1659	3659	4659	8659
Invesco U.S. Government Fund	1560	3560	4560	8560
Invesco World Bond Fund[6]	1552	3552	–	8552

Money Market	Cash Rsv[7]	A	C	R	Y
		Fund No.			
Invesco Government Money Market Fund[8]	1521	–	3521	4521	8521

1 On July 27, 2016, the Invesco Global Markets Strategy Fund was renamed Invesco Macro Allocation Strategy Fund.
2 On July 27, 2016, the Invesco Premium Income Fund was renamed Invesco Multi-Asset Income Fund.
3 On Feb. 26, 2016, the Invesco Emerging Market Local Currency Debt Fund was renamed Invesco Emerging Markets Flexible Bond Fund. The investment strategy, non-fundamental restriction and benchmarks changed accordingly. See the prospectus for more information.
4 On June 20, 2016, Invesco U.S. Mortgage Fund was renamed Invesco Quality Income Fund.
5 On Dec. 31, 2015, Invesco Limited Maturity Treasury Fund was renamed Invesco Short Duration Inflation Protected Fund. The investment strategy, investment process and benchmarks changed accordingly. See the prospectus for more information.
6 On Dec. 1, 2016, Invesco International Total Return Fund was renamed Invesco World Bond Fund. Several changes were made including investment strategy, benchmarks and distribution frequency. See the prospectus for more information.
7 Special share class of Invesco Government Money Market Fund: Cash Reserve
8 On June 28, 2016, Invesco Money Market Fund changed to Invesco Government Money Market Fund. The investment strategy and positioning changed, see prospectus for more information.

Additional Information

Rollover Contributions
Trustee acceptance is required for rollovers into the plan. Please see "How to Process a Rollover to your Invesco Solo 401(k)" in your Solo 401(k) Establishment Kit for more information.

Annual Retirement Account Maintenance Fee
A $15 maintenance fee will be deducted annually from each Plan participant account if the balance of the account is less than $50,000 on the day the fee is assessed.

eDelivery Consent
Sign up to receive notice by email that shareholder and fund information is available online. By providing an email address you consent to receiving electronic documents and notices rather than receiving paper documents by US mail. Electronic documents and other communications may be delivered by email or an email message containing a link to an internet address or website where the document is posted and from which it can be read or printed. Documents delivered electronically include, but are not limited to, summary prospectuses, prospectus supplements, annual and semi-annual shareholder reports, proxy materials, account statements, transaction confirmations, privacy notices, and other notices and documentation in electronic format when available. By providing your email address, you also consent to receive any additional documents capable of electronic delivery in the future.

To receive email alerts, your computer must be capable of reading PDF files. If you have an application installed that enables you to view PDF documents, you may proceed with eDelivery. If you do not, download Adobe® Reader®. You should also refer to Adobe® Reader® for system requirements necessary to access these documents. If you are unable to download Adobe® Reader® or view PDF documents, do not sign up for eDelivery.

Important Information Regarding Electronic Delivery
You, or if you act on behalf of an entity, the Trustees/Authorized Signers confirm that the authorized persons have internet access, access to Adobe® Reader® and an active email account to receive information electronically.

While IIS does not charge you for electronic delivery, your internet provider may charge you for internet access. Also, please be aware that your internet service provider may occasionally experience system failures in which case hyperlinks to documents may not function properly.

If any electronic message is returned to us, we will resume sending you documents by US mail and request that you send us an updated email address.

If you use spam-blocking software, please update your settings to receive email from us.

Once you consent to receipt of documents by electronic delivery, you will need to notify us in writing or modify your preferences in your online profile of any intent to revoke your consent to receive documents by electronic delivery.

This consent will remain in effect until revoked. The authorized persons may revoke this consent and/or request paper copies of documents delivered electronically at no additional charge. Please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time if you wish to revoke your consent or otherwise wish to receive a paper copy of any documents referenced in this consent.

Depending on when you request eDelivery of statements, you may receive your next statement via US mail. You will receive email notification for all subsequent statements. If other shareholders in your household do not sign up for eDelivery, you may continue to receive these materials via US mail. You may update your email address, change your eDelivery selections, or cancel this service at any time by visiting our website or calling IIS.

Important Notice Regarding Delivery of Security Holder Documents
To reduce Fund expenses, only one copy of most shareholder documents may be mailed to shareholders with multiple accounts at the same address (Householding). Mailing of your shareholder documents may be householded indefinitely unless you instruct us otherwise. If you do not want the mailing of these documents to be combined with those for other members of your household, please contact IIS or your financial advisor. We will begin sending you individual copies for each account within 30 days after receiving your request.

Unclaimed Property Notice
Please note that your property may be transferred to the appropriate state's unclaimed property administrator if no activity occurs in the account within the time period specified by state law.

Invesco

Rev. March 13, 2014

FACTS WHAT DOES INVESCO DO WITH YOUR PERSONAL INFORMATION? *

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: ▪ Social Security number and income ▪ Transaction history and investment experience ▪ Investment experience and assets When you are *no longer* our customer, we continue to share information about you according to our policies.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Invesco chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Invesco share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We do not share
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes— information about your transactions and experiences	No	We do not share
For our affiliates' everyday business purposes— information about your credit worthiness	No	We do not share
For our affiliates to market to you	No	We do not share
For non-affiliates to market to you	No	We do not share

Questions?	Call 1-800-959-4246 (toll free).

* This privacy notice applies to individuals who obtain or have obtained a financial product or service from the Invesco family of companies. For a complete list of Invesco entities, please see the section titled "Who is providing this notice" on page 2.

Who we are	
Who is providing this notice?	Invesco Advisers, Inc., Invesco Private Capital, Inc., Invesco Senior Secured Management, Inc., WL Ross & Co. LLC, Invesco Distributors, Inc. and the Invesco family of mutual funds.

What we do	
How does Invesco protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Invesco collect my personal information?	We collect your personal information, for example, when you ▪ Open an account or give us your contact information ▪ Make deposits or withdrawals from your account or give us your income information ▪ Make a wire transfer We also collect your personal information from others, such as credit bureaus, affiliates or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only ▪ Sharing for affiliates' everyday business purposes—information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for nonaffiliates to market to you

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. *Invesco does not share with our affiliates so that they can market to you.*
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. *Invesco does not share with non-affiliates so that they can market to you.*
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. *Invesco doesn't jointly market.*