Please remember to sign form after printing.

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Invesco

Coverdell Education Savings Account Transfer Form

Use this form to transfer assets from an existing Coverdell Education Savings Account (Coverdell ESA) with another institution to an Invesco Coverdell ESA. We recommend that you speak with a tax or financial advisor regarding the consequences of this transaction.

To expedite your request, please attach your most recent account statement.

Do not use this form to:

- Request a transfer from a 529 plan to an Invesco Coverdell ESA.
- Request a transfer or rollover of retirement plan assets. Please submit the Invesco Retirement Account Transfer/Rollover Form.
- Request a change of Designated Beneficiary on an existing Invesco Coverdell ESA. Please submit the Invesco Coverdell ESA Administration Form.

For more information, please see the Additional Information section at the end of this form.

Start here >. Use "Tab" key to move to next field.

PLEASE USE BLUE OR BLACK INK — PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

1 | Account Information

A. Responsible Individual

Full Name

Social Security Number *(Required)*

Date of Birth *(Required)* (mm/dd/yyyy)

Mailing Address

City

State

ZIP

Primary Phone Number

Email Address

B. Designated Beneficiary

☐ Check here if the Designated Beneficiary is the same as the Responsible Individual listed above.

Full Name

Social Security Number *(Required)*

Date of Birth *(Required)* (mm/dd/yyyy)

2 | Assets are Moving from this Coverdell ESA *(Required.)*

Important Note: Some trustees/custodians may require pre-liquidation of assets, payment of fees, and/or completion of their own forms before transferring assets to Invesco. To expedite your request, please contact your current trustee or custodian to verify their requirements.

☐ Yes, I have contacted the current trustee/custodian. I have met their requirements for transferring assets, and have filed the necessary paperwork.

☐ Yes, I have confirmed the current trustee or custodian will accept this request by fax at the number I've provided below.

☐ No, I have not contacted the current trustee/custodian.

Name of Current Trustee/Custodian

Mailing Address of Current Trustee/Custodian

City

State

ZIP

PLEASE USE BLUE OR BLACK INK **PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS**

Trustee/Custodian Phone Number

Trustee/Custodian Fax Number

Account Number at Current Trustee/Custodian

Attention

3 | Instructions to Delivering Trustee/Custodian *(Complete A or B. Required.)*

A. Liquidate – Please liquidate the account(s) listed in section 2 and issue a check payable to ITC.
Select one.

☐ Liquidate ALL immediately

☐ Liquidate $ ___ ___ ___ , ___ ___ ___ . ___ ___ immediately

☐ Liquidate ALL at maturity (mm/dd/yyyy)

☐ Liquidate $ ___ ___ ___ , ___ ___ ___ . ___ ___ at maturity date (mm/dd/yyyy)

B. Transfer "in kind": A transfer "in kind" is the movement of currently owned Invesco Fund(s) from one custodian to Invesco Investment Services, Inc. (IIS) without liquidating. If you do not currently own Invesco Fund(s), this option is not available to you.
Select one.

☐ ALL existing Invesco Fund(s) held in the account(s) listed in section 2.

☐ PARTIAL shares in the amount of

___ shares of Invesco ___ Fund.

___ shares of Invesco ___ Fund.

___ shares of Invesco ___ Fund.

4 | Assets are Moving to the Following Invesco Coverdell ESA *(Complete A and B. Required.)*

A. Transfer assets to my: *(Select one.)*

☐ New Invesco Coverdell ESA *OR* ☐ Existing Invesco Coverdell ESA Number

B. Investment Allocation:

- If transfer in kind was selected above, your fund selection will remain the same. You may request an exchange separately.
- If I do not provide fund(s) selection below, I am directing IIS to purchase Cash Reserve Shares of Invesco Government Money Market Fund. If an Invesco Fund name(s) is indicated but no class of shares is specified, I am directing IIS to purchase Class A shares of the specified fund(s).

Please indicate fund(s) and investment percentages, rounded to whole percentages. Total percentage must equal 100%.

Fund Number	Fund Name	Class of Shares	Whole Percentage
			%
			%
			%
			%
		TOTAL	0 %

Please attach a separate page for additional allocations, if necessary.

5 | Authorization and Signature *(Please sign and date below.)*

To the current trustee/custodian: I have established a Coverdell Education Savings Account with Invesco Distributors, Inc. and have appointed Invesco Trust Company (ITC) as the custodian. Please accept this as your authorization and instruction to liquidate and/or transfer "in kind" the assets noted herein, which your company holds for the named Designated Beneficiary. In accordance with my custodial agreement and/or plan document, I authorize you to deduct any outstanding fees from the account prior to the transfer.

Request for Taxpayer Identification Number (Substitute Form W-9)
Under penalties of perjury, I certify that:
1. The number shown on this form is my correct taxpayer identification number **and**
2. I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding, **and**
3. I am a U.S. person (including a U.S. resident alien), **and**
4. The requirement to provide FATCA exemption codes does not apply.

Certification Instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN.

SIGNATURE PROVISIONS

I have read, understand and agree to the foregoing form. I certify that the information which I have provided is accurate, including, but not limited to, the representations contained in the Request for Taxpayer Identification Number section above. I certify that this transfer is one that I am eligible to make, and I agree to indemnify and hold harmless IIS, its affiliates, each of their respective employees, officers, trustees, or directors, and each of the Invesco Funds from and against any and all claims, losses, liabilities, damages and expenses that may be incurred by reason of your actions taken in accordance with the instructions set forth herein.

Signature of Responsible Individual *(Required)*	Date (mm/dd/yyyy)
X	

Note: The current trustee/custodian may require your signature to be guaranteed. Call that institution for their requirements.

Signature Guarantee: (Please place signature guarantee stamp below.)

Each signature must be guaranteed by a bank, broker-dealer, savings and loan association, credit union, national securities exchange or any other "eligible guarantor institution" as defined in rules adopted by the Securities and Exchange Commission. Signatures may also be guaranteed with a medallion stamp of the STAMP program or the NYSE Medallion Signature Program, provided that the amount of the transaction does not exceed the relevant surety coverage of the medallion. **A signature guarantee may NOT be obtained through a notary public.**

6 | Custodian Acceptance *(This section to be completed by Invesco.)*

This is to advise you that ITC, as Custodian, will accept the account identified in section 2.

This transfer of assets is to be executed on a trustee to trustee basis and will not place the Designated Beneficiary in actual receipt of any portion of the plan assets. No federal income tax is to be withheld from this transfer of assets.

Authorized Signature of Officer Mary N. Kellen

On behalf of Invesco Trust Company

7 | Checklist and Mailing Instructions

Please review checklist before submitting your request:

- ☐ Account information for the Responsible Individual and Designated Beneficiary was provided in section 1.
- ☐ Name and address of the current trustee/custodian was provided in section 2.
- ☐ A copy of your most recent account statement is enclosed.
- ☐ Transfer instructions were indicated in section 3.
- ☐ The signature of the Responsible Individual was provided in section 5.
- ☐ An Invesco Coverdell ESA Application was attached for a new account.

IIS does not accept the following types of payment: Cash, Credit Card Checks, Temporary/Starter Checks, and Third Party Checks.

Please send completed and signed form to:

(Direct Mail)
Invesco Investment Services, Inc.
P.O. Box 219078
Kansas City, MO 64121-9078

(Overnight Mail)
Invesco Investment Services, Inc.
c/o DST Systems, Inc.
430 W. 7th Street
Kansas City, MO 64105-1407

For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.

Visit our website at invesco.com/us to:

- Check your account balance
- Confirm transaction history
- View account statements and tax forms
- Sign up for eDelivery of statements, daily transaction statements, tax forms, prospectuses, and reports
- Check the current fund price, yield and total return on any fund
- Process transactions
- Retrieve account forms and investor education materials

Call the 24-Hour Automated Investor Line 800 246 5463 to:

- Obtain fund prices
- Confirm your last three transactions
- Order a recent account statement(s)
- Check your account balance
- Process transactions

To use the system, please have your account numbers and Social Security number available.

Additional Information

- When transferring CD's a maturity date is required. The request must be received by IIS 30 days prior to maturity date. Transfer "in kind" is not available for CD's.
- The movement of assets from a 529 plan to a Coverdell ESA must be made by taking a distribution from the 529 plan and making a subsequent contribution into the Coverdell ESA.