Please remember to sign form after printing.

Print Clear Form

Invesco

Invesco Traditional or Roth IRA Recharacterization Form

Use this form to recharacterize current or prior year IRA contributions (Roth IRA to Traditional IRA or Traditional IRA to Roth IRA). Conversion contributions are not eligible for recharacterization. We recommend that you speak with a tax advisor or financial professional regarding the consequences of this transaction.

Please use a separate form for each tax year.

For more information, please see the Additional Information section at the end of this form.

**Required*

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

1 | Account Owner Information

Start > Here Use "Tab" key to move to next field.

Social Security Number* ___ - __ - ____

Date of Birth (mm/dd/yyyy) __ / __ / ____

Account Registration *(Please print name as it appears on account.)*

2 | Contact Preference

In some cases, Invesco will attempt to contact you for more information or to resolve any discrepancies that may be present with your request. The preferred method you provide below will be used for this request only and will not be added to your account for future contact.

Please provide your preferred method of contact *(Select One.)*

☐ Please contact my financial professional on record.

☐ Please contact me at ___ - ___ - ____

3 | Recharacterization Instructions

Recharacterize assets from my IRA: Recharacterizations will be "in kind". Please see Additional Information section at the end of the form.
(Select one.)

☐ Traditional IRA ☐ Roth IRA

Account number ____ Account number ____

☐ All of my contributions for tax year ____

☐ Partial amount $ ___ , ___ . __ of my contributions for tax year ____

Note: If I do not provide a method of recharacterization below, I direct Invesco Investment Services, Inc. (IIS) to use the proportionate method.

☐ **Proportionate** — Shares will be transferred from each fund proportionate to that fund's value with respect to the total value of your account on the day IIS receives your request in good order.

☐ **From Specific Fund(s)** — Please indicate the fund(s) and amounts below.

Recharacterization Instructions section continues on the next page.

*Required

PLEASE USE BLUE OR BLACK INK **PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS**

Fund Number	Fund Name	Amount
		$, .
		$, .

Recharacterize assets to my IRA: *(Select one.)*

☐ Existing Invesco IRA

☐ Traditional IRA ☐ Roth IRA

Account number ____________ Account number ____________

☐ New Invesco Traditional or Roth IRA. A completed Invesco Traditional or Roth IRA Application is attached.

4 | Systematic Purchase Instructions *(Select one if applicable.)*

IIS will not alter existing systematic agreements unless specified below.

☐ Move my existing systematic purchase agreement to the account I am recharacterizing to.

☐ Stop my existing systematic purchase agreement on the account I am recharacterizing from.

5 | Authorization and Signature *(Please sign and date below.)*

Signature of Account Owner By signing this form, (i) I authorize and direct IIS to take actions as specified above, **and (ii) I agree to indemnify and hold harmless IIS, its parents, affiliates, each of their respective employees, officers, trustees, directors, successors, assigns, and each of the Invesco funds (collectively, "Invesco") from and against any and all actions, suits, claims, costs, losses, liabilities, damages and expenses of any kind or character that may be incurred directly or indirectly as a result of the actions taken by Invesco in accordance with the instructions and other provisions set forth herein.**

Signature*

X

Date (mm/dd/yyyy)

__ __ / __ __ / __ __ __ __

6 | Mailing Instructions

Please send completed and signed form to:

(Direct Mail)
Invesco Investment Services, Inc.
P.O. Box 219078
Kansas City, MO 64121-9078

(Overnight Mail)
Invesco Investment Services, Inc.
801 Pennsylvania Ave
Suite 219078
Kansas City, MO 64105-1307

For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.

Visit our website at invesco.com/us to:

- Check your account balance
- Confirm transaction history
- View account statements and tax forms
- Sign up for eDelivery of statements, daily transaction statements, tax forms, prospectuses, and reports
- Check the current fund price, yield and total return on any fund
- Process transactions
- Retrieve account forms and investor education material

Call the 24-Hour Automated Investor Line 800 246 5463 to:

- Obtain fund prices
- Confirm your last three transactions
- Order a recent account statement(s)
- Check your account balance
- Process transactions

To use the system, please have your account numbers and Social Security number available.

Additional Information

- A recharacterization is the movement of a current or prior year regular contribution, plus earnings, from a traditional IRA to a Roth IRA or vice versa.
- Assets will be recharacterized “in kind”. An “in kind” recharacterization is the movement of currently owned Invesco funds without liquidating. You may request an exchange separately.
- To recharacterize a prior year contribution, IIS must receive this form by the tax filing deadline (including extensions; generally Oct. 15th) of the year for which the contribution was made.
- Your recharacterization will be processed at NAV on the day we receive your completed form in good order.
- Any net earnings attributable to the contribution will be calculated by IIS and transferred to the recipient account. Earnings will be calculated across all funds within the same account registration.
- You will be responsible for reporting the transaction as a recharacterization on your federal income tax return.
- Assets converted to a Roth IRA are not eligible for recharcterization.