



Invesco IRA to Invesco Roth IRA Conversion Form

Use this form to convert assets from an existing Invesco Traditional, SEP, SIMPLE or SARSEP IRA to an Invesco Roth IRA. We recommend that you speak with a tax or financial advisor regarding the consequences of this transaction.

If you do not have an Invesco Roth IRA already established, please attach a completed Invesco Traditional or Roth IRA Application.

Do not use this form to:

- Convert IRA assets from another custodian.
- Recharacterize IRA assets.
- Rollover assets from a qualified plan or 403(b) to an Invesco Roth IRA.

For more information, please see the Additional Information section.

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

1 | Account Owner Information

Social Security Number (Required)

Date of Birth (mm/dd/yyyy)

Account Registration (Please print name as it appears on account.)

Primary Phone Number

Email Address

2 | Conversion Instructions

Important: Required minimum distribution (RMD) is ineligible to convert to a Roth IRA. If you are 70 1/2 or older this year and are requesting a full account conversion, Invesco Investment Services, Inc. (IIS) will calculate and remove the amount of your RMD if it has not already been distributed, and send it to the address of record on the account. If your RMD has already been satisfied, please check the box below.

Please do not distribute my RMD as it has already been distributed.

Convert assets from my IRA: Conversions will be "in kind". Please see Additional Information section at the end of the form. (Select one.)

Traditional IRA SEP IRA SARSEP IRA SIMPLE IRA

Full Account Conversion from:

Account Number

Account Number

Partial Account Conversion from:

Fund Name and Account Number

Partial Amount

\$

Number of Shares

or

\$

or

\$

or

If you have additional funds, please attach a separate page including all of the information requested in this section.

Convert assets to my: (Select one.)

Existing Invesco Roth IRA Account Number

New Invesco Roth IRA Account. A completed Invesco Traditional or Roth IRA Application is attached. (Required)



3 | Federal Income Tax Withholding Election *(Select one.)*

By electing to convert all or part of your Traditional, SIMPLE, SEP or SARSEP IRA to a Roth IRA, you are making a taxable distribution, which will be subject to federal income tax withholding. **If no election is made below or your address of record is a P.O. Box or a non US address, IIS is required to withhold at the rate of 10%.** Any amounts withheld may be subject to an additional 10% premature penalty tax if you are under age 59½.

- I do not want any federal income tax withheld from my Traditional, SIMPLE, SEP or SARSEP IRA distribution.
- I want federal income tax withheld at the rate of %. *(Must be 10% or greater.)*

Please consult a tax advisor to determine if you are required to make an estimated tax payment.

Important: Once the federal income tax withholding transaction has been completed it cannot be reversed.

4 | Systematic Purchase Instructions *(Select one if applicable.)*

IIS will not alter existing systematic purchase agreements unless specified below.

- Move my existing systematic purchase agreement to my Invesco Roth IRA.
- Stop my existing systematic purchase agreement on my Traditional IRA.

5 | Authorization and Signature *(Please sign and date below.)*

By signing this form (i) I authorize and direct IIS to take actions as specified on this form, **and (ii) I agree to indemnify and hold harmless IIS, its affiliates, each of their respective employees, officers, trustees, or directors, and each of the Invesco Funds from and against any and all claims, losses, liabilities, damages and expenses that may be incurred by reason of your actions taken in accordance with the instructions set forth herein.**

Signature *(Required)*

Date (mm/dd/yyyy)

6 | Mailing Instructions

Please send completed and signed form to:

(Direct Mail)

Invesco Investment Services, Inc.
P.O. Box 219078
Kansas City, MO 64121-9078

(Overnight Mail)

Invesco Investment Services, Inc.
c/o DST Systems, Inc.
430 W. 7th Street
Kansas City, MO 64105-1407

For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.

Additional Information

- Conversion assets will be processed "in kind". An "in kind" conversion is the movement of currently owned Invesco funds without liquidating. You may request an exchange separately.
- For SIMPLE IRAs, if two years have not passed since the date of the first contribution into the account, then no assets in the account can be converted to a Roth IRA.
- You will be subject to the 10% mandatory tax withholding on the amount being converted unless you make an election in section 3, Federal Income Tax Withholding Election.
- Assets converted to a Roth IRA are not eligible for recharacterization.