



# Qualified Retirement Plan Application

Use this form to authorize Invesco Investment Services, Inc. (IIS) to establish a non Invesco-sponsored qualified retirement plan for the plan indicated in section 2. We recommend that you speak with a tax or financial advisor prior to adopting a retirement plan.

- The employer must have adopted a qualified retirement plan document.
- Invesco's Retirement Plan Manager (RPM) will be used to establish participants and fund contributions.
- Trustee(s) should refer to the Invesco Privacy Policy and Invesco's 408b-2 Service Provider Disclosure, both of which are located at the end of this form.
- All trustees are required to sign this application.

**Important: All tax reporting, withholding, and, if applicable, retirement plan administration, are the responsibility of the employer/plan trustee and not the responsibility of IIS.**

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

## 1 | Plan Type (Select one.)

- 401(k)       Money Purchase Pension       Profit Sharing

## 2 | Plan Information

Plan Name

Plan Tax Identification Number (Required)

Mailing Address

City

State

ZIP

Authorized Plan Contact(s)

1. Full Name

Primary Phone Number

2. Full Name

Primary Phone Number

## 3 | Plan Trustee(s)

List all plan trustee(s). If there are more than two trustees, please attach a separate page including all of the information requested in this section.

IIS will accept instructions to authorize plan or participant transactions from any one of the plan's trustees listed unless specified below.

- All plan trustees' signatures are required for authorizing transactions.

### First Trustee

Full Name

Social Security Number (Required)



PLEASE USE BLUE OR BLACK INK

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Mailing Address

City

State

ZIP

Primary Phone Number *(Required)*

Email Address

**Second Trustee** *(If applicable)*

Full Name

Social Security Number *(Required)*

Mailing Address

City

State

ZIP

Primary Phone Number *(Required)*

Email Address

**4 | Third Party Administrator (TPA)**

TPA Name

Tax Identification Number *(Required)*

Invesco TPA Number *(If known)*

Mailing Address *(Including P.O. Box number)*

City

State

ZIP

Authorized Plan Contact

Primary Phone Number

**5 | Financial Advisor/Dealer Information** *(To be completed by your financial advisor.)*

**Important:** Incomplete information in this section may result in no broker/dealer being assigned to the plan.

Name of Firm

Invesco Dealer Number

Financial Advisor's Branch Address

Branch Number

City

State

ZIP

PLEASE USE BLUE OR BLACK INK

PLEASE PRINT CLEARLY IN BLOCK CAPITAL LETTERS

Financial Advisor's Name

Financial Advisor's Rep ID

Financial Advisor's Phone Number

We authorize IIS to act as our agent in connection with transactions authorized by the account application and agree to notify IIS of any purchase made under letter of intent or rights of accumulation.

Authorized Signature of Dealer/Home Office

**Important note for plans purchasing shares at Net Asset Value (NAV):** Eligibility criteria for NAV purchases is described in the fund's Statement of Additional Information.

Please indicate which method the financial advisor would like to receive their commissions. IIS will default to option 1 if no selection is made below.

- Option 1: Finder's fee paid immediately and 12b-1 payments made after a 13 month period has elapsed.
- Option 2: No Finder's fee and 12b-1 payments begin immediately.

**6 | Investment Election** (Please refer to the List of Available Investments in section 12; complete sections A and B.)

**A. Qualified Default Investment Alternative (QDIA)**

It is the plan sponsor's fiduciary responsibility to select an investment alternative that it determines is an appropriate QDIA under Department of Labor (DOL) rules and regulations for when participants have the opportunity to direct their investments but do not elect to do so.

Please indicate the fund you would like to establish as your plan's default fund (which may or may not qualify as a QDIA). In the event a participant fails to provide investment instructions, the contribution will be made into the below designated fund. IIS requires a default fund to be selected at the plan level. Default funds at the participant level must be managed by the plan sponsor although a default at the plan level is still required.

If no fund is selected below, I am directing IIS to designate as the plan's default fund and purchase Cash Reserve Shares of Invesco Government Money Market Fund. I understand IIS makes no representation or warranties as to QDIA compliance.

Default Fund Number

Name of Default Fund

**B. Fund Selection**

Please select fund(s). If an Invesco Fund name(s) is indicated but no class of shares is specified, I am directing IIS to purchase Class A shares of the specified fund(s).

If you have additional fund selections, please attach a separate page including all of the information requested in this section.

Fund Number	Fund Name	Class of Shares
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**7 | Reduced Sales Charge (Only available for Class A shares.)**

**Rights of Accumulation (Cumulative Discount)**

The plan applies for reduced sales charges based on the share balances in a related plan (A plan sponsored by the same employer with the same name and address.) with the following tax identification number:

Plan Tax Identification Number

Invesco Account Number or Plan ID

**Large Plan Purchases** The plan applies for the right to purchase Class A shares at NAV without the imposition of a front-end sales charge based on the following:

- I certify that there are at least 100 employees eligible to participate in the plan; and/or
- The plan assets are at least \$1 million.

**8 | Establish Invesco Retirement Plan Manager (RPM) User Access**

The following individuals are to be granted RPM access. RPM permits an individual to access plan and participant information, submit and modify census data, submit contributions via the internet and modify future investment elections.

- RPM is intended for employer or third party administrator (TPA) use. Participants can access their account(s) online at [invesco.com/us](http://invesco.com/us).
- RPM access may be granted to financial advisors only if they are the TPA as well as the financial advisor for the plan.
- Once access is established, each user will receive a user ID and default password at the email address provided below in three to five business days after the form has been received by IIS.

**Note:** All fields are required unless otherwise noted.

1. Full Name

Email Address

Relationship to Plan

Primary Phone Number

Existing RPM User ID (If applicable)

2. Full Name

Email Address

Relationship to Plan

Primary Phone Number

Existing RPM User ID (If applicable)

**9 | Bank Account Information for Contribution Funding**

Please provide bank account information below. In doing so, contributions may be funded utilizing Invesco's RPM via the Automated Clearing House (ACH) Network. By completing this section and signing this form, you are agreeing on behalf of the Plan to the terms and conditions applicable to ACH transactions set forth in section 10.

**Note:**

- If a voided company or corporate check is provided and the name on the bank account is different from the plan name, then a letter from that financial institution verifying the authorized signers must be included.
- Temporary or starter checks are not acceptable.
- Only one bank account may be on file and it must be a participating member of the ACH network.
- IIS must receive this form at least five business days prior to the submission of your initial ACH contribution.
- Signature of bank account owner(s) is required in this section if different from plan trustee(s).

**Signature of Bank Account Owner(s)** *(Required if different from plan trustee(s))*

All authorized signers of the bank account, if different than Plan Trustee(s) listed in Section 2, must sign this authorization. Please attach an additional page if there are additional bank account owner signers.

By signing this form, I understand and acknowledge that:

- I am an authorized signer of bank account referenced below.
- IIS may debit my bank account for ACH drafts paid to the Invesco account.
- This authorization will remain in full force and effect, and IIS may continue to honor instructions to draft this bank account until written notice is provided revoking this authority.

Signature

X

Name *(Please print)* and Date (mm/dd/yyyy)

Signature

X

Name *(Please print)* and Date (mm/dd/yyyy)

Account Type:  Checking  Savings

Name(s) on Bank Account

\_\_\_\_\_

\_\_\_\_\_

Pay to the order of \_\_\_\_\_ \$

\_\_\_\_\_

**Please tape your voided check here.**

Routing Number

Account Number

**10 | Trustee(s) Certification and Authorization** *(Please sign and date below.)*

**Plan Certification**

By signing the Application, the undersigned certify, acknowledge and agree that (i) the undersigned is/are trustee(s) of a retirement plan trust (the "Plan") with a valid Plan document, exempt from taxation pursuant to Section 501(a) of the U.S. Internal Revenue Code (the "Code"); (ii) as trustee(s), the undersigned will be responsible for all tax reporting, withholding, and Plan administration; (iii) all investments made by the Plan in the Invesco Funds (each, a "Fund") are being made pursuant to the current Prospectus and Statement of Additional Information of each such Fund, each of which may be amended from time to time without prior notice being given to Plan trustees or the Plan; (iv) all Plan participants will receive quarterly confirmation statements in lieu of a daily confirmation for each contribution; (v) Plan participants have been provided the Unclaimed Property Notice listed below.

**Unclaimed Property Notice**

Please note that your property may be transferred to the appropriate state's unclaimed property administrator if no activity occurs in the account within the time period specified by state law.

**IRS Certification**

I certify, under penalties of perjury, that: (i) the number provided in section 2 of the Application is the current Taxpayer Identification Number for the Plan, and (ii) the Plan is not subject to backup withholding because it is an organization exempt from tax pursuant to Section 501(a) of the Code.

**RPM User Authorization**

I authorize and direct IIS to grant the individuals identified in section 8 access to the Plan's accounts via RPM. I understand that if granting access to RPM, each individual granted access will have the ability to view Plan and participant information, and will be able to effectuate transactions for participant accounts maintained by IIS for the Plan.

**ACH Authorization:**

On behalf of the Plan, I authorize IIS to initiate drafts via the ACH Network from the bank account identified in this application, pursuant to instructions received from the Plan's administrator, sponsor, trustee, or an appropriate officer and certify that the individual(s) in this capacity have the authority to provide such instructions. I understand that all purchases of Fund shares pursuant to these instructions are subject to the terms of the prospectus(es) of the applicable Funds. I understand that the amount drafted for the Plan's contribution funding will be set forth in the instructions so provided and the timing of any such draft will be dependent upon when the instructions are received by IIS. I agree that the rights of IIS with respect to each draft shall be the same as if it were drawn directly by the account owner or company, as applicable. I agree that, should any draft be dishonored, with or without cause, intentionally or inadvertently, IIS shall have no liability whatsoever with respect to any order for the purchase of Fund shares which was to have been settled via such draft. I further agree that IIS may delay the payment of redemption proceeds with respect to Fund shares purchased via such a draft for period of up to ten (10) days in order to enable IIS to confirm that the draft has cleared. This authorization shall remain in full force and effect and IIS may continue to honor instructions to draft the referenced account until notification revoking this authority is provided at least seven business days prior to a scheduled draft. Notice should be provided to Invesco's Client Services at 800 959 4246 or in writing to: IIS, PO Box 219078, Kansas City, MO 64121.

**Signature Provisions:**

The undersigned have read, understand and agree to the foregoing application and material included herein by reference, and affirm that I/we have received and read the fund prospectus(es) and agree to the terms set forth therein. The undersigned certify that the information which I/we have provided and the information included within the application and the material included herein by reference is accurate.

I understand that if section 5 is blank or incomplete, this Plan may be established without a financial advisor/dealer assigned to the Plan, and IIS and its affiliates shall not give tax advice or investment advice, nor determine whether the account is appropriate for the Plan. **By signing this form, (i) I authorize and direct IIS to maintain the account referenced herein, and (ii) I agree to indemnify and hold harmless IIS, its affiliates, each of their respective employees, officers, trustees, or directors, and each of the Invesco Funds from and against any and all claims, losses, liabilities, damages and expenses that may be incurred by reason of your actions taken in accordance with the instructions set forth herein.**

**Plan Trustee(s) Signature(s):**

All trustees must sign this authorization. Please attach an additional page if there are additional signers.

Signature (Required)	Name (Please print)	Date (mm/dd/yyyy)
X		

Signature (Required)	Name (Please print)	Date (mm/dd/yyyy)
X		

**11 | Checklist and Mailing Instructions**

Please review the checklist before submitting your application.

- Plan type and information was provided in section 1 and 2.
- Trustee information was provided in section 3.
- Investment selection was made in section 6.
- RPM user information was provided in section 8.
- Bank account information was provided in section 9.
- All required signatures are provided in section 10.

Please send completed and signed form to:

<b>(Direct Mail)</b>	<b>(Overnight Mail)</b>
Invesco Investment Services, Inc.	Invesco Investment Services, Inc.
P.O. Box 219078	c/o DST Systems, Inc.
Kansas City, MO 64121-9078	430 W. 7th Street
	Kansas City, MO 64105-1407

**For additional assistance please contact an Invesco Client Services representative at 800 959 4246, weekdays, 7 a.m. to 6 p.m. Central Time.**

# Supplemental Information

## Liquidations

On Sept. 20, 2019, the following funds closed to new account investments and are no longer available.

Fund	Share class	Ticker	CUSIP	Fund	Share class	Ticker	CUSIP
Invesco Alternative Strategies Fund	Class A	LQLAX	00888W460	Invesco Oppenheimer SteelPath MLP & Energy Infrastructure Fund	Class A	OMLPX	00143K418
	Class C	LQLCX	00888W452		Class C	OMLCX	00143K392
	Class R	LQLRX	00888W445		Class R	SPNMX	00143K376
	Class R5	LQLFX	00888W429		Class R5	SPMKX	00143K368
	Class R6	LQLSX	00888W411		Class R6	OMLIX	00143K350
	Class Y	LQLYX	00888W437		Class Y	OMLYX	00143K384
Invesco Emerging Markets Flexible Bond Fund	Class A	IAEMX	00142R711	Invesco Oppenheimer SteelPath Panoramic Fund	Class A	EESAX	00143K210
	Class C	ICEMX	00142R687		Class C	EESCX	00143K194
	Class R	IREMX	00142R679		Class R	EESRX	00143K178
	Class R5	IEMX	00142R653		Class R5	SPMNX	00143K160
	Class R6	IFEMX	00142R570		Class R6	EESIX	00143K152
	Class Y	IYEMX	00142R661		Class Y	EESYX	00143K186
Invesco MLP Fund	Class A	ILPAX	00888Y193	Invesco OFI Pictet Global Environmental Solutions Fund	Class A	IOGDY	00143N826
	Class C	ILPCX	00888Y185		Class C	IOGEX	00143N818
	Class R	ILPRX	00888Y177		Class R	IOGFX	00143N784
	Class R5	ILPFX	00888Y151		Class R5	IOGGX	00143N776
	Class R6	ILPQX	00888Y144		Class R6	OPEIX	00143N768
	Class Y	ILPYX	00888Y169		Class Y	OPEYX	00143N792
Invesco Multi-Asset Inflation Fund	Class A	MIZAX	00888W395	Invesco Strategic Real Return Fund	Class A	SRRAX	00141A388
	Class C	MIZCX	00888W387		Class C	SRRCX	00141A370
	Class R	MIZRX	00888W379		Class R	SRRQX	00141A362
	Class R5	MIZFX	00888W353		Class R5	SRRFX	00141A347
	Class R6	MIZSX	00888W346		Class R6	SRRSX	00141A339
	Class Y	MIZYX	00888W361		Class Y	SRRYX	00141A354
Invesco Oppenheimer Global Unconstrained Bond Fund	Class A	OGUAX	00143K749	Invesco Tax-Exempt Cash Fund	Class A	ACSXX	001419209
	Class C	GUBDX	00143K731		Class R6	TSSXX	001419316
	Class R	GUBBX	00143K715		Class Y	-	001419845
	Class R5	GUBCX	00143K699		Investor Class	TEIXX	001419886
	Class R6	OGUIX	00143K681				
	Class Y	OGUYX	00143K723				
Invesco Oppenheimer Preferred Securities and Income Fund	Class A	OPRAX	00143K616				
	Class C	PSTHX	00143K590				
	Class R	PSTJX	00143K574				
	Class R5	PSTVX	00143K566				
	Class R6	OPRIX	00143K558				
	Class Y	OPRYX	00143K582				

## Limited Offering/Closing

On Sept. 6, 2019, Invesco High Yield Municipal Fund closed to new investors and entered a limited offering status. See prospectus for more information.

Fund	Share class	Ticker	CUSIP
Invesco High Yield Municipal Fund	Class A	ACTHX	001419688
	Class C	ACTFX	001419662
	Class R5	ACTNX	001419464
	Class R6	ACTSX	001419423
	Class Y	ACTDX	001419654

## Re-opening

At the open of business on Nov. 15, 2019, the following share classes of Invesco European Small Company Fund will reopen to all eligible investors. See prospectus for more information.

Fund	Share class	Ticker	CUSIP
Invesco European Small Company Fund	Class A	ESMAX	008879595
	Class C	ESMCX	008879579
	Class Y	ESMYX	00141L814
	Class R6	ESMSX	00141L673

## Re-naming

On Nov. 18, 2019, Invesco Oppenheimer Macquarie Global Infrastructure Fund will be renamed Invesco Oppenheimer Global Infrastructure Fund. See the prospectus for more information.

Fund	Share class	Ticker	CUSIP
Invesco Oppenheimer Global Infrastructure Fund	Class A	OQGAX	00143W511
	Class C	OQGCX	00143W495
	Class R	OQGRX	00143W487
	Class R5	GBITX	00143W461
	Class R6	OQGIX	00143W453
	Class Y	OQGYX	00143W479

NOT FDIC INSURED | MAY LOSE VALUE | NO BANK GUARANTEE

*Before investing, investors should carefully read the prospectus and/or summary prospectus and carefully consider the investment objectives, risks, charges and expenses. For this and more complete information about the fund(s), investors should ask their advisors for a prospectus/summary prospectus or visit [invesco.com/fundprospectus](https://www.invesco.com/fundprospectus).*

Note: Not all products, materials or services available at all firms. Advisors, please contact your home office.





## 12 | List of Available Investments

	Share Class					Share Class			
	A	C	R	Y		A	C	R	Y
<b>Alternatives</b>	<b>Fund No.</b>				<b>Target Risk</b>	<b>Fund No.</b>			
Invesco All Cap Market Neutral Fund	1651	3651	4651	8651	Invesco Conservative Allocation Fund	1603	3603	4603	8603
Invesco Alternative Strategies Fund	1662	3662	4662	8662	Invesco Growth Allocation Fund	1602	3602	4602	8602
Invesco Balanced-Risk Allocation Fund	1607	3607	4607	8607	Invesco Moderate Allocation Fund	1601	3601	4601	8601
Invesco Balanced-Risk Commodity Strategy Fund	1611	3611	4611	8611	Invesco Oppenheimer Portfolio Series: Active Allocation Fund	1001	3433	4533	8133
Invesco Floating Rate Fund	1595	3595	4595	8595	Invesco Oppenheimer Portfolio Series: Conservative Investor Fund	1836	3436	4536	8136
Invesco Global Infrastructure Fund	1658	3658	4658	8658	Invesco Oppenheimer Portfolio Series: Growth Investor Fund	1845	3445	4545	8145
Invesco Global Market Neutral Fund	1650	3650	4650	8650	Invesco Oppenheimer Portfolio Series: Moderate Investor Fund	1674	3473	4573	8173
Invesco Global Real Estate Fund	1621	3621	4621	8621					
Invesco Global Real Estate Income Fund	1540	3540	-	8540	<b>Domestic Equity</b>				
Invesco Global Targeted Returns Fund	1649	3649	4649	8649	Invesco American Franchise Fund	1733	3733	6733	8733
Invesco Long/Short Equity Fund	1652	3652	4652	8652	Invesco American Value Fund	1734	3734	6734	8734
Invesco Macro Allocation Strategy Fund	1648	3648	4648	4900	Invesco Charter Fund	1510	3510	4510	8510
Invesco MLP Fund	1657	3657	4657	8657	Invesco Comstock Fund	1737	3737	6737	8737
Invesco Oppenheimer Fundamental Alternatives Fund	1858	3446	4656	8146	Invesco Diversified Dividend Fund	1586	3586	4586	8586
Invesco Oppenheimer Gold & Special Minerals Fund	1856	3456	4531	8156	Invesco Dividend Income Fund	1058	3058	-	8058
Invesco Oppenheimer Macquarie Global Infrastructure Fund	1668	3467	4567	8167	Invesco Endeavor Fund	1598	3598	4598	8598
Invesco Oppenheimer Real Estate Fund	1675	3475	4575	8175	Invesco Equally-Weighted S&P 500 Fund	1706	3706	6706	8706
Invesco Oppenheimer Senior Floating Rate Fund	1488	3488	4688	8188	Invesco Growth and Income Fund	1752	3752	6752	8752
Invesco Oppenheimer Senior Floating Rate Plus Fund	1489	3489	4589	8189	Invesco Low Volatility Equity Yield Fund	1556	3556	4556	8556
Invesco Oppenheimer SteelPath MLP & Energy Infrastructure Fund	1497	3497	4517	8197	Invesco Mid Cap Core Equity Fund	1546	3546	4546	8546
Invesco Oppenheimer SteelPath MLP Alpha Fund	1492	3492	4592	8192	Invesco Mid Cap Growth Fund	1763	3763	6763	8763
Invesco Oppenheimer SteelPath MLP Alpha Plus Fund	1493	3493	4593	8193	Invesco Oppenheimer Capital Appreciation Fund	1834	3434	4534	8134
Invesco Oppenheimer SteelPath MLP Income Fund	1494	3494	4594	8194	Invesco Oppenheimer Discovery Mid Cap Growth Fund	1840	3440	4540	8140
Invesco Oppenheimer SteelPath MLP Select 40 Fund	1495	3495	4695	8195	Invesco Oppenheimer Dividend Opportunity Fund	1841	3441	4511	8141
Invesco Real Estate Fund	1525	3525	4525	8525	Invesco Oppenheimer Equity Income Fund	1444	3444	4504	8144
					Invesco Oppenheimer Main Street All Cap Fund	1670	3469	4569	8169
<b>Balanced</b>					Invesco Oppenheimer Main Street Fund	1669	3468	4568	8168
Invesco Equity and Income Fund	1743	3743	6743	8743	Invesco Oppenheimer Main Street Mid Cap Fund	1671	3470	4570	8170
Invesco Income Allocation Fund	1606	3606	4606	8606	Invesco Oppenheimer Main Street Small Cap Fund	1672	3471	4571	8171
Invesco Multi-Asset Income Fund	1644	3644	4644	8644	Invesco Oppenheimer Mid Cap Value Fund	1673	3472	4572	8172
Invesco Multi-Asset Inflation Fund	1660	3660	6660	8660	Invesco Oppenheimer Rising Dividends Fund	1476	3476	4576	8176
Invesco Oppenheimer Capital Income Fund	1004	3435	4535	8135	Invesco Oppenheimer Small Cap Value Fund	1491	3491	4591	8191
Invesco Oppenheimer Global Allocation Fund	1448	3448	4548	8148	Invesco Oppenheimer SteelPath Panoramic Fund	1496	3496	4596	8196
Invesco Oppenheimer Global Multi-Asset Growth Fund	1451	3451	4551	8151	Invesco Oppenheimer Value Fund	1500	3500	4500	8200
Invesco Oppenheimer Global Multi-Asset Income Fund	1832	3452	4552	8152	Invesco S&P 500 Index Fund	1722	3722	-	8722
					Invesco Select Companies Fund	1599	3599	4599	8599
<b>Target Maturity</b>					Invesco Small Cap Discovery Fund	1769	3769	-	8769
Invesco Balanced-Risk Retirement Now Fund	1625	3625	4625	8625	Invesco Small Cap Equity Fund	1532	3532	4532	8532
Invesco Balanced-Risk Retirement 2020 Fund	1628	3628	4628	8628	Invesco Small Cap Value Fund	1770	3770	-	8770
Invesco Balanced-Risk Retirement 2030 Fund	1630	3630	4630	8630	Invesco Summit Fund	1591	3591	-	8591
Invesco Balanced-Risk Retirement 2040 Fund	1632	3632	4632	8632	Invesco Value Opportunities Fund	1776	3776	6776	8776
Invesco Balanced-Risk Retirement 2050 Fund	1634	3634	4634	8634					
Invesco Peak Retirement Now Fund	1863	3863	4503	8063	<b>Sector Equity</b>				
Invesco Peak Retirement 2015 Fund	1864	3864	4664	8064	Invesco Energy Fund	1050	3050	-	8050
Invesco Peak Retirement 2020 Fund	1865	3865	4675	8065	Invesco Gold & Precious Metals Fund	1051	3051	-	8051
Invesco Peak Retirement 2025 Fund	1866	3866	4666	8066	Invesco Health Care Fund	1551	3551	-	8551
Invesco Peak Retirement 2030 Fund	1867	3867	4667	8067	Invesco Technology Fund	1055	3055	-	8055
Invesco Peak Retirement 2035 Fund	1868	3868	4668	8068					
Invesco Peak Retirement 2040 Fund	1869	3869	4669	8069	<b>International &amp; Global Equity</b>				
Invesco Peak Retirement 2045 Fund	1870	3870	4670	8070	Invesco Asia Pacific Growth Fund	1531	3531	-	8531
Invesco Peak Retirement 2050 Fund	1871	3871	4671	8071	Invesco Developing Markets Fund	1576	3576	-	8576
Invesco Peak Retirement 2055 Fund	1872	3872	4672	8072	Invesco Emerging Markets Select Equity Fund <sup>d</sup>	1627	3627	4627	8627
Invesco Peak Retirement 2060 Fund	1873	3873	4673	8073	Invesco European Growth Fund	1530	3530	4530	8530
Invesco Peak Retirement 2065 Fund	1874	3874	4674	8074	Invesco Global Core Equity Fund	1513	3513	4513	8513

continued on next page

## 12 | List of Available Investments (continued)

	Share Class				Cash Rsv <sup>4</sup>	Share Class			
	A	C	R	Y		A	C	R	Y
<b>International &amp; Global Equity (continued)</b>	<b>Fund No.</b>					<b>Fund No.</b>			
Invesco Global Growth Fund	1582	3582	-	8582					
Invesco Global Low Volatility Equity Yield Fund	1584	3584	4584	8584	1521	-	3521	4521	8521
Invesco Global Opportunities Fund	1645	3645	4645	8645					
Invesco Global Responsibility Equity Fund	1697	3697	4697	8697		-	1857	3457	4557
Invesco Global Small & Mid Cap Growth Fund	1581	3581	-	8581					
Invesco Greater China Fund	1554	3554	-	8554	1852	-	3458	4528	8158
Invesco International Allocation Fund	1605	3605	4605	8605					
Invesco International Core Equity Fund	1009	3009	4609	8009					
Invesco International Growth Fund	1516	3516	4516	8516					
Invesco International Select Equity Fund <sup>2</sup>	1665	3665	4665	8665					
Invesco International Small Company Fund	1528	3528	-	8528					
Invesco Low Volatility Emerging Markets Fund	1653	3653	4653	8653					
Invesco OFI Pictet Global Environmental Solutions Fund	1846	3432	4512	8132					
Invesco Oppenheimer Emerging Markets Innovators Fund	1842	3442	4542	8142					
Invesco Oppenheimer Global Focus Fund	1849	3449	4549	8149					
Invesco Oppenheimer Global Fund	1570	3447	4547	8147					
Invesco Oppenheimer Global Opportunities Fund	1593	3453	4523	8153					
Invesco Oppenheimer International Diversified Fund	1861	3461	4561	8161					
Invesco Oppenheimer International Equity Fund	1622	3462	4522	8162					
Invesco Oppenheimer International Growth Fund	1803	3463	4676	8163					
Invesco Pacific Growth Fund	1720	3720	6720	8720					
Invesco Select Opportunities Fund	1646	3646	4646	8646					
<b>Fixed Income</b>									
Invesco Conservative Income Fund	1802	-	-	-					
Invesco Convertible Securities Fund	1704	3704	-	8704					
Invesco Core Plus Bond Fund	1541	3541	4541	8541					
Invesco Corporate Bond Fund	1740	3740	6740	8740					
Invesco Emerging Markets Flexible Bond Fund	1544	3544	4544	8544					
Invesco High Yield Fund	1575	3575	-	8575					
Invesco Income Fund <sup>3</sup>	1560	3560	4560	8560					
Invesco Oppenheimer Emerging Markets Local Debt Fund	1843	3443	4543	8143					
Invesco Oppenheimer Global High Yield Fund	1850	3450	4550	8150					
Invesco Oppenheimer Global Strategic Income Fund	1594	3454	4554	8154					
Invesco Oppenheimer Global Unconstrained Bond Fund	1855	3455	4685	8155					
Invesco Oppenheimer Intermediate Income Fund	1005	3437	4527	8137					
Invesco Oppenheimer International Bond Fund	1860	3460	4680	8160					
Invesco Oppenheimer Limited-Term Bond Fund	1666	3465	4509	8165					
Invesco Oppenheimer Limited-Term Government Fund	1667	3466	4566	8166					
Invesco Oppenheimer Preferred Securities and Income Fund	1274	3474	4624	8174					
Invesco Oppenheimer Total Return Bond Fund	1498	3498	4508	8198					
Invesco Oppenheimer Ultra-Short Duration Fund	1499	-	-	8199					
Invesco Quality Income Fund	1774	3774	-	8774					
Invesco Short Duration Inflation Protected Fund	4923	-	-	8523					
Invesco Short Term Bond Fund	1524	3524	4524	8524					
Invesco Strategic Real Return Fund	1659	3659	4659	8659					
Invesco World Bond Fund	1552	3552	-	8552					

1 On Nov. 1, 2018, Invesco Emerging Markets Equity Fund was renamed Invesco Emerging Markets Select Equity Fund.

2 On Nov. 30, 2018, Invesco International Companies Fund was renamed Invesco International Select Equity Fund.

3 On July 26, 2018, Invesco U.S. Government Fund was renamed Invesco Income Fund.

4 Special share class of Invesco Government Money Market Fund and Invesco Oppenheimer Government Money Market Fund: Cash Reserve



## FACTS

### WHAT DOES INVESCO DO WITH YOUR PERSONAL INFORMATION? \*

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Transaction history and investment experience
- Investment experience and assets

When you are *no longer* our customer, we continue to share information about you according to our policies.

#### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Invesco chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Invesco share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	No	We do not share
<b>For joint marketing with other financial companies</b>	No	We do not share
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	No	We do not share
<b>For our affiliates' everyday business purposes—</b> information about your credit worthiness	No	We do not share
<b>For our affiliates to market to you</b>	No	We do not share
<b>For non-affiliates to market to you</b>	No	We do not share

#### Questions?

Call 1-800-959-4246 (toll free).

\* This privacy notice applies to individuals who obtain or have obtained a financial product or service from the Invesco family of companies. For a complete list of Invesco entities, please see the section titled "Who is providing this notice" on page 2.

Who we are	
<b>Who is providing this notice?</b>	Invesco Advisers, Inc., Invesco Private Capital, Inc., Invesco Senior Secured Management, Inc., WL Ross & Co. LLC, Invesco Distributors, Inc. and the Invesco family of mutual funds.
What we do	
<b>How does Invesco protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does Invesco collect my personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>▪ Open an account or give us your contact information</li> <li>▪ Make deposits or withdrawals from your account or give us your income information</li> <li>▪ Make a wire transfer</li> </ul> <p>We also collect your personal information from others, such as credit bureaus, affiliates or other companies.</p>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>▪ Sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>▪ Affiliates from using your information to market to you</li> <li>▪ Sharing for nonaffiliates to market to you</li> </ul>
Definitions	
<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <p><i>Invesco does not share with our affiliates so that they can market to you.</i></p>
<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <p><i>Invesco does not share with non-affiliates so that they can market to you.</i></p>
<b>Joint marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <p><i>Invesco doesn't jointly market.</i></p>



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**U.S. Department of Labor  
Rule 408b-2 Service Provider Disclosure From Invesco**

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# U.S. Department of Labor Rule 408b-2 Service Provider Disclosure From Invesco

## I. Introduction

Under 29 C.F.R. §2550.408b-2 (“Rule 408b-2” or “Service Provider Disclosure Rule”), the Department of Labor generally requires covered service providers, as defined under Rule 408b-2, to describe the services they provide and disclose the direct and indirect compensation they receive for providing services to ERISA plans. Rule 408b-2 is intended to assist ERISA plan fiduciaries in determining whether covered service providers to ERISA plans are charging reasonable compensation for the services provided.

The affiliated service providers to the Invesco funds and Invesco Oppenheimer funds (each an “Invesco Fund” and together, the “Invesco Funds”) are generally only considered covered service providers to a discrete set of ERISA plans and accounts investing in the Invesco Funds. Invesco Investment Services, Inc. (“IIS”) is a covered service provider to those ERISA plans and accounts with which it has a relationship described below. IIS is not a covered service provider to those ERISA plans investing in the Invesco Funds at the ERISA plan level through an omnibus account (“Omnibus Plans”).

References to certain affiliates of IIS, Invesco Trust Company (“ITC”), Invesco Distributors, Inc. (“IDI”), and OppenheimerFunds Distributor, Inc. (“OFDI”), are applicable to certain ERISA plans and accounts, as described below. ITC, IDI, and OFDI are not covered service providers to these ERISA plans and accounts except as otherwise indicated below.

## II. Description of Services Provided

### Services to Prototype Plans

IIS is a covered service provider to the following plans (collectively, “Prototype Plans”):

- ERISA plans using IDI-sponsored or OFDI-sponsored prototype plan documents (Money Purchase Plans and/or Profit Sharing Plans; and certain Solo 401(k) Plans that were formerly known as OppenheimerFunds Single K Plans (“Transition Solo 401(k) Plans”).
- ERISA 403(b)(7) plans to the extent they are utilizing custodial accounts formerly known as OppenheimerFunds 403(b)(7) Custodial Accounts (each a “Transition 403(b)(7) Plan” and collectively, “Transition 403(b)(7) Plans”).

IIS provides services to Prototype Plans that include:

- Providing initial and ongoing materials to enable ERISA plan administrators to comply with ERISA Section 408(b)(2)
- Processing plan distributions as instructed by the employer/plan administrator
- Remitting and reporting federal income tax withholding related to plan distributions
- Providing services that the Department of Labor considers to be recordkeeping services in the form of the transfer agent services it provides to participants in these Prototype Plans, which are the same transfer agent services described below and provided by IIS to all Invesco Fund shareholders.

As noted above, IDI or OFDI also serve as the sponsor of the prototype plan documents for Prototype Plans (except for Transition 403(b)(7) Plans, which do not utilize a prototype plan document). In addition, ITC serves as a directed trustee to the Transition Solo 401(k) Plans and as custodian for the Transition 403(b)(7) Plans. As directed trustee, ITC has fiduciary responsibility solely with respect to the applicable trustee provisions of the plan document; ITC has no discretionary authority with respect to the administration of the plan or plan assets, and acts only as directed by the authorized entity or individual designated by the adopting employer. As custodian, ITC has responsibility for the safekeeping of the assets in the custodial account. These services are not considered covered services for purposes of Rule 408b-2.

### Services to Non-Prototype Plans

IIS is also a covered service provider to ERISA plans that do not use an IDI or OFDI-sponsored prototype plan document (and that are not a Transition 403(b)(7) Plan), but that do maintain participant-level accounts on IIS’s mutual fund transfer agent system or certain participant-level and omnibus plan-level accounts formerly held on the OppenheimerFunds mutual fund transfer agent system (“Non-Prototype Plans”). For these Non-Prototype Plans, the Department of Labor considers IIS to be providing recordkeeping services in the form of the transfer agent services it provides to participants, which are the same transfer agent services described below and provided by IIS to all Invesco Fund shareholders.

In addition to the transfer agent services described below that are provided by IIS to all Invesco Fund shareholders, Non-Prototype Plans receive initial and ongoing materials from IIS to enable ERISA plan administrators to comply with ERISA Section 408(b)(2).

### Services to All Invesco Funds Investors, including ERISA Plan Participants

IIS provides the following services to all shareholders of the Invesco Funds, regardless of whether the shareholder is an ERISA plan or plan participant, and regardless of whether IIS is a covered service provider to such ERISA plan:

- Processing purchases, redemptions and transfers of shares, and other account transactions for Invesco Fund shareholders
- Maintaining shareholder accounts and tracking account balances for those accounts
- Staffing a Client Services call center to provide information and account services to shareholders and their financial professionals
- Maintaining account applications and administrative forms so shareholders can open and maintain accounts and request financial transactions
- Providing shareholder account statements on a quarterly basis
- Providing and maintaining a web-based resource where shareholders and their financial professionals can access account information and request transactions
- Reporting information to the Internal Revenue Service for Prototype Plan and retail Invesco Fund shareholders
- Conducting compliance functions for the Invesco Funds and their advisor, Invesco Advisers, Inc., like screening shareholders against federal lists of terrorists and drug dealers

### III. Status of Covered Service Providers

The Department of Labor requires covered service providers to disclose whether they are providing services as a fiduciary or registered investment advisor. Neither IIS nor any of its affiliates (including ITC, IDI, and OFDI) is serving as a discretionary fiduciary, including advice fiduciary, or as a registered investment advisor.

### IV. Compensation Received

**Direct Compensation:** The Department of Labor defines direct compensation as any compensation that is received directly from ERISA plans (such as fees deducted from participants' accounts). IIS receives the following types of direct compensation.

Type of Fee	Amount of Fee	Plan Type	How Received by IIS
Annual Maintenance Fee	\$15 per participant per year, for accounts with a total balance less than \$50,000. No fee will be charged on accounts with a balance of \$50,000 or greater on the day the fee is assessed.	Prototype Plans	Deducted annually from participant account by redeeming shares; reported on next participant account statement
Overnight Fee	\$20 per requested overnight delivery.	Any plan type	Deducted from participant account by redeeming shares; reported on next participant account statement
Participant Loan Fees	\$50 Loan Initiation Fee \$25 Loan Maintenance Fee per year for each year the loan is outstanding	Transition Solo 401(k) Plans Transition 403(b)(7) Custodial Accounts	Deducted from participant account by redeeming shares; reported on next participant account statement

The Annual Maintenance Fee compensates IIS for:

- updating prototype plan documents (where applicable) to keep them in compliance with changing tax laws and Department of Labor ERISA regulations,
- providing administrative and reporting services involved with processing participant distributions, and
- remitting any applicable plan or plan participant federal income tax withholding.

A portion of the Annual Maintenance Fee is paid to ITC, as compensation for the directed trustee and custodian services that it provides. Since the Annual Maintenance Fee is deducted from the participant's account, this compensation may be considered "compensation among related parties" for purposes of Rule 408b-2.

The Overnight Fee compensates IIS for the cost of sending an expedited distribution check to a plan participant via overnight delivery.

The loan initiation fee compensates IIS for providing a new loan to a participant in a Prototype Plan (where available) or Transition 403(b)(7) Plan. The loan maintenance fee compensates IIS for monitoring loan repayments against the loan amortization schedule.

**Indirect Compensation:** The Department of Labor defines indirect compensation as any compensation that is not received directly from ERISA plans (such as fees deducted from participants' accounts) or from the employers maintaining the plans.

IIS receives indirect compensation in the form of transfer agent fees, paid by the Invesco Funds in which ERISA plan participants are invested.

This transfer agent fee compensates IIS for the services provided to all Invesco Fund shareholders, not just ERISA plan participants, and the fee structure is the same for all Invesco Fund shareholders.

IIS' transfer agent fees consist of both a per shareholder fund account fee ("per account fee") of approximately \$28 per shareholder fund account from each Invesco Fund, and an asset-based fee of 0.02% (two basis points) of the net asset value of each Invesco Fund (the "asset-based fee"), which is assessed against all retail share classes of each Invesco Fund. These transfer agency fees are part of each Invesco Fund's total expense ratio, which is used in calculating the net asset value of each share class of each Invesco Fund but they are not charged directly to shareholders.

#### How IIS Receives Indirect Compensation

IIS receives the transfer agent fees from the Invesco Funds monthly in arrears. The fee schedule has been approved by the Invesco Funds Board. The expense is disclosed in the annual report for each Invesco Fund.

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## V. Compensation for Termination of Services

IIS does not receive compensation if an ERISA plan terminates its arrangement with IIS for the provision of services or otherwise redeems its account in an Invesco Fund.

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## VI. Recordkeeping Services

The Department of Labor's definition of an ERISA plan recordkeeper in Rule 408b-2 is very broad. Among the services IIS provides to ERISA plans, the following services are considered by the Department of Labor to be "recordkeeping services":

- Depositing plan contributions for plan participants as share purchases
- Processing plan and participant distributions, by liquidating shares and sending out checks or wire transfers at the direction of the plan trustee
- Maintaining participant accounts/balances, including processing purchase and sale transactions for participants who wish to reallocate their plan account balances, and other account transactions
- Issuing quarterly participant account statements

As a mutual fund transfer agent, IIS provides these services for all investors in the Invesco Funds, and receives the same transfer agent fee from the Invesco Funds for all investors. IIS will refer to these services as the "listed services", rather than as recordkeeping services. IIS does not provide the listed services as an ERISA plan recordkeeper and does not want to create confusion concerning its role.

For Omnibus Plans, where the ERISA plan maintains only a single, plan level account with Invesco, however, IIS is not providing the listed services to the ERISA plan or its participants.

Because IIS does not charge an explicit fee for the listed services, the Department of Labor requires IIS to provide a reasonable and good faith estimate of the cost of these services, including an explanation of the methodology and assumptions related to the estimate. This estimate must take into account the rates that IIS would charge, or be paid by, third parties for similar services provided to a similar plan with a similar number of participants.

IIS does not charge anyone for recordkeeping services; IIS does not pay any third parties to provide such services. These facts prevent IIS from creating an estimate of costs that would take into account rates that IIS would charge, or rates that it would pay, for similar services. IIS therefore estimates that the cost of the listed services incurred by ERISA plans is best represented by applying the total amount of the transfer agent fees that it receives from the Invesco Funds related to the ERISA plans and participants investing in them, as described in the "Compensation" section above.

While the Department of Labor would like IIS to compare this cost per participant to a prevailing market rate, such market information does not exist.

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## VII. Investment Option Information Related to Rule 404a-5

### 1. Compensation charged directly against investments (sales loads, contingent deferred sales charges)

Information regarding sales loads and/or surrender charges, if any, charged to the shareholders by the Invesco Funds will be provided for each Fund in a table format, online at [invesco.com/us/dolregs](http://invesco.com/us/dolregs). The information is also available to you in the Funds' prospectuses at [invesco.com/us](http://invesco.com/us).

### 2. Annual Operating Expenses and Additional Ongoing Expenses

Annual operating expense information for each Fund will be provided in a table format available online at [invesco.com/us/dolregs](http://invesco.com/us/dolregs). This information is also available to you in the Funds' prospectuses at [invesco.com/us](http://invesco.com/us). For information on any ongoing expenses related to your plan's investment options but outside the Funds' reported expenses, such as fees paid by the plan to accountants, lawyers, consultants or other service providers, please consult with your other service providers.

### 3. Additional investment option information the plan sponsor requires to comply with Rule 404a-5

Additional investment option information for Invesco Funds that the plan sponsor may require to comply with its obligation to provide disclosure to plan participants will be provided at [invesco.com/us/dolregs](http://invesco.com/us/dolregs).

ERISA PLAN FIDUCIARIES SHOULD USE THE DISCLOSURES CONTAINED HEREIN TO EVALUATE THE PLAN'S SERVICES AND FEES, FOR FURTHER INFORMATION, THE PLAN'S FINANCIAL ADVISOR SHOULD BE CONSULTED. PLEASE ALSO VISIT [INVESCO.COM](http://INVESCO.COM) TO VIEW PROSPECTUSES AND OTHER INVESCO FUND-RELATED MATERIALS. THESE PROSPECTUSES CONTAIN IMPORTANT INFORMATION ABOUT THE FUNDS MADE AVAILABLE TO YOUR PLAN, INCLUDING FURTHER INFORMATION REGARDING PAYMENTS TO FINANCIAL INTERMEDIARIES.

PLEASE NOTE THAT THIS PACKAGE OF INFORMATION IS NOT AND SHALL NOT BE DEEMED TO CONSTITUTE LEGAL ADVICE TO PLAN SPONSORS AND PLAN ADMINISTRATORS REGARDING COMPLIANCE WITH FEDERAL REPORTING REQUIREMENTS. THIS PACKAGE OF INFORMATION IS NOT INTENDED TO CONSTITUTE AN OFFER TO SELL SECURITIES OR PROVIDE ANY DISCLOSURES REQUIRED BY SECURITIES LAWS. IT DOES NOT CONSTITUTE MUTUAL FUND ADVERTISING. PLEASE CONSULT YOUR OWN ADVISORS CONCERNING YOUR REPORTING OBLIGATIONS UNDER DEPARTMENT OF LABOR REGULATIONS.